

## **ABSTRACT**

# **THE ROLE OF PEROXISOME PROLIFERATOR-ACTIVATED RECEPTOR- GAMMA IN SURFACTANT CATABOLISM IN THE ALVEOLAR MACROPHAGE**

by

Anna DeLane Baker

Under the direction of Mary Jane Thomassen, Ph.D.

December 2009

Chair: Donald Hoffman, Ph.D.

Department: Interdisciplinary Doctoral Program in the Biological Sciences, East Carolina University.

Pulmonary alveolar proteinosis (PAP) is a lung disease characterized by surfactant accumulation in the alveolar spaces and alveolar macrophages. Although PAP is rare, surfactant abnormalities occur in many lung diseases including acute respiratory distress syndrome, sarcoidosis, and asthma. Studies have shown that surfactant accumulation in PAP patients results from insufficient catabolism by alveolar macrophages. Research in PAP patients and granulocyte-macrophage colony-stimulating factor knockout (GM-CSF KO) mice revealed deficiencies in the transcription factor peroxisome proliferator-activated

receptor-gamma (PPAR $\gamma$ ) and downstream cholesterol transporter ATP-binding cassette G1 (ABCG1). PPAR $\gamma$  regulates lipid metabolism in macrophages and is a prominent target of research in the fields of atherosclerosis and diabetes.

This study tested the hypothesis that PPAR $\gamma$  promotes catabolism of surfactant in alveolar macrophages through the transcriptional regulation of ABCG1. Alveolar macrophages from macrophage-specific PPAR $\gamma$  knockout (PPAR $\gamma$  KO) mice accumulate surfactant and exhibit reduced expression of ABCG1 and reduced ABCG1-mediated cholesterol efflux. These results directly link PPAR $\gamma$ -deficiency to surfactant accumulation and demonstrate that PPAR $\gamma$  regulates cholesterol efflux in alveolar macrophages. We next investigated the expression of genes involved in the uptake and biosynthesis of cholesterol in PPAR $\gamma$  KO alveolar macrophages. Expression of key cholesterol biosynthesis genes was suppressed, and cholesterol influx genes (scavenger receptors) were up-regulated. These results suggested PPAR $\gamma$  regulates cholesterol metabolism in alveolar macrophages.

We next investigated the up-regulation of PPAR $\gamma$  in the GM-CSF KO alveolar macrophages by instilling mice with a Lentivirus vector containing PPAR $\gamma$  (Lenti-PPAR $\gamma$ ). Reconstitution of PPAR $\gamma$  promoted ABCG1 expression and ABCG1-mediated cholesterol efflux in the alveolar macrophages of GM-CSF KO instilled with Lenti-PPAR $\gamma$ .

Taken together, these observations support the hypothesis that PPAR $\gamma$ -mediated transcriptional regulation of ABCG1 is critical to cholesterol metabolism and the maintenance of surfactant homeostasis overall. Understanding the role of PPAR $\gamma$  in normal surfactant homeostasis provides insight into the pathophysiology of PAP and identifies a potential therapeutic target.

THE ROLE OF PEROXISOME PROLIFERATOR-ACTIVATED RECEPTOR-  
GAMMA IN SURFACTANT CATABOLISM IN ALVEOLAR MACROPHAGES

A Dissertation Presented to  
The Faculty of the Department of Internal Medicine  
And the Interdisciplinary Doctoral Program in the Biological Sciences  
East Carolina University

In Partial Fulfillment of the Requirements of the Degree  
Doctor of Philosophy in Biomedicine

By  
Anna DeLane Baker  
December 2009

THE ROLE OF PEROXISOME PROLIFERATOR-ACTIVATED RECEPTOR-  
GAMMA IN SURFACTANT CATABOLISM IN ALVEOLAR MACROPHAGES

By

Anna DeLane Baker

APPROVED BY:

DIRECTOR OF DISSERTATION:

\_\_\_\_\_  
Mary Jane Thomassen, Ph.D.

COMMITTEE MEMBER:

\_\_\_\_\_  
Achut G. Malur, Ph.D.

COMMITTEE MEMBER:

\_\_\_\_\_  
Philip H. Pekala, Ph.D.

COMMITTEE MEMBER:

\_\_\_\_\_  
Michael R. Van Scott, Ph.D.

COMMITTEE MEMBER:

\_\_\_\_\_  
Fred E. Bertrand, Ph.D.

CHAIR OF THE INTERDISCIPLINARY  
DOCTORAL PROGRAM IN THE  
BIOLOGICAL SCIENCES:

\_\_\_\_\_  
Donald R. Hoffman, Ph.D.

DEAN OF THE GRADUATE SCHOOL:

\_\_\_\_\_  
Paul J. Gemperline, Ph.D.

## TABLE OF CONTENTS

LIST OF TABLES.....	viii
LIST OF FIGURES.....	ix
LIST OF ABBREVIATIONS .....	xi
CHAPTER 1: INTRODUCTION.....	1
Lung Health and Immunity.....	3
Function, Composition, and Metabolism of Surfactant.....	5
Surfactant Abnormalities in Human Disease.....	7
Overview of PAP.....	9
Diagnosis and Treatment of PAP.....	10
Pathophysiology of PAP.....	11
Overview of PPAR $\gamma$ .....	13
Cholesterol Biosynthesis, Uptake, and Efflux in Macrophages.....	17
Regulation of Lipid Receptors, Lipid Transporters, and Transcription Factors in Macrophages by PPAR $\gamma$ .....	22
Statement of the Problem.....	28
CHAPTER 2: TARGETED DELETION OF PPAR $\gamma$ IN ALVEOLAR MACROPHAGES DISRUPTS SURFACTANT CATABOLISM.....	30
Abstract .....	32
Introduction .....	34
Materials and Methods .....	37
Mice .....	37

RNA purification and analysis .....	38
Cholesterol efflux assay .....	39
Immunoblotting .....	39
Lipid extraction .....	40
Cholesterol content analysis .....	40
Statistical analysis .....	41
Results.....	42
PPAR $\gamma$ deficiency results in lipid accumulation and dysregulation of lipid transporters in alveolar macrophages.....	42
Surfactant lipid and proteins accumulate in the lungs of PPAR $\gamma$ KO mice.....	42
PPAR $\gamma$ deficiency results in decreased cholesterol efflux to HDL from alveolar macrophages.....	45
PPAR $\gamma$ deficiency results in dysregulated LXR $\alpha$ and LXR $\beta$ expression.....	48
Discussion.....	53
Acknowledgments.....	60
CHAPTER 3: GENERATION OF A LENTIVIRUS EXPRESSION SYSTEM TO STUDY THE ROLE OF PPAR $\gamma$ IN ALVEOLAR MACROPHAGES.....	61
Abstract.....	62
Introduction.....	63
Materials and Methods.....	69
Mice.....	69
Bronchoalveolar lavage (BAL).....	69

Peritoneal exudate cells.....	69
Healthy control cell collection.....	70
Lentivirus construction and transduction.....	70
RNA purification and analysis.....	71
Protein analysis.....	72
Statistical analysis.....	72
Results.....	73
Lenti-PPAR $\gamma$ mediates stable expression of PPAR $\gamma$ in human and mouse cell lines.....	73
Lenti-PPAR $\gamma$ mediates efficient up-regulation of PPAR $\gamma$ in primary murine macrophages.....	73
Lentivirus mediates up-regulation of eGFP and PPAR $\gamma$ in alveolar macrophages from healthy human controls.....	76
Discussion.....	81
CHAPTER 4: PPAR $\gamma$ REGULATES THE EXPRESSION OF CHOLESTEROL METABOLISM GENES IN ALVEOLAR MACROPHAGES.....	83
Abstract.....	85
Introduction.....	87
Materials and Methods.....	91
Mice.....	91
Lentivirus plasmid and instillation.....	91
RNA purification and analysis.....	92
Statistical analysis.....	92
Results and Discussion.....	93



Cholesterol metabolism genes are dysregulated in PPAR $\gamma$ KO alveolar macrophages.....	93
Up-regulation of PPAR $\gamma$ in vivo promotes the expression of cholesterol efflux genes.....	97
Up-regulation of PPAR $\gamma$ in vivo increases expression of cholesterol metabolism genes expression.....	101
Conclusions.....	107
Acknowledgments .....	108
<b>CHAPTER 5: RESTORATION OF PPAR<math>\gamma</math> REVERSES LIPID ACCUMULATION IN ALVEOLAR MACROPHAGES OF GM-CSF KO MICE.....</b>	<b>109</b>
Abstract.....	111
Introduction.....	113
Materials and Methods.....	115
Mice.....	115
Bronchoalveolar lavage (BAL).....	115
Lentivirus construction and transduction.....	115
RNA purification and analysis.....	116
Cholesterol efflux assay.....	117
Cholesterol content analysis.....	117
Statistical analysis.....	118
Results.....	119
Lentivirus efficiently transduces alveolar macrophages in vivo...119	
PPAR $\gamma$ and cholesterol transporters ABCG1 and ABCA1 are up-regulated.....	119
Cholesterol clearance from alveolar macrophages is	

increased.....	124
Discussion.....	127
CHAPTER 6: SUMMARY.....	129
Macrophage-specific Deletion of PPAR $\gamma$ .....	131
Restoration of PPAR $\gamma$ in GM-CSF KO Mice.....	136
Future Directions.....	139
Conclusions.....	143
REFERENCES.....	145

**LIST OF TABLES**

2.1 Summary table of expression levels of key lipid regulator and transporter genes in the alveolar macrophages from PPAR $\gamma$ KO mice, GM-CSF KO mice, and PAP patients.....	58
---	----

## LIST OF FIGURES

1.1 Mechanisms of PPAR $\gamma$ -mediated transrepression of NF- $\kappa$ B.....	15
1.2 Cholesterol biosynthesis, uptake, and efflux in macrophages.....	18
1.3 PPAR $\gamma$ and LXR regulate cholesterol efflux.....	23
1.4 PPAR $\gamma$ regulates cholesterol influx, efflux, and biosynthesis.....	26
2.1 PPAR $\gamma$ deficiency results in dysregulation of lipid metabolism in alveolar macrophages.....	43
2.2 Surfactant lipids and proteins accumulate in the lungs of PPAR $\gamma$ KO mice.....	46
2.3 PPAR $\gamma$ deficiency results in decreased cholesterol efflux to HDL from alveolar macrophages.....	49
2.4 PPAR $\gamma$ deficiency results in dysregulated LXR $\alpha$ and LXR $\beta$ expression.....	51
3.1 Proposed pathway of interest.....	64
3.2 Production of live Lenti-PPAR $\gamma$ virus.....	67
3.3 Lenti-PPAR $\gamma$ mediates stable expression of PPAR $\gamma$ in human and murine cell lines.....	74
3.4 Lenti-PPAR $\gamma$ mediates efficient up-regulation of PPAR $\gamma$ in primary murine macrophages.....	77
3.5 Lentivirus mediates up-regulation of eGFP and PPAR $\gamma$ in alveolar macrophages from healthy human controls.....	79
4.1 Cholesterol metabolism genes are dysregulated in PPAR $\gamma$ alveolar macrophages.....	94
4.2 Up-regulation of PPAR $\gamma$ in vivo promotes expression of cholesterol efflux genes.....	98

4.3 Up-regulation of PPAR $\gamma$ in vivo increases expression of cholesterol metabolism genes.....	102
4.4 Hypothetical pathway by which PPAR $\gamma$ regulates cholesterol influx, efflux, and biosynthesis in alveolar macrophages.....	105
5.1 Lentivirus efficiently transduces alveolar macrophages in vivo.....	120
5.2 PPAR $\gamma$ and cholesterol transporters ABCG1 and ABCA1 are up-regulated.....	122
5.3 Cholesterol clearance from alveolar macrophages is increased.....	125

## LIST OF SYMBOLS AND ABBREVIATIONS

27-OH: 27-hydroxycholesterol

ABC: ATP-binding cassette

ApoA-I: apolipoprotein A-I

ApoE: apolipoprotein E

BAL: bronchoalveolar lavage

BLSD: blasticidin

C: Celsius

CD: cluster of differentiation

cDNA: complementary deoxyribonucleic acid

CE: cholesteryl ester

Cholestenic acid: 3 $\beta$ -hydroxy-5-cholestenic acid

Ci: curie

CMV: cytomegalovirus

CO<sub>2</sub>: carbon dioxide

CoA: Coenzyme A

CT: critical threshold

CYP27A1: cytochrome P450 sterol 27-hydroxylase

DMEM: Dulbecco's modified Eagle's medium

DNA: deoxyribonucleic acid

DPPC: dipalmitoylphosphatidylcholine

eGFP: enhanced Green Fluorescent Protein

EMSA: electrophoretic mobility shift assay

FBS: fetal bovine serum

FC: free cholesterol

GAPDH: glyceraldehyde 3 phosphate dehydrogenase

GM-CSF: granulocyte-macrophage colony-stimulating factor

GM-CSF KO: granulocyte-macrophage colony-stimulating factor knockout

HDL: high-density lipoprotein

HMGCR: 3-hydroxy-3methyl-gllytaryl-Coenzyme A reductase

IFN $\gamma$ : interferon-gamma

KO: knockout

LDL: low-density lipoprotein

LDL-R: low-density lipoprotein receptor

LPS: lipopolysaccharide

LTR: long terminal repeat

LXR: liver X receptor

LXRE: liver X receptor response element

M: molar

MCS: multiple-cloning site

mL: milliliter

MOPS: 3-morpholinopropanesulfonic acid

mRNA: messenger ribonucleic acid

ng: nanogram

NF- $\kappa$ B: nuclear factor kappa-light-chain-enhancer of activated B cells

nm: nanometers

ox: oxidized

PAP: pulmonary alveolar proteinosis

PBS: phosphate buffered saline

PHS: public health service

PPAR: peroxisome proliferator-activated receptor

PPAR $\gamma$  KO: peroxisome proliferator-activated receptor-gamma knockout

PPRE: peroxisome proliferator-activated receptor response element

RDS: respiratory distress syndrome

RNA: ribonucleic acid

rpm: revolutions per minute

RRE: rev response element

RT-PCR: real time-polymerase chain reaction

RXR: retinoid acid receptor

SA: splice acceptor

SD: splice donor

SDS: sodium dodecyl sulfate

SEM: standard error mean

SIN: self-inactivating

SP: surfactant-associated protein



SRA-I: scavenger receptor A-I

SRE: sterol response element

SREBP: sterol-regulatory binding element

SV40: simian virus 40

Th-1: Type 1 T helper cell

μg: microgram

μL: microliter

WT: wild type

## CHAPTER 1

### INTRODUCTION

Pulmonary alveolar proteinosis (PAP) is an autoimmune lung disease caused by neutralizing auto-antibodies to granulocyte-macrophage colony stimulating factor (GM-CSF) (1). The functional loss of GM-CSF manifests disease only in the lung, resulting in the filling of the respiratory tract of PAP patients with the lipoproteinaceous material called surfactant (2). Inhibition of GM-CSF signaling impairs catabolism of surfactant in alveolar macrophages (3). Although PAP is a rare lung disorder, surfactant abnormalities are problematic in many inflammatory lung diseases, including acute respiratory distress syndrome, sarcoidosis, and asthma (4).

Peroxisome proliferator-activated receptor-gamma (PPAR $\gamma$ ) is a ligand-activated nuclear transcription factor and a key regulator of lipid metabolism [reviewed by Tontonoz and Spiegelman (5)]. PPAR $\gamma$  is up-regulated by GM-CSF (6,7) and constitutively expressed in the alveolar macrophages of healthy individuals (6). Neutralization of GM-CSF by auto-GM-CSF antibodies results in significantly reduced levels of PPAR $\gamma$  in the alveolar macrophages of PAP patients (6). Alveolar macrophages of PAP patients have decreased expression of the lipid transporter ATP-binding cassette G1 (ABCG1). Although the role of PPAR $\gamma$  in maintaining surfactant homeostasis is unknown, this data suggest that

PPAR $\gamma$  controls surfactant catabolism in alveolar macrophages via regulation of ABCG1.

The work presented in this dissertation addresses the role of PPAR $\gamma$  in surfactant catabolism in alveolar macrophages. We hypothesized that (1) PPAR $\gamma$  promotes surfactant catabolism by the regulation of the lipid transporter ABCG1; and (2) up-regulation of PPAR $\gamma$  will increase surfactant catabolism in PPAR $\gamma$ -deficient alveolar macrophages and reduce the presence of lipid-engorged alveolar macrophages in the lung. The following sections in this chapter will review the current literature on surfactant metabolism, alveolar macrophages, and the regulatory functions of PPAR $\gamma$  with a specific emphasis on lipid metabolism.

## **Lung Health and Immunity**

Air drawn into the human body travels through the nose and mouth, trachea, bronchi, and bronchioles terminating in the alveoli where exchange of oxygen and carbon dioxide occurs. Alveoli require a lipid-rich material called surfactant to reduce surface tension and to stay open. Type I epithelial cells occupy 90% of the alveolar surface area and are the primary site of gas exchange (8). Type II epithelial cells are nearly equal in number to type I cells and are responsible for surfactant production. Alveolar macrophages are also located in the alveolar space and regulate inflammation and the catabolism of surfactant.

The lungs contain many layers of defense including the mucociliary clearance system, innate immune system, and adaptive immune system to control inflammation and infection from continuous exposure to foreign debris, pathogens, and bacteria from the environment. The mucociliary clearance system cleans the lining of the lung by the movement of the cilia in the respiratory epithelium pushing debris towards the pharynx and into the digestive system. If a particular antigen or particle is not cleared by the mucociliary system and reaches the epithelial surface of the lung, the innate immune system responds through the activation of epithelial cells, neutrophils, dendritic cells, and monocytes and macrophages (9). The adaptive immune system in the lung is complex and depends greatly on the targeted pathogen. Three major players are

generally involved: dendritic cells, T cells, and B cells (10). The adaptive immune system promotes clonal expansion of antigen-specific effector cells in response to a challenge.

The term “macrophage” refers to cells differentiated from monocyte precursors arising from hematopoietic stem cells in the bone marrow. Macrophages are located throughout the body and are distributed in most tissues. Alveolar macrophages are terminally differentiated macrophages located in the alveolar space and are continually replaced by circulating monocytes. Because of their location, alveolar macrophages are among the first responders for foreign debris and pathogens. Alveolar macrophages play a major role in the health and immunity of the lung classically through the clearance of debris and initiation of inflammatory cascades. However, the emerging role of alveolar macrophages in the maintenance of lipid homeostasis in the lung through the clearance of surfactant is the focus of this investigation.

## Function, Composition, and Metabolism of Surfactant

Surfactant is a lipoproteinaceous material found at the air-fluid interface in the alveoli that serves to reduce pulmonary surface tension to increase the efficiency of breathing. It is a mixture of 80% phospholipid, up to 10% neutral lipid (predominantly cholesterol), 10% protein, and less than 1% carbohydrate (11). Phosphatidylcholine makes up the majority of the phospholipids in surfactant of which nearly half is dipalmitoylphosphatidylcholine (DPPC), the main surface-active lipid in surfactant. Phosphatidylcholine is associated with four surfactant apoproteins. Surfactant-associated proteins B (SP-B) and C (SP-C) are small, extremely hydrophobic proteins that contribute to the surface tension-lowering properties of surfactant (12). Surfactant-associated proteins A (SP-A) and D (SP-D) are involved in the innate immunity of the lung (13). They are members of the collectin family and contain carbohydrate recognition domains that bind oligosaccharides on the surface of microorganisms (14).

While the complex organization and structure of surfactant remains unclear, the use of genetically modified mice has greatly advanced our understanding of the roles of surfactant-associated proteins. SP-A and SP-D deficient mice exhibit increased susceptibility to infections (15-17). SP-B knockout mice develop lethal respiratory distress (18). Additionally, these mice exhibit deficient mature forms of SP-C, confirming the importance of SP-B in surfactant (18,19).

Surfactant is continuously produced and degraded in healthy lungs through a highly regulated system. Surfactant pool size is regulated by the net synthesis, uptake, recycling, and catabolism of surfactant. Alveolar type II epithelial cells (pneumocytes) produce, partially assemble, and secrete surfactant [reviewed by Serrano and Pérez-Gil (20)]. Upon secretion, lipid and protein transfer to the interfacial surfactant film in response to surface tension. Components are also assembled into tubular myelin and large and small aggregates in the alveolar space. Surfactant synthesis is better defined than the clearance of surfactant for which two pathways have been described [reviewed by Hawgood and Poulain (21)]. Type II cells endocytose surfactant lipids and complexes and recycle them into new surfactant. Alveolar macrophages phagocytose and degrade surfactant and are therefore identified as the primary site of surfactant catabolism.

## Surfactant Abnormalities in Human Disease

Although it is known that type II cells produce surfactant and alveolar macrophages catabolize surfactant, the complexities of surfactant metabolism and its role in human disease are not fully understood. Surfactant abnormalities are problematic in many inflammatory lung diseases, including PAP, acute respiratory distress syndrome, sarcoidosis, and asthma (4). Excesses or shortages in pulmonary surfactant disrupt normal lung function. Conversely, the surfactant pool size and composition are affected by disease states (22-24).

Infant respiratory distress syndrome (RDS) is a common example that emphasizes the importance of the surface tension-reducing properties of surfactant. RDS occurs in premature infants whom have not yet produced surfactant. Intra-alveolar surface tension is too great without surfactant and the alveolar airspace collapses.

At the other end of the spectrum, patients with the lung disease PAP have a build-up of surfactant in the alveolar spaces and alveolar macrophages. Given that PAP patients produce normal levels of surfactant and no type II cell abnormalities have been observed, the accumulation of surfactant has been linked to reduced surfactant clearance by the alveolar macrophages (25-28). Investigation of the GM-CSF knockout (GM-CSF KO) mouse, which provided the initial evidence for GM-CSF involvement in surfactant homeostasis, has greatly contributed to the understanding of PAP pathogenesis (29,30). Although PAP is



a rare lung disease, it provides a unique opportunity to investigate the regulation of surfactant with regard specifically to surfactant catabolism.

## Overview of PAP

PAP is a lung disease characterized by the pathogenic accumulation of surfactant in the lungs. There are three forms of PAP: congenital, secondary, and idiopathic. Congenital PAP is a genetic disorder resulting from defects in either the SP-B or GM-CSF receptors (31,32). Secondary PAP is typically a result of inflammatory systemic diseases or hematological cancers and is generally resolved upon treatment of the primary disease (33-35). Idiopathic (acquired) PAP, the focus of this thesis, is the most common form of PAP, accounting for 90% of the documented cases (36-39). It is an autoimmune disease in which the patients produce neutralizing auto-antibodies to GM-CSF (1) with an estimated prevalence of 3.7 cases per million (40). Interruption of GM-CSF signaling results in insufficient surfactant catabolism characterized by the accumulation of surfactant aggregates, the formation of foamy alveolar macrophages, and ultimately diminished air space within the alveoli (3). The loss of biologically active GM-CSF manifests disease only in the lung, as GM-CSF is required for terminal differentiation of alveolar macrophages but not other tissue macrophages (41). The resulting accumulation of surfactant in the lungs is consistent with a direct role of GM-CSF in surfactant catabolism (26).

## Pathophysiology of PAP

Many reports following the initial description of the lungs of PAP patients (2) have detailed the biochemical composition of the material accumulating in the alveoli (27,42). All authors reported increased levels of phospholipids and protein. The SP-A to phospholipid ratio is significantly increased in the sera and bronchoalveolar lavage (BAL) fluid from patients (43,44). SP-D is also found at elevated levels in sera and BAL fluid of patients and represents a possible biomarker for disease severity in PAP (45). Total amounts of SP-B and SP-C are found at increased levels in the lungs of patients with PAP (46). However, the gene expression of all four proteins is unchanged supporting an impairment in turnover and clearance in pathogenesis (29). Cholesterol is a major lipid component in surfactant and has been found at significantly elevated levels in the lungs of PAP patients (47-49).

Since the accumulation of surfactant in PAP patients and GM-CSF KO mice has been linked to reduced surfactant catabolism (25-28), the emphasis of research has been on the alveolar macrophage. Alveolar macrophages from PAP patients and GM-CSF KO mice have an activated phenotype resembling foam cells and are engorged with neutral lipid (50,51). Microarray studies, confirmed by real time RT-PCR and immunocytochemistry, from our laboratory revealed that the nuclear transcription factor PPAR $\gamma$  was highly expressed in the alveolar macrophages of healthy individuals but deficient in PAP patients (6).

The role of PPAR $\gamma$  in regulating inflammation and lipid metabolism combined with the fact that PPAR $\gamma$  is up-regulated by GM-CSF makes it a prime target in the investigation of disease pathogenesis in PAP (6,7).

## Diagnosis and Treatment of PAP

Excess surfactant in the lungs of PAP patients causes shortness of breath, fatigue, and overall reduced pulmonary fitness. Diagnosis of PAP is commonly confirmed by open lung biopsy. A growing number of non-invasive alternatives have emerged, notably a serum anti-GM-CSF titer (52). Over 70% of PAP patient mortality is due to respiratory failure (37).

Standard therapy for PAP patients is a bilateral whole-lung lavage which removes excess surfactant but it does not to treat the underlying cause of pathogenesis and involves the risk of general anesthesia. Treatment with exogenous GM-CSF targets the cause of the PAP and is currently in clinical trials. The results of GM-CSF therapy are varied with resolution of disease in approximately 50% of the patients (53). Non-responding patients have very high titers of neutralizing auto-antibodies to GM-CSF—perhaps too high for the exogenous GM-CSF treatments to overcome (54). Depletion of B cells using monoclonal antibodies is being explored as a treatment for PAP. Understanding the mechanisms involved in surfactant metabolism may lead to improved therapy for PAP and many other pulmonary diseases.

## Overview of PPAR $\gamma$

The PPARs are a family of lipid-binding nuclear transcription factors that regulate inflammation, adipocyte differentiation, and glucose and lipid metabolism. Three isotypes of PPAR (alpha, delta/beta, and gamma) vary in tissue distribution and ligand affinity. PPAR $\gamma$  is constitutively expressed at high levels in activated macrophages, adipose tissue, and the intestines [reviewed by Tontonoz and Spiegelman (5)]. The remaining discussion will be focused on the expression of PPAR $\gamma$  specifically in macrophages.

Three isoforms of PPAR $\gamma$  ( $\gamma$ 1,  $\gamma$ 2, and  $\gamma$ 3) are produced by alternative splicing events, PPAR $\gamma$ 2 differing in length by an additional 30 amino acids at the 5' end. While PPAR $\gamma$ 2 is less abundant overall than PPAR $\gamma$ 1 (55), it is found at higher levels in macrophages and foam cells (56).

Prostaglandin 15-d-PGJ2 and fatty acids are the major natural ligands for PPAR $\gamma$  (57,58). Rosiglitazone, a thiazolidinedione, is a synthetic PPAR $\gamma$  agonist. Upon ligand binding, PPAR $\gamma$  heterodimerizes with retinoid X receptor (RXR) in the nucleus, forming a complex with co-activators which then bind to PPAR response elements (PPRE) in the DNA to promote transcription of downstream genes involved in lipid metabolism.

PPAR $\gamma$  has also been shown to negatively regulate inflammation. Although the mechanisms are not fully understood, PPAR $\gamma$  exerts anti-

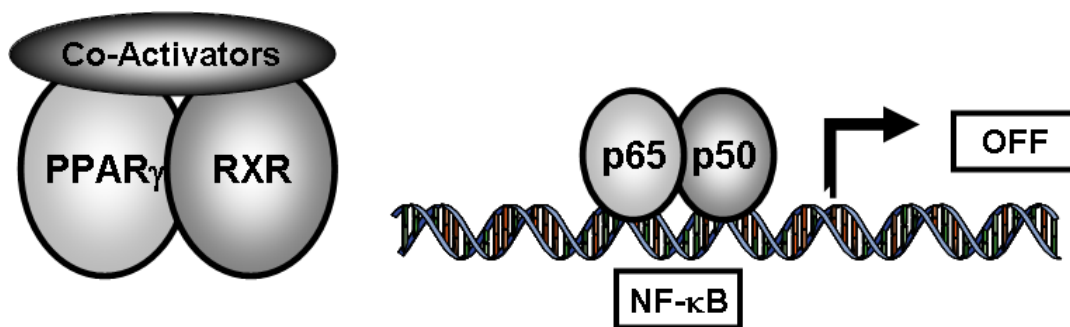
inflammatory responses by antagonizing pro-inflammatory factors such as NF- $\kappa$ B and activator protein-1 (AP-1) [reviewed by Ricote and Glass (59)]. PPAR $\gamma$  reduces the DNA binding of NF- $\kappa$ B through three major mechanisms of transrepression including competitive binding of NF- $\kappa$ B co-activator complexes, direct binding of the p65 subunit of NF- $\kappa$ B, and promotion of Inhibitor of  $\kappa$ B $\alpha$  (I $\kappa$ B $\alpha$ ) (Figure 1.1). PPAR $\gamma$  also transrepresses the pro-inflammatory actions of AP-1 by inhibiting mitogen-activated protein kinase (MAPK) activity. Several models have suggested that the transrepression by PPAR $\gamma$  is gene and cell type-specific. More studies are needed to fully clarify the mechanisms of PPAR $\gamma$  transrepression.

PPAR $\gamma$  is expressed in a variety of macrophages, such as thioglycollate-elicited peritoneal macrophages, foam cells in atherosclerotic lesions, and alveolar macrophages from healthy individuals (6,60,61). The data available on PPAR $\gamma$  is primarily focused on lipid and glucose metabolism and the promotion of foam cell formation in atherosclerotic lesions (7,62). PPAR $\gamma$  is considered a potential therapeutic target for both diabetes and atherosclerosis due to its role in regulating lipid influx and efflux in macrophages (63).

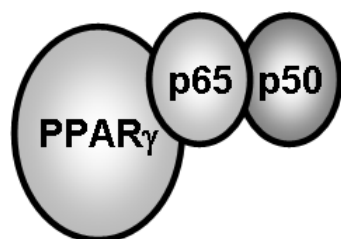
**Figure 1.1 Mechanisms of PPAR $\gamma$ -mediated transrepression of NF- $\kappa$ B.** PPAR $\gamma$  antagonizes NF- $\kappa$ B transcriptional activity by (A) competitively binding NF- $\kappa$ B co-activator complexes, (B) direct binding of the p65 subunit of NF- $\kappa$ B, and (C) promotion of I $\kappa$ B $\alpha$ . Image was adapted from Ricote and Glass (59).



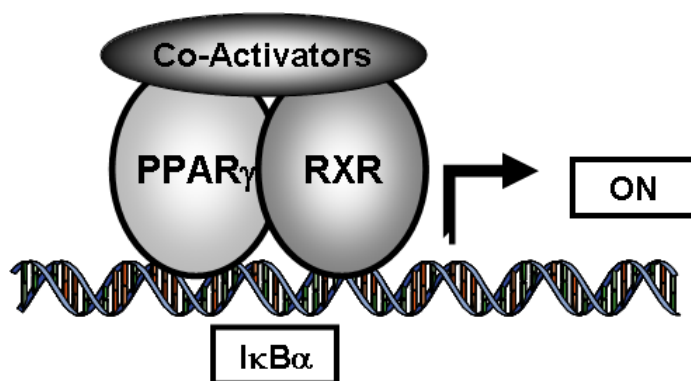
A.



B.



C.



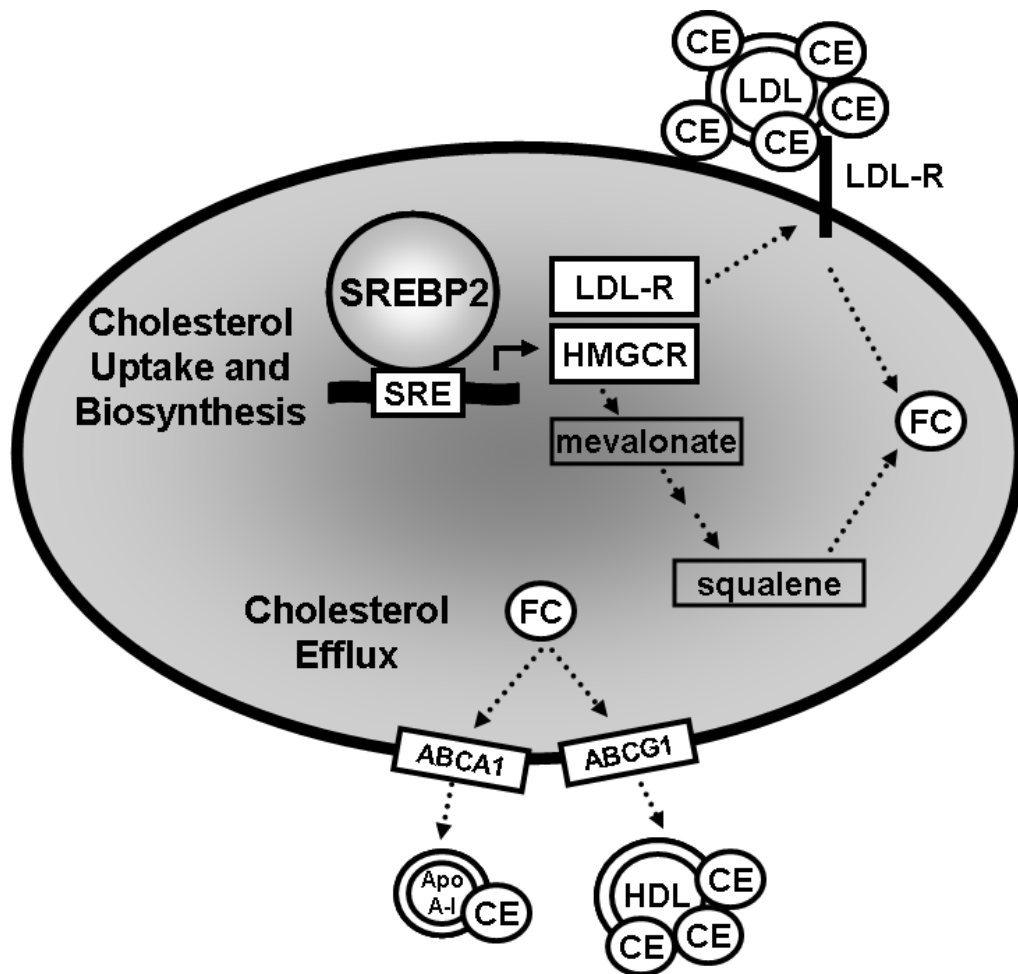
## **Cholesterol Biosynthesis, Uptake, and Efflux in Macrophages**

Cholesterol is essential for membrane structure and organization, lipid rafts and cell-signaling, and endocytosis [reviewed by Maxfield and Tabas (64)]. Excess cholesterol, on the other hand, can disrupt cell-signaling, cause membrane rigidity, and stimulate pro-apoptotic cascades. Cells maintain cholesterol levels through the uptake of cholesterol from circulation and biosynthesis of cholesterol de novo (Figure 1.2). The intracellular level of cholesterol is a critical part of the regulation of these processes [reviewed by Brown and Goldstein (65)].

Cholesterol is synthesized in the cell from acetyl-CoenzymeA through the mevalonate pathway. In response to limited cholesterol, the nuclear transcription factor sterol response element-binding protein 2 (SREBP2) undergoes proteolytic cleavage, enters the nucleus, and promotes the transcription of all the cholesterol biosynthesis pathway enzymes including the rate-limiting enzyme 3-hydroxy-3-methylglutaryl-coenzyme A reductase (HMGCR) (66,67). HMGCR is the first committed step in the biosynthesis of cholesterol and a major site of feedback regulation (68,69).

Cholesterol obtained from the diet is carried in circulation by the low-density lipoprotein (LDL). In addition to cholesterol biosynthesis enzymes, in response to low sterol levels SREBP2 also promotes transcription of the LDL receptor (LDL-R) (70,71). As cell cholesterol levels increase, LDL-R expression

**Figure 1.2 Cholesterol biosynthesis, uptake, and efflux in macrophages.** In response to limited cholesterol, SREBP2 promotes the transcription of important cholesterol biosynthesis enzyme HMGCR and cholesterol receptor LDL-R. Free cholesterol is actively transported from macrophages by ABCA1 and ABCG1 to acceptor molecules Apo-AI and HDL, respectively. CE, cholesteryl ester; FC, free cholesterol; SRE, sterol response element.



is reduced (72). Further, LDL-derived cholesterol negatively regulates transcription of LDL-R and HMGCR through the SREBP2 pathway (71). HMGCR is also subject to degradation in the presence of sterols through a sterol-sensing domain (73).

In addition to LDL-R, macrophages express several scavenger receptors that bind and internalize excess cholesterol in tissues. Contrasting with the LDL-R, scavenger receptor A-I (SRA-I) and CD36 are not inhibited by high intracellular cholesterol levels but rather are up-regulated in the presence of extracellular cholesterol bound to modified-LDL particles (74). SRA-I and CD36 are expressed on extrahepatic macrophages, such as those found in the vascular tissue. The removal of cholesterol from macrophages is mediated by lipid transporters ABCG1 and ABCA1 which transfer cholesterol to the acceptor molecules high-density lipoprotein (HDL) and apolipoprotein A-I (Apo A-I), respectively. Cholesterol is then recycled to the liver to make bile through a process called reverse cholesterol transport. The accumulation of cholesterol in macrophages promotes foam cell formation and pro-inflammatory responses (75). In fact, it has been demonstrated in models of atherosclerosis that “unrestricted” uptake of cholesterol by the scavenger receptors (due to high substrate levels) in cholesterol-rich tissues can result in intracellular cholesterol overload and foam cell formation when the efflux of cholesterol is insufficient or dysregulated (75).

Cholesterol is primarily delivered to the lungs by lipoproteins (76). Although cholesterol is a relatively minor component, pulmonary surfactant is a major pool of cholesterol in the body. Cholesterol is a functionally diverse lipid capable of influencing cell structure and signaling.

It has been shown that cholesterol levels are increased in the lungs of PAP patients while no difference was measured in the sera (47). Cholesterol metabolites 3 $\beta$ -hydroxy-5-cholestenoic acid (cholestenoic acid) and 27-hydroxycholesterol (27-OH), however, were significantly elevated in the lungs and sera of PAP patients (47). It was suggested that the increases were likely due to increased substrate supply rather than altered hepatic metabolism. These results indicated that cholesterol metabolites could be a biomarker of pulmonary cholesterol homeostasis. Combined with the presence of foam cells in the lungs of patients, these results also provided evidence that homeostasis of cholesterol catabolism may be disrupted in PAP. Interestingly, the contribution of impaired cholesterol catabolism to the overall disruption of surfactant catabolism in the pathogenesis of PAP has not been specifically addressed.

While little is known of regulatory role of PPAR $\gamma$  in the lipid metabolism of alveolar macrophages specifically, data from other tissue macrophages have shown that macrophages are critical in maintaining the balance of cholesterol in circulation and in many tissues. Cholesterol metabolism in turn is transcriptionally regulated by PPAR $\gamma$  through the expression of lipid transporters and scavenger receptors, described in the next section.

## **Regulation of Lipid Receptors, Lipid Transporters, and Transcription**

### **Factors in Macrophages by PPAR $\gamma$**

Little is known of the regulatory role of PPAR $\gamma$  in the lipid metabolism of alveolar macrophages. Most of the research regarding PPAR $\gamma$  and lipid metabolism in macrophages has been done on other tissue macrophages such as peritoneal macrophages. This work has shown that PPAR $\gamma$  transcriptionally promotes the efflux of cholesterol via transactivation of sterol-sensing transcription factor liver X receptor-alpha (LXR $\alpha$ ) and lipid transporters ABCG1 and ABCA1 (Figure 1.3) (77-79). In a macrophage, cholesterol is metabolized into PPAR $\gamma$  ligands (oxidized fatty acids) (58) and LXR ligands (oxysterols) (80-82) which transcriptionally activate both transcription factors and in turn, drives the removal of lipids from the macrophage via ABCG1 and ABCA1. As described in the previous section, free cholesterol is transported by ABCG1 and ABCA1 to acceptor molecules HDL and ApoA-I and then on to the liver via reverse cholesterol transport (83,84). The PPAR $\gamma$ -LXR-ABC cascade is critical to maintaining cholesterol efflux (85) as it is regarded as the rate-limiting step in reverse cholesterol transport.

Studies from knockout models have shown that the ABC transporters work synergistically and appear to have compensatory mechanisms. When one ABC transporter is deficient, PPAR $\gamma$  and LXR ligands accumulate and induce expression of the other transporter (86,87). ABCA1 deficient macrophages

**Figure 1.3 PPAR $\gamma$  and LXR regulate cholesterol efflux.** Upon ligand binding, PPAR $\gamma$  and LXR $\alpha/\beta$  heterodimerizes with RXR and promotes the transcription of downstream genes ABCG1, ABCA1, ApoE, and CYP27A1. The PPAR $\gamma$ -LXR cascade is critical to the maintenance of cholesterol efflux in macrophages. This image was adapted from Argmann, et al. (88).



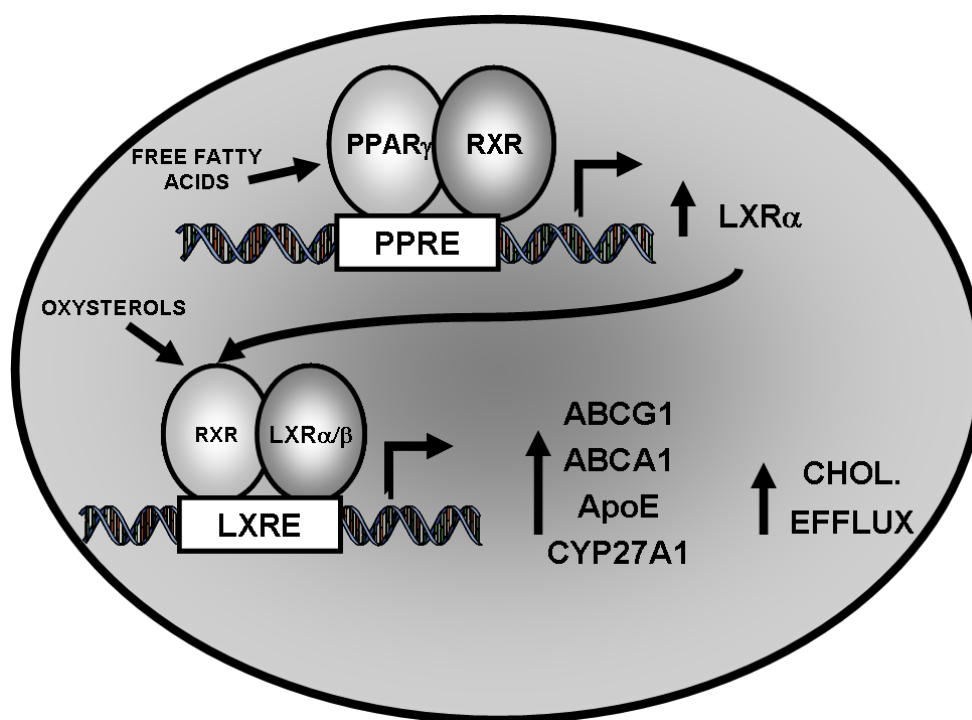


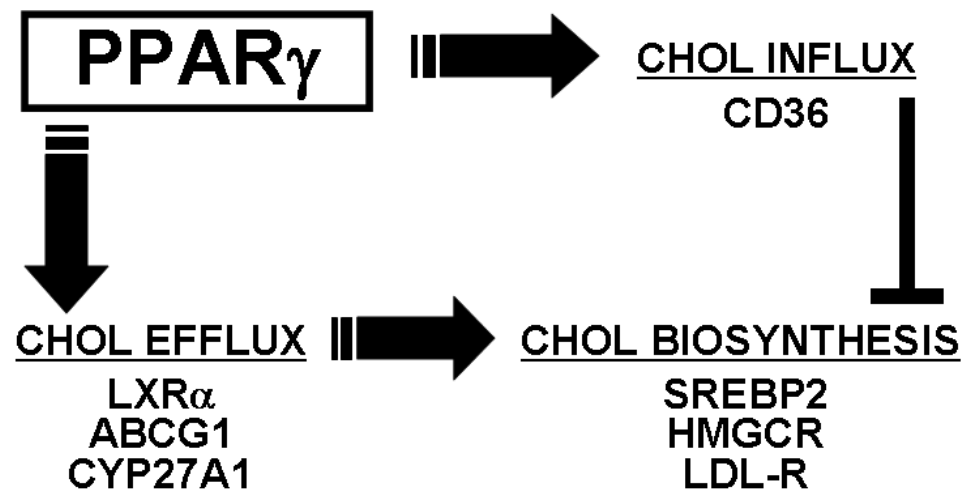
exhibit increased ABCG1-mediated cholesterol efflux and ABCG1 deficient macrophages have increased ABCA1-mediated cholesterol efflux (86). These studies also demonstrated that ABCA1 is less able to compensate for loss of ABCG1 as ABCG1-deficient mice develop more severe lipid accumulation in the lung (86,89,90).

Although scavenger receptor-mediated uptake of modified-LDL particles is complex and is not fully understood, it is known to be regulated in part by PPAR $\gamma$ : CD36 is directly up-regulated by PPAR $\gamma$  (61) while SRA-I is negatively regulated by PPAR $\gamma$  (60). Cholesterol influx and efflux contribute to the overall cholesterol level in macrophages which in turn regulates the biosynthesis of cholesterol. As PPAR $\gamma$  directly regulates cholesterol influx (via scavenger receptors) and efflux (via ABC transporters), it indirectly affects the biosynthesis of cholesterol in macrophages (Figure 1.4).

Although PPAR $\gamma$  has been implicated as a critical mediator in cholesterol metabolism in various tissue macrophages, PPAR $\gamma$  may hold particular importance in cholesterol catabolism in alveolar macrophages given the amount of cholesterol in the lung.

***Figure 1.4 PPAR $\gamma$  regulates cholesterol influx, efflux, and biosynthesis.***

PPAR $\gamma$  directly promotes transcription of genes involved in the movement of cholesterol in and out of the cell, including scavenger receptor CD36 and genes involved in cholesterol efflux LXR $\alpha$ , ABCG1, and CYP27A1, which influence the intracellular cholesterol level. In turn, cholesterol levels regulate the biosynthesis of cholesterol through the expression of transcription factor SREBP2 and downstream targets HMGCR and LDL-R. Therefore, PPAR $\gamma$  directly and indirectly regulates the influx, efflux, and biosynthesis of cholesterol in macrophages.



## Statement of the Problem

The expression of PPAR $\gamma$  is deficient in the alveolar macrophages of PAP patients and GM-CSF KO mice, which exhibit PAP-like pulmonary pathology and accumulate pulmonary cholesterol (6). However, the accumulation of surfactant has not been directly linked to the deficiency of PPAR $\gamma$ . Further work in the laboratory on the alveolar macrophages of PAP patients and GM-CSF KO mice revealed that the expression of the lipid transporter ABCG1 was also deficient (51). We therefore hypothesized that PPAR $\gamma$  promotes surfactant catabolism through regulation of ABCG1. To address the hypothesis, we utilized a macrophage-specific PPAR $\gamma$  knockout (PPAR $\gamma$  KO) mouse model.

Chapter 2 addresses the hypothesis by utilizing PPAR $\gamma$  KO mice. The specific objectives of Chapter 2 were to: (1) analyze the lipids accumulating in the alveolar spaces and alveolar macrophages of PPAR $\gamma$  KO mice, and (2) evaluate the expression of downstream PPAR $\gamma$  genes, including ABCG1, which may be involved in the catabolism of surfactant.

Chapter 3 describes the generation of a Lentivirus vector containing the PPAR $\gamma$  sequence (Lenti-PPAR $\gamma$ ). We utilized Lenti-PPAR $\gamma$  to up-regulate PPAR $\gamma$  in primary murine and human alveolar macrophages in vitro. Methods detailed in this chapter were critical to the in vivo experiments in Chapters 4 and 5.

Chapter 4 discusses experiments that evaluate the effects of replacing PPAR $\gamma$  in vivo using Lenti-PPAR $\gamma$  on the regulation of cholesterol metabolism

genes. The specific objectives of Chapter 4 were to: (1) up-regulate deficient expression of  $LXR\alpha$  and  $ABCG1$ , and (2) investigate the expression of genes involved in cholesterol influx and synthesis in the alveolar macrophages of  $PPAR\gamma$  KO mice.

Chapter 5 investigates the reconstitution of  $PPAR\gamma$  in  $PPAR\gamma$ -deficient alveolar macrophages of GM-CSF KO mice. The specific purpose of Chapter 5 was to investigate  $PPAR\gamma$ -mediated changes on cholesterol efflux gene expression and clearance of surfactant in GM-CSF KO. We hypothesized that surfactant catabolism is regulated by a  $PPAR\gamma$ - $ABCG1$  pathway and that up-regulation of  $PPAR\gamma$  will increase surfactant catabolism and reduce the presence of lipid-engorged alveolar macrophages in the lung.

## CHAPTER 2

### TARGETED DELETION OF PPAR $\gamma$ IN ALVEOLAR MACROPHAGES DISRUPTS SURFACTANT CATABOLISM

Anna D. Baker<sup>1</sup>, Anagha Malur<sup>1</sup>, Barbara P. Barna<sup>1</sup>, Shobha Ghosh<sup>3</sup>, Mani S.  
Kavuru<sup>1</sup>, Achut G. Malur<sup>2</sup> and Mary Jane Thomassen<sup>1</sup>

East Carolina University, <sup>1</sup>Department of Internal Medicine, Division of Pulmonary, Critical Care, and Sleep Medicine and <sup>2</sup>Department of Microbiology and Immunology; Virginia Commonwealth University, <sup>3</sup>Department of Internal Medicine.

Running Title: Targeted PPAR $\gamma$  Deficiency in Alveolar Macrophages

Keywords: ABCG1, surfactant, pulmonary alveolar proteinosis, GM-CSF

Corresponding Author:

Dr. Mary Jane Thomassen<sup>1</sup>

The Brody School of Medicine, East Carolina University

3E-149 Brody Medical Sciences Building

Greenville, NC 27834

(252) 744-1117, FAX (252) 744-2583

email: thomassenm@ecu.edu

This manuscript was submitted in part to the Journal of Lipid Research and is currently under review. Manuscript ID JLR/2009/001651.



### Abstract

Surfactant accumulates in the alveolar macrophages of granulocyte-macrophage colony-stimulating factor knockout (GM-CSF KO) mice and pulmonary alveolar proteinosis (PAP) patients with a functional loss of GM-CSF resulting from neutralizing anti-GM-CSF antibody. Alveolar macrophages from PAP patients and GM-CSF KO mice are deficient in peroxisome proliferator-activated receptor-gamma (PPAR $\gamma$ ) and ATP-binding cassette (ABC) lipid transporter ABCG1. Previous studies have demonstrated that GM-CSF induces PPAR $\gamma$ . We therefore hypothesized that PPAR $\gamma$  promotes surfactant catabolism through regulation of ABCG1. To address this hypothesis macrophage-specific PPAR $\gamma$  knockout (PPAR $\gamma$  KO) mice were utilized. PPAR $\gamma$  KO mice develop foamy, lipid-engorged Oil Red O positive alveolar macrophages. Lipid analyses revealed significant increases in the cholesterol and phospholipid contents of PPAR $\gamma$  KO alveolar macrophages and extracellular bronchoalveolar lavage (BAL)-derived fluids. Increased surfactant proteins A and D were detected in BAL fluid. PPAR $\gamma$  KO alveolar macrophages showed decreased expression of ABCG1 and a deficiency in ABCG1-mediated cholesterol efflux to HDL. Lipid metabolism may also be regulated by liver X receptor (LXR)—ABCA1 pathways. Interestingly, ABCA1 and LXR $\beta$  expression were elevated indicating that this pathway is not sufficient to prevent surfactant accumulation in alveolar macrophages. These

results suggest that PPAR $\gamma$  mediates a critical role in surfactant homeostasis through the regulation of ABCG1.

## Introduction

Pulmonary alveolar proteinosis (PAP) is a rare autoimmune lung disease characterized by neutralizing auto-antibodies to granulocyte-macrophage colony-stimulating factor (GM-CSF) (1,91). This loss of functional GM-CSF results in a filling of the alveolar spaces of the lungs with the lipoproteinaceous material called surfactant. While PAP is a rare lung disorder, surfactant abnormalities are problematic in many lung diseases, including acute respiratory distress syndrome (ARDS), sarcoidosis, and asthma [reviewed by Sorensen (4)].

Pulmonary surfactant is comprised of 90% lipid, 10% protein, and less than 1% carbohydrate. Phospholipids are the major lipid in surfactant and are associated with four surfactant-associated proteins (SP-A, -B, -C, and -D). SP-B and SP-C contribute to the surface tension lowering properties of surfactant and SP-A and SP-D are actively involved in the innate immunity of the lung [reviewed by Trapnell and Whitsett (92)]. Other lipids associated with surfactant include cholesterol, triglycerides, and free fatty acids. Cholesterol is the major neutral lipid (up to 90%) in pulmonary surfactant (93). Surfactant is produced by type II pneumocytes and two pathways have been described in the clearance of surfactant [reviewed by Hawgood and Poulain (21)]. Type II cells endocytose surfactant lipids and complexes and recycle them into new surfactant. Alveolar macrophages phagocytose and degrade surfactant and are considered to be the primary cell involved in the clearance and catabolism of surfactant (3).

PAP patients produce normal levels of surfactant (25). The accumulation of surfactant in the lungs of PAP patients is due to insufficient surfactant catabolism by alveolar macrophages (25,26,28). Alveolar macrophages from PAP patients have an activated phenotype resembling foam cells and are engorged with neutral lipid, as evidenced by positive Oil Red O staining (50). The nuclear transcription factor peroxisome proliferator-activated receptor-gamma (PPAR $\gamma$ ) is constitutively expressed in the alveolar macrophages of healthy controls and is up-regulated by GM-CSF (6,7). Our previous studies have shown that the alveolar macrophages of PAP patients and the GM-CSF knockout (GM-CSF KO) mouse model of PAP are deficient in PPAR $\gamma$  (6,51).

While the role of PPAR $\gamma$  in surfactant catabolism in the lung remains unclear, PPAR $\gamma$  is known to directly and indirectly regulate many genes involved in cholesterol metabolism and transport including the nuclear transcription factor liver X receptor alpha (LXR $\alpha$ ) and ATP-binding cassette (ABC) lipid transporters, ABCG1 and ABCA1 (51,77,78,94). Studies have suggested that PPAR $\gamma$  deficiencies result in decreased expression of ABCG1 (51,77). The deletion of ABCG1 in mice (ABCG1 KO) results in severe pulmonary lipidosis (89). Cholesterol and phospholipid accumulate and foam cell formation occurs in the macrophages of ABCG1 KO (84,86,89). Moreover, ABCG1 KO macrophages display reduced capacities to efflux cholesterol and phospholipid (83-85,95). We therefore hypothesized that PPAR $\gamma$  may promote surfactant catabolism through regulation of the lipid transporter ABCG1. To test this hypothesis, we

investigated the alveolar macrophages from macrophage-specific PPAR $\gamma$  knockout (PPAR $\gamma$  KO) mice.

## Materials and Methods

**Mice.** Animal studies were conducted in conformity with Public Health Service policy on the humane care and use of laboratory animals and were approved by the Institutional Animal Care Committee. C57Bl/6 wild type (WT) mice were obtained from Jackson Laboratory (Bar Harbor, ME). Macrophage-specific PPAR $\gamma$  KO mice have been previously described (96). Bronchoalveolar lavage (BAL) cells were obtained as described earlier from 8–12 week old PPAR $\gamma$  KO mice and age- and gender-matched wild type C57Bl/6 controls (96). Briefly, the thoracic cavity was opened and the lungs were exposed. After cannulating the trachea, a tube was inserted and BAL was carried out with warmed (37°C) PBS in 1 mL aliquots x 5. Except where indicated, sample number (n) refers sets of BAL cells pooled from 3-5 mice while BAL fluid was analyzed from individual mice. Following previously established guidelines for analysis of acellular components of BAL fluid (97), analysis BAL fluid protein and lipid utilized samples with similar volumes recovered [ranging from 4.25-5.0mL for wild type and 4.1-4.8mL for PPAR $\gamma$  KO]. Cell viability was measured by trypan blue exclusion. BAL cell differentials from all animals used in the experiments were stained with a Wright-Giemsa stain and revealed >90% macrophages. Cytospins of BAL cells were stained with Oil Red O to detect intracellular neutral lipids. BAL cells were fixed in 4% paraformaldehyde, stained with Gill's hematoxylin (Sigma, St. Louis, MI), and incubated in Oil Red O solution (Rowley Biochemical Inc.,

Danvers, MA) overnight. BAL cells were washed in 85% propylene glycol and mounted in Mount-Quick aqueous mounting medium (Daido Sangyo Co., Tokyo, Japan). Oil Red O positivity was quantified by counting 100 cells on each cytospin slide from C57Bl/6 and PPAR $\gamma$  KO mice.

***RNA purification and analysis.*** Total RNA was extracted from the cells by the RNeasy protocol (Qiagen, Valencia, CA). Expression of mRNA was determined by real-time RT-PCR analysis using the ABI Prism 7300 Detection System (TaqMan; Applied Biosystems, Foster City, CA) according to the manufacturer's instructions. RNA specimens were analyzed in duplicate using primer sets for mouse LXR $\alpha$  (Mm00443454), LXR $\beta$  (Mm00437262), ABCA1 (Mm00442646), ABCG1 (Mm00437390), CYP27A1 (Mm00470430), and APOE (Mm00437573) (Applied Biosystems). Relative gene expression was quantified as described (98). Briefly, the control group (C57Bl/6) values were calculated by subtracting the raw cycle (CT) data for the housekeeping gene (GAPDH, 4352339E) from the cycle data for the gene of interest. The ensuing values ( $\Delta$ CT) were averaged and normalized to 1.0. Data from PPAR $\gamma$  KO were quantified in a similar fashion and expressed as fold change in gene expression relative to wild type. For these experiments, BAL cells were isolated from individual PPAR $\gamma$  KO mice and compared to pooled samples of C57Bl/6 BAL cells.

***Cholesterol efflux assay.*** Pooled BAL cells ( $3.5 \times 10^5$ /well) were plated in 48-well cell culture plates in complete DMEM media (Invitrogen, Carlsbad, CA) and maintained at 37°C and 5% CO<sub>2</sub>. Non-adherent cells were removed after one hour. Cells were incubated for 24 hours in 2 μCi/mL of [1,2-<sup>3</sup>H(N)]-cholesterol (NEN, Perkin Elmer, Waltham, MA), equilibrated in serum free media for 24 hours, and incubated in the presence of 10% fetal bovine serum (FBS), apolipoprotein A-I (ApoA-I) (25 μg/mL) (Sigma), or HDL (25 μg/mL) (Intracel, Frederick, MD) for 24 hours. Supernatant fluids were harvested and centrifuged at 1800rpm for 5 minutes to remove cellular debris. Cells were washed with PBS and lysed in 0.2 M sodium hydroxide (NaOH) with 0.1% SDS for 1 hour at room temperature. Supernatant and cell-associated radioactivity was measured by liquid scintillation. Cholesterol efflux was expressed as the percentage of radioactivity in the supernatant divided by the total radioactivity of the cells and supernatant. Each assay was performed in duplicate and results from three independent assays were used to calculate percent efflux.

***Immunoblotting.*** Equal volumes of BAL fluid were used for analysis of surfactant protein. For analysis of BAL cell protein, samples were loaded based on equal total protein determined using a modified Lowry assay (Dc Protein Assay, Bio-Rad Laboratories, Hercules, CA). Gels were eletrophoresed under reducing conditions using a 10% Bis-Tris gel (Bio-Rad) with MOPS buffer (Invitrogen). The following primary antibodies and dilutions were used: 1:500



ABCG1 (sc-11150) and 1:500 ABCA1 (sc-5491, Santa Cruz Biotechnology, Inc., Santa Cruz, CA); 1:5000 SP-A (AB3420) and 1:2000 SP-D (AB3434, Millipore, Billerica, MA). Bands corresponding to ABCG1 were normalized to  $\beta$ -actin as the loading control and the intensity of the protein bands were quantified using ImageQuant TL (GE Healthcare, Little Chalfont, England). Bands corresponding to ABCA1 were analyzed in the same manner using ImageJ.

***Lipid extraction.*** Lipid analysis was carried out on sets of pooled BAL cells and 1mL aliquots of BAL fluids with similar effluent volumes. Total lipids were extracted using a modified method of Bligh and Dyer in HPLC-grade chloroform/methanol/1M sodium chloride (NaCl) (2/1/1.25, v/v/v) (Sigma) (99). The organic phase was obtained by centrifugation at 1500rpm. Lipids were dried under a gentle stream of nitrogen gas. The Phospholipids C kit (Wako Pure Chemicals, Osaka, Japan) was analyzed according to manufacturer's instructions. Phospholipid content was expressed as mg phospholipid per mg total protein.

***Cholesterol content analysis.*** Sets of pooled BAL cells and BAL fluid samples with similar effluent recovered were analyzed for cholesterol content using the Amplex Red Cholesterol Assay (Invitrogen) according to the manufacturer's protocol. Cells and aliquots of cell-free BAL fluid were assayed in serial dilution

in 96-well plates. Cholesterol content was expressed as  $\mu\text{g}$  cholesterol per mg total protein.

***Statistical analysis.*** Data were analyzed by Student's *t*-test using Prism software (GraphPad, Inc., San Diego, CA). Significance was defined as  $p \leq 0.05$ .

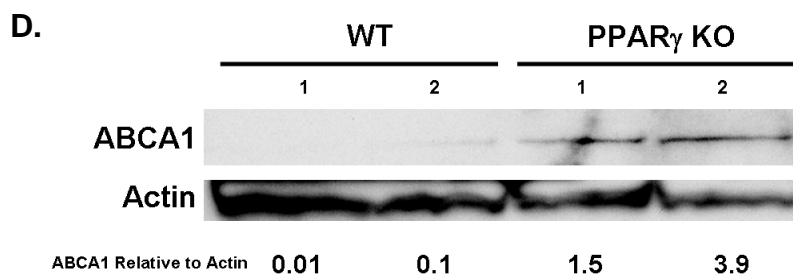
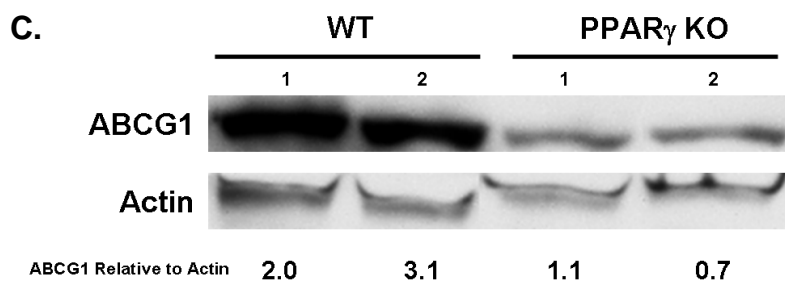
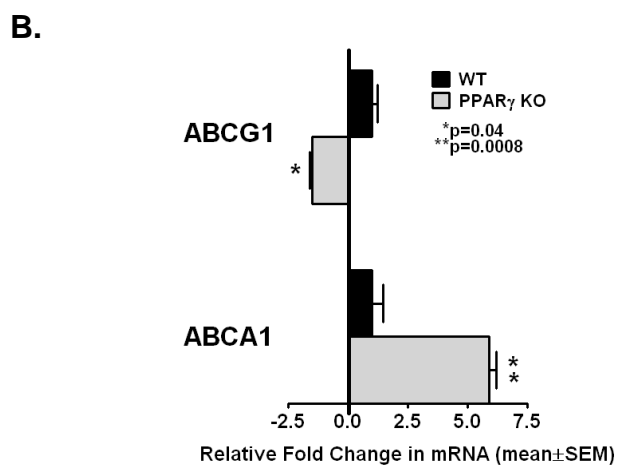
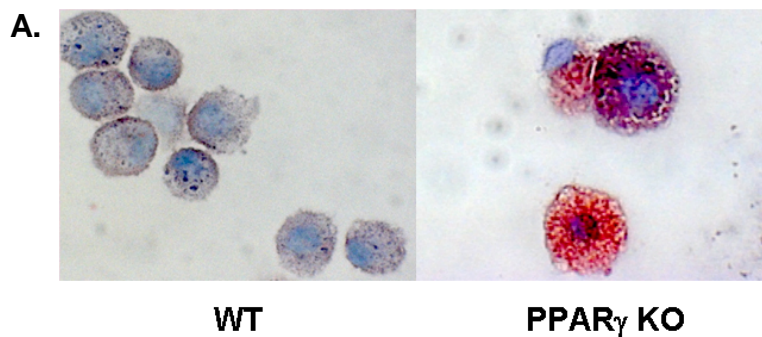
## Results

***PPAR $\gamma$  deficiency results in lipid accumulation and dysregulation of lipid transporters in alveolar macrophages.*** Wright-Giemsa staining revealed large foamy alveolar macrophages and Oil Red O staining showed that  $88.8\pm 1.7\%$  of PPAR $\gamma$  KO alveolar macrophages stained positive, compared to  $2.4\pm 1.0\%$  of wild type, indicating neutral lipid accumulation in the PPAR $\gamma$  KO ( $p < 0.0001$ ) (Figure 2.1A). Due to the lipid accumulation, we evaluated mRNA expression of the lipid transporters ABCG1 and ABCA1 which are known to be involved in lipid metabolism in macrophages and are downstream targets of PPAR $\gamma$ . (100). ABCG1 mRNA was decreased 1.5-fold and in contrast ABCA1 was increased 5.9-fold (Figure 2.1B). Decreased ABCG1 and increased ABCA1 protein expression were confirmed at the protein level by immunoblotting (Figure 2.1C-D).

***Surfactant lipids and proteins accumulate in the lungs of PPAR $\gamma$  KO mice.***

The composition of the lipid accumulating in the lungs of the PPAR $\gamma$  KO was determined by measuring both cholesterol and phospholipid levels in the alveolar macrophages and BAL fluids. Compared to wild type mice, cellular content of free cholesterol was significantly increased in PPAR $\gamma$  KO mice ( $0.39\pm 0.07$  versus  $5.80\pm 1.69$   $\mu\text{g}/\text{mg}$  protein) while the cholesteryl ester content was not significantly different ( $0.12\pm 0.01$  versus  $0.58\pm 0.29$   $\mu\text{g}/\text{mg}$  protein) (Figure 2.2A). Free

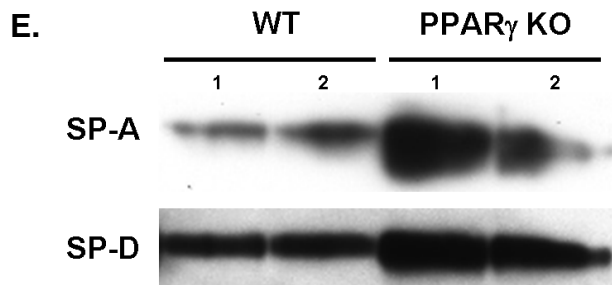
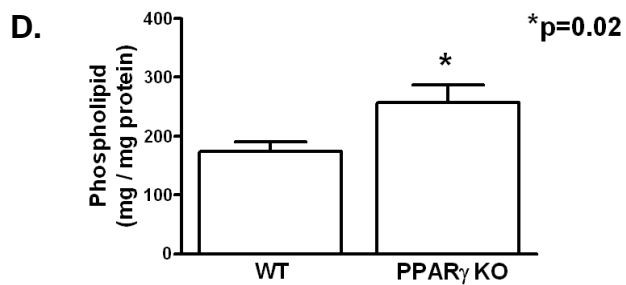
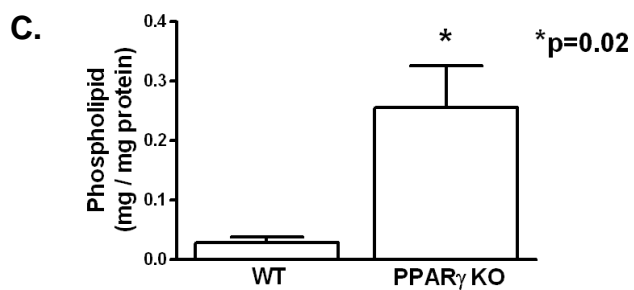
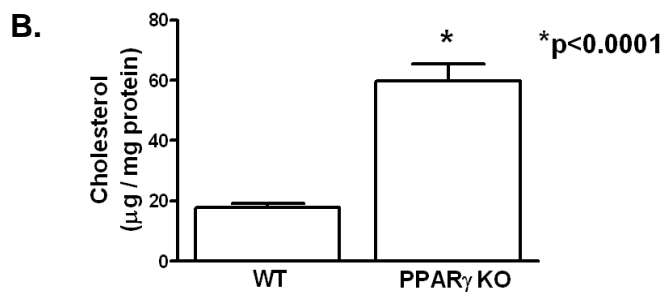
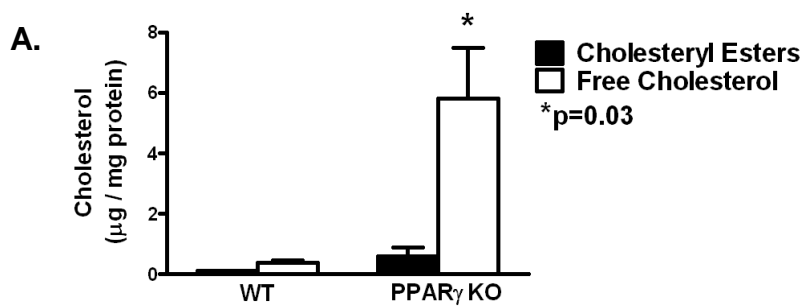
**Figure 2.1 PPAR $\gamma$  deficiency results in dysregulation of lipid metabolism in alveolar macrophages.** (A) Marked Oil Red O staining of alveolar macrophages from PPAR $\gamma$  KO indicate neutral lipid accumulation compared to wild type (n=3). (B) ABCG1 is decreased whereas ABCA1 expression is enhanced in PPAR $\gamma$  KO compared to wild type as measured by RT-PCR (n=6). (C) ABCG1 protein is decreased and (D) ABCA1 protein is increased in PPAR $\gamma$  KO alveolar macrophages as shown in a representative immunoblot from one of two experiments. The numbers above the bands refer to sets of pooled BAL cells from each genotype. The intensity ratios of the ABCG1 and ABCA1 protein bands to actin are indicated.



cholesterol was also elevated in the BAL fluid of PPAR $\gamma$  KO mice ( $59.6\pm 5.7$   $\mu\text{g}/\text{mg}$  protein) compared to wild type ( $17.8\pm 1.3$   $\mu\text{g}/\text{mg}$  protein) (Figure 2.2B). Cholesteryl esters were not detected in the BAL fluid of wild type or PPAR $\gamma$  KO mice. The cellular phospholipid content in PPAR $\gamma$  KO alveolar macrophages was significantly increased over wild type ( $0.03\pm 0.01$  versus  $0.26\pm 0.07$   $\text{mg}/\text{mg}$  protein) (Figure 2.2C). Extracellular phospholipids were elevated in the BAL fluid of PPAR $\gamma$  KO mice ( $257.5\pm 28.9$   $\text{mg}/\text{mg}$  protein) compared to wild type ( $174.2\pm 16.0$   $\text{mg}/\text{mg}$  protein) (Figure 2.2D). Surfactant-associated proteins A (SP-A) and D (SP-D) were increased in the BAL fluid of PPAR $\gamma$  KO mice as shown by immunoblotting (Figure 2.2E).

***PPAR $\gamma$  deficiency results in decreased cholesterol efflux to HDL from alveolar macrophages.*** The accumulation of cholesterol in the lungs and alveolar macrophages of the PPAR $\gamma$  KO and decreased expression of key cholesterol efflux mediators led us to evaluate the cholesterol efflux system. Baseline cholesterol efflux (no acceptor) was increased in the PPAR $\gamma$  KO alveolar macrophages ( $8.3\pm 0.8\%$ ) compared to wild type ( $4.5\pm 0.3\%$ ), and the overall cholesterol efflux to media supplemented with FBS was decreased in the PPAR $\gamma$  KO ( $59.5\pm 1.7\%$ ) relative to wild type ( $70.5\pm 3.5\%$ ) (Figure 2.3). We next measured the efflux of cholesterol to acceptor molecules HDL and ApoA-I. Cholesterol efflux to ApoA-I in PPAR $\gamma$  KO ( $25.7\pm 1.7\%$ ) was significantly

**Figure 2.2 Surfactant lipids and proteins accumulate in the lungs of PPAR $\gamma$  KO mice.** (A) Cholesterol levels of PPAR $\gamma$  KO alveolar macrophages (n=3 sets) and (B) BAL fluid (n=5) are increased. Total and free cholesterol were measured and cholesteryl ester was determined by subtraction (90). (C) The phospholipid content of PPAR $\gamma$  KO alveolar macrophages (n=4 sets) and (D) BAL fluid (n=11 mice) are increased. (E) Surfactant associated protein A (SP-A) and surfactant associated protein D (SP-D) were found at increased levels in the BAL fluid of PPAR $\gamma$  KO mice as shown by a representative immunoblot from one of three experiments. The numbers above the bands refer to individual mice. Following previously established guidelines for analysis of acellular components of BAL fluid (97), analysis BAL fluid protein and lipid utilized samples with similar volumes recovered.



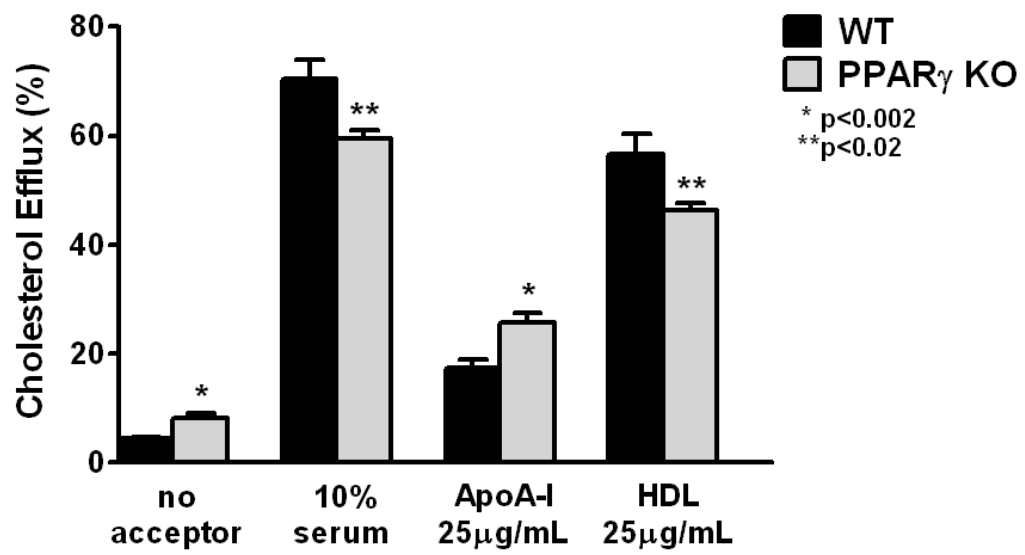


increased over wild type ( $17.3\pm 1.5\%$ ) and efflux to HDL was significantly decreased in PPAR $\gamma$  KO ( $46.2\pm 1.5\%$ ) compared to wild type ( $56.7\pm 3.6\%$ ). These results suggest impairment of ABCG1-mediated cholesterol efflux.

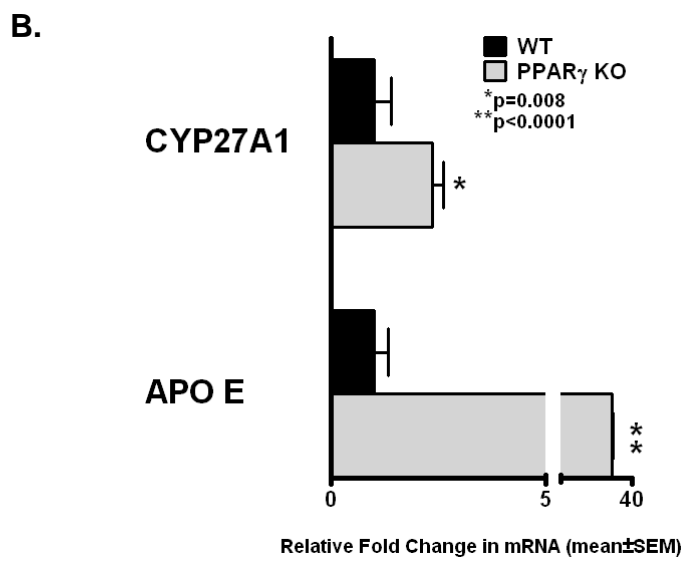
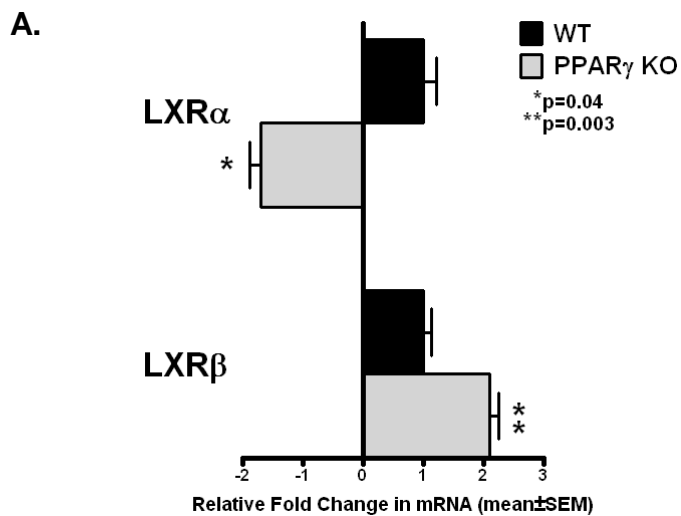
***PPAR $\gamma$  deficiency results in dysregulated LXR $\alpha$  and LXR $\beta$  expression.***

Given the increased expression of ABCA1 in PPAR $\gamma$  KO alveolar macrophages, we next investigated the expression of the LXR transcription factors, which may regulate cholesterol metabolism in macrophages in part by mediating transcription of the ABC transporters (101). RT-PCR analysis revealed a 1.7-fold decrease in LXR $\alpha$  mRNA and a 2.1-fold increase in LXR $\beta$  mRNA expression in PPAR $\gamma$  KO alveolar macrophages (Figure 2.4A). RT-PCR analysis also revealed increased expression in apolipoprotein E (ApoE) (34-fold) and sterol 27-hydroxylase (CYP27A1) (2.3-fold) mRNA in PPAR $\gamma$  KO alveolar macrophages, indicating that the LXR pathway is enhanced (Figure 2.4B).

**Figure 2.3 PPAR $\gamma$  deficiency results in decreased cholesterol efflux to HDL from alveolar macrophages.** The efflux of  $^3\text{H}$  labeled cholesterol was measured in PPAR $\gamma$  KO alveolar macrophages and compared to wild type (n=3).



**Figure 2.4 PPAR $\gamma$  deficiency results in dysregulated LXR $\alpha$  and LXR $\beta$  expression.** (A) Gene expression of LXR $\alpha$  and LXR $\beta$  in BAL cells from wild type (n=4) PPAR $\gamma$  KO mice (n=6) were analyzed by RT-PCR. LXR $\alpha$  mRNA expression is decreased in PPAR $\gamma$  KO alveolar macrophages in contrast LXR $\beta$  is increased. (B) ApoE and CYP27A1 mRNA expression are increased in PPAR $\gamma$  KO alveolar macrophages (n=6) compared to wild type (n=5).



## Discussion

In the present study we show that the targeted knockout of PPAR $\gamma$  in macrophages results in the accumulation of surfactant-like material in the alveolar spaces of the lung and within the alveolar macrophages. This is the first report directly linking the deficiency of PPAR $\gamma$  to lipid accumulation in the lung. PPAR $\gamma$  KO alveolar macrophages phenotypically resemble those of PAP patients in that they are foamy and Oil Red O positive for neutral lipid accumulation (50). Additionally, surfactant components (surfactant-associated proteins, phospholipids, and cholesterol) are increased within extracellular BAL fluids. Finally, the alveolar macrophages of PPAR $\gamma$  KO mice have reduced expression of ABCG1 and exhibit reduced ABCG1-mediated cholesterol efflux to HDL. Our results support the hypothesis that PPAR $\gamma$ -mediated regulation of ABCG1 expression is critical for surfactant catabolism in alveolar macrophages.

Previous studies have suggested that PPAR $\gamma$  is a key mediator of surfactant clearance and catabolism by alveolar macrophages (6,51). Surfactant accumulates in alveolar macrophages of PAP patients. PPAR $\gamma$  is deficient in the alveolar macrophages of these patients and is associated with the presence of neutralizing auto-antibodies against the hematopoietic growth factor GM-CSF (6). PPAR $\gamma$  deficiencies were also demonstrated in the GM-CSF KO mouse model of the disease (51).

GM-CSF also promotes cell survival, proliferation, and differentiation of alveolar macrophages and promotes the transcription of PPAR $\gamma$  in macrophages (7,29,102). The biological loss of GM-CSF has been reported to impair the differentiation of alveolar macrophages through dysregulation of the transcription factor PU.1 [reviewed by Trapnell and Whitsett (92)]. It was further demonstrated that PU.1 is deficient in the alveolar macrophages of PAP patients and GM-CSF KO mice (103,104); however no deficiency in GM-CSF or PU.1 expression was observed in the alveolar macrophages of the PPAR $\gamma$  KO mice. GM-CSF was up-regulated  $2.7\pm 0.25$ -fold ( $n=5$ ,  $p=0.02$ ) while PU.1 expression was not different from wild type mice ( $n=3$ ). These data suggest that maturation of the PPAR $\gamma$  KO alveolar macrophages is not disrupted as it is in PAP patients and GM-CSF KO mice (92). This is consistent with current literature suggesting that although PPAR $\gamma$  is not necessary for the differentiation of monocytes, a variation in the expression levels of PPAR $\gamma$  may modulate differentiation (105-107).

Consistent with the findings in PAP patients and GM-CSF KO mice (47,49,108-110), the PPAR $\gamma$  KO mice exhibit elevated levels of the major components of surfactant including cholesterol, phospholipid, and surfactant-associated proteins in the BAL fluid and alveolar macrophages. The alveolar macrophages from the PPAR $\gamma$  KO mice exhibited significantly increased cholesterol content comprised predominantly of free cholesterol. Cellular deposition of free cholesterol is considered to be a pivotal step in foam cell formation (111) and is consistent with the foamy phenotype of the PPAR $\gamma$  KO

alveolar macrophages. The pattern of lipid accumulation both in the alveolar space and alveolar macrophages of the lungs of PPAR $\gamma$  KO mice suggests deficient or incomplete surfactant catabolism by the alveolar macrophages.

RT-PCR analysis of the PPAR $\gamma$  KO alveolar macrophages revealed similar gene expression patterns of downstream PPAR $\gamma$  targets to those previously reported from PAP patients and GM-CSF KO mice with decreased expression of ABCG1 mRNA (1.5-fold) and increased expression in ABCA1 mRNA (5-fold) (6,51). These results are consistent with several studies indicating deficiency of one ABC transporter is compensated by the other transporter and is mediated by the sterol-sensing nuclear transcription factor LXR in response to the buildup of oxysterol ligands (87) or the oxidation of cholesterol metabolites by CYP27A1 in foamy macrophages (112). The two isoforms of LXR, LXR alpha (LXR $\alpha$ ) and LXR beta (LXR $\beta$ ), have overlapping roles in promoting cellular cholesterol export through regulation of the ABC transporters and ApoE (101).

In contrast to increased LXR $\alpha$  expression reported in PAP and GM-CSF KO (51), LXR $\alpha$  was down-regulated nearly 2-fold in the PPAR $\gamma$  KO mice. The differential expression of LXR $\alpha$ , which is regulated in part by PPAR $\gamma$  (77), may be explained by the varying levels of PPAR $\gamma$  in these systems: PPAR $\gamma$  is deficient in PAP and GM-CSF KO and is absent in PPAR $\gamma$  KO. This is supported by the finding that LXR $\beta$  expression, which is regulated independently of PPAR $\gamma$  (77), is



increased nearly 2-fold in the PPAR $\gamma$  KO alveolar macrophages. The expression of LXR $\beta$  has not been reported in PAP or GM-CSF KO alveolar macrophages.

While more study is needed to elucidate the possible mechanisms and differential regulation of the LXRs, it has been shown that the function and expression of the LXR isoforms are tissue-dependent (101,113,114). LXR $\beta$  is expressed at higher levels than LXR $\alpha$  in macrophages and is more effective than LXR $\alpha$  at up-regulating ABCA1 in response to sterol ligands (115). While the contributions of the individual LXR isoforms are unknown, as specific gene targets have yet to be identified, the LXR pathway overall is enhanced in the PPAR $\gamma$  KO, as evidenced by increased expression of downstream targets ABCA1 and ApoE. We show that increased expression of the LXR pathway is not sufficient to maintain surfactant catabolism in the absence of PPAR $\gamma$ .

The accumulation of cholesterol in the lungs and alveolar macrophages of PPAR $\gamma$  KO mice and the dysregulation of several cholesterol transport genes led us to investigate the efflux of cholesterol in PPAR $\gamma$  KO alveolar macrophages in vitro. PPAR $\gamma$  promotes lipid influx and efflux in macrophages through transcriptional regulation of ABC transporters and LXRs. ABCG1 mediates transport of cholesterol to extracellular acceptor HDL and ABCA1 transports cholesterol to lipid-free ApoA-I (83-85,116,117). In the present study, ABCG1-mediated cholesterol efflux to HDL was reduced and ABCA1-mediated efflux to ApoA-I was increased. Overall cholesterol efflux to FBS (10% serum) was decreased compared to wild type, consistent with the high levels of cholesterol in

the macrophages in vivo. These findings indicate that the reduction in cholesterol efflux in the PPAR $\gamma$  KO alveolar macrophages may be due to deficient transporter mediated cholesterol efflux pathways, specifically transport mediated by ABCG1.

Interestingly, similar patterns of cholesterol and phospholipid accumulation and altered lipid efflux have been reported in ABCG1 KO models (86,89). A reduction in total cholesterol efflux and a specific reduction in efflux to HDL were noted in ABCG1 KO peritoneal macrophages (86). Importantly, the authors also noted significantly increased efflux to ApoA-I in ABCG1 KO indicating compensation by ABCA1. Taken together, deficiencies in ABCG1 may result in dysregulated or insufficient cholesterol efflux and therefore cholesterol accumulation in the lung.

A summary of the differential gene expression of various lipid regulators and transporters in the alveolar macrophages from PPAR $\gamma$  KO mice, GM-CSF KO mice, and PAP patients is presented in Table 2.1. Comparison of the data supports the hypothesis that PPAR $\gamma$ -mediated regulation of ABCG1 is necessary to prevent the accumulation of surfactant. Additionally, the LXR pathway is enhanced in all of the groups, as evidenced by increased expression in ABCA1. An interesting difference, however, is the expression of LXR $\alpha$  which is increased in PAP and GM-CSF KO (PPAR $\gamma$ -deficient) alveolar macrophages and decreased in the PPAR $\gamma$  KO model. We speculate that lipid accumulation

**Table 2.1**

Summary table of expression levels of key lipid regulator and transporter genes in the alveolar macrophages from PPAR $\gamma$  KO mice, GM-CSF KO mice, and PAP patients.

<b>Lipid Regulators</b>	<b>PPAR<math>\gamma</math> KO MICE</b>	<b>GM-CSF KO MICE</b>	<b>PAP PATIENTS</b>
<b>GM-CSF</b>	Increased	Not expressed (29)	Decreased* (118)
<b>PPAR<math>\gamma</math></b>	Decreased	Decreased (51)	Decreased (6)
<b>ABCG1</b>	Decreased	Decreased (51)	Decreased (51)
<b>ABCA1</b>	Increased	Increased (51)	Increased (51)
<b>LXR<math>\alpha</math></b>	Decreased	Increased (51)	Increased (51)
<b>LXR<math>\beta</math></b>	Increased	Not reported	Not reported
<p><i>*GM-CSF mRNA is increased (118). However, protein is functionally reduced due to neutralizing antibodies against GM-CSF (1).</i></p>			

activates the LXR-ABCA1 pathway as a compensation mechanism, and that in the absence of PPAR $\gamma$ , LXR $\beta$  is the predominant isoform driving the up-regulation of ABCA1 and ApoE.

In the PPAR $\gamma$  KO mouse model, the absence of PPAR $\gamma$  results in reduced expression levels of ABCG1 and LXR $\alpha$ . Despite increased expression of LXR $\alpha$  and ABCA1 and increased ABCA1-mediated cholesterol efflux, surfactant components accumulate in the alveolar macrophages and BAL fluid of PPAR $\gamma$  KO mice. Our results indicate that as part of surfactant catabolism, ABCG1-mediated cholesterol efflux to HDL may be the major pathway for cholesterol efflux in alveolar macrophages. Thus PPAR $\gamma$ -mediated regulation of ABCG1 expression may be critical to the maintenance of surfactant homeostasis. This is the first report directly linking PPAR $\gamma$  deficiency in alveolar macrophages to lipid accumulation in the lungs. Understanding the role of PPAR $\gamma$  in normal surfactant homeostasis contributes to our knowledge of the pathophysiology of PAP and identifies a potential target for therapy.

## **Acknowledgments**

This work was supported by a faculty recruitment grant from the North Carolina Biotechnology Center, GRANT No 2005-FRG-1013 awarded to MJT.

## CHAPTER 3

### **GENERATION OF A LENTIVIRUS EXPRESSION SYSTEM TO STUDY THE ROLE OF PPAR $\gamma$ IN ALVEOLAR MACROPHAGES**

Anna D. Baker<sup>1</sup>, Megan R. Barrett<sup>1</sup>, Greg Wells<sup>2</sup>, Mani S. Kavuru<sup>1</sup>, Mary Jane Thomassen<sup>1</sup> and Achut G. Malur<sup>2</sup>

East Carolina University, <sup>1</sup>Department of Internal Medicine, Division of Pulmonary, Critical Care, and Sleep Medicine and <sup>2</sup>Department of Microbiology and Immunology.

Running Title: Generation of a Lentivirus-PPAR $\gamma$  Vector

Keywords: surfactant, pulmonary alveolar proteinosis, GM-CSF

### **Abstract**

The use of the Lentivirus expression system for gene delivery has gained significant interest due to its rapid, stable and long-term gene expression into a wide variety of cell types. Herein, we have utilized this system to successfully generate a Lentivirus, (Lenti-PPAR $\gamma$ ), which expresses human PPAR $\gamma$ , a transcription factor of the nuclear hormone receptor superfamily which is involved in lipid metabolism in macrophages. Transduction of Lenti-PPAR $\gamma$  into several cell types including cell lines and primary murine and human alveolar macrophages isolated from bronchoalveolar lavage led to the efficient expression of PPAR $\gamma$  as confirmed by RT-PCR and immunoblotting. Moreover, RT-PCR analysis revealed expression was dose-dependent. These results demonstrate that this system provides a reliable approach to study gene expression.

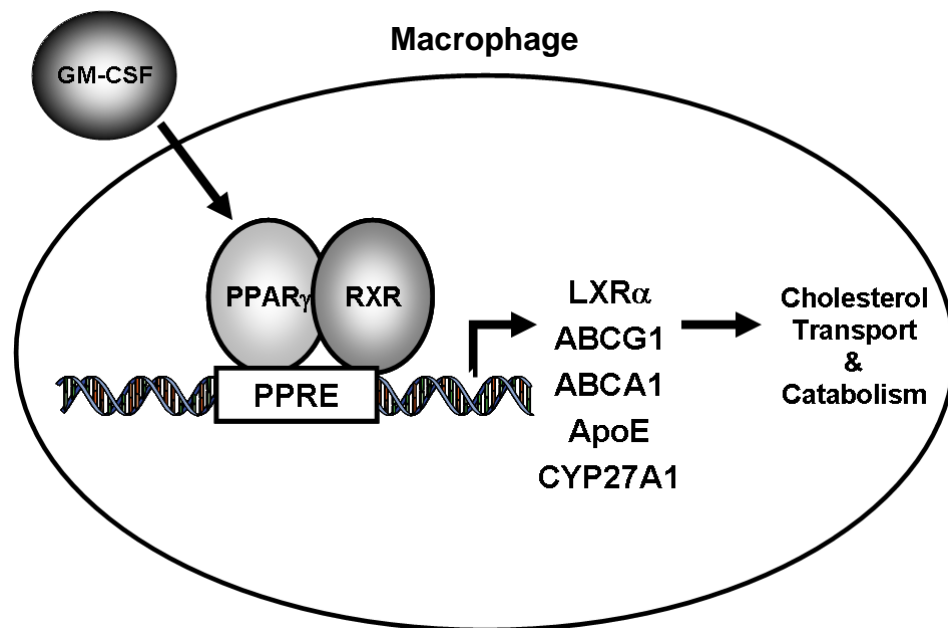
## Introduction

Peroxisome proliferator-activated receptor-gamma (PPAR $\gamma$ ) is a lipid-binding nuclear transcription factor involved in lipid and glucose metabolism in macrophages. Alveolar macrophages constitutively express high levels of PPAR $\gamma$  (6). The alveolar macrophages from patients with the autoimmune lung disease pulmonary alveolar proteinosis (PAP) are deficient in PPAR $\gamma$  (6). The biological loss of granulocyte-macrophage colony-stimulating factor (GM-CSF) due to a neutralizing auto-antibody results in the accumulation of surfactant in the lungs and alveolar macrophages of PAP patients (1,91). GM-CSF has been shown to up-regulate PPAR $\gamma$  (7). Therefore, we hypothesized that the GM-CSF-PPAR $\gamma$  pathway is involved in the regulation of surfactant catabolism (Figure 3.1). In order to test this hypothesis, we have utilized a Lentivirus expression system to restore expression of PPAR $\gamma$  in the PPAR $\gamma$ -deficient alveolar macrophages of GM-CSF knockout (GM-CSF KO) mice, a well-established model for studying PAP (29).

The most common mediators of gene delivery are DNA plasmids, liposome vectors, and recombinant viral vectors. While DNA plasmids are unstable and liposome vectors can evoke inflammatory responses (119), recombinant viral vectors are robust and can be adapted to be non-disruptive to host cells.



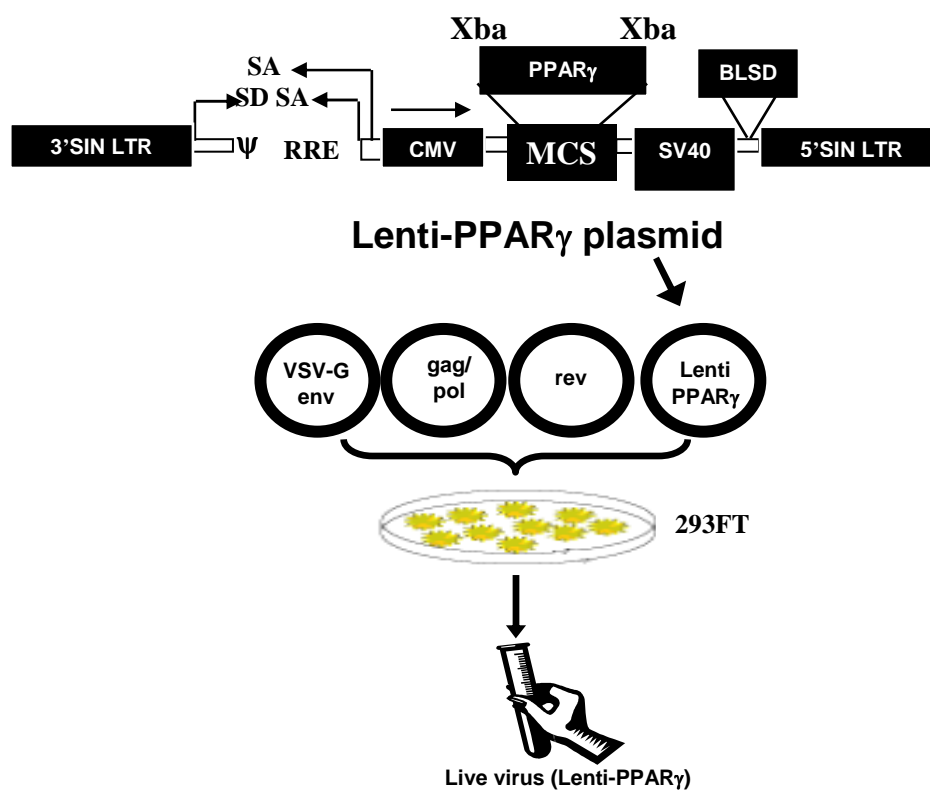
**Figure 3.1 Proposed pathway of interest.** The GM-CSF-PPAR $\gamma$  pathway in alveolar macrophages promotes the clearance of surfactant, particularly the cholesterol component, through the regulation of liver X receptor-alpha (LXR $\alpha$ ), ABC transporters (ABC) ABCG1 and ABCA1, apolipoprotein E (ApoE), and 27-hydroxylase (CYP27A1). PPRE, PPAR $\gamma$  response element; RXR, retinoid X receptor.



The Lentivirus expression system offers many advantages. In contrast to adenoviral-based vectors, recombinant retroviral vectors offer the unique capacity of delivering, integrating, and expressing genetic material in the host cell without undergoing lytic, destructive cycles of replication, making them ideal for targeting non-dividing cells (120). Lentivirus has been adapted for gene therapy by the removal of essential wild type replication genes and the addition of self-inactivating long terminal repeats (Figure 3.2). Lentivirus vectors may be engineered to target specific cell types by pseudotyping the viral protein coat. Lentivirus-mediated integration is stable and heritable, unlike the adenoviral vectors which offer poor efficiency and transient expression of the delivered gene. Additionally, Lentivirus is capable of transducing non-dividing cells, such as terminally differentiated alveolar macrophages.

Herein, we have transduced primary murine macrophages resulting in the up-regulation of PPAR $\gamma$ , *in vitro*. Additionally, preliminary experiments on human alveolar macrophages demonstrated the effectiveness of the Lentivirus system on primary human cells. These results demonstrate an efficient, reliable technique for the up-regulation of PPAR $\gamma$  in primary macrophages for the further study of PPAR $\gamma$  in surfactant catabolism.

**Figure 3.2 Production of live Lenti-PPAR $\gamma$  virus.** The Lentivirus plasmid containing the PPAR $\gamma$  sequence along with plasmids encoding for *env*, *gag/pol*, and *rev* genes were transfected into 293FT cells to produce live Lenti-PPAR $\gamma$  virus. Image adapted from Malur, et al. (121). SIN, self-inactivating; LTR, long terminal repeat; RRE, Rev response element; SA, splice acceptor; SD, splice donor; CMV, cytomegalovirus promoter, MCS, multiple-cloning site; SV40, Simian virus 40 promoter; BLSD, blasticidin.



## Materials and Methods

**Mice.** Animal studies were conducted in conformity with Public Health Service (PHS) Policy on humane care and use of laboratory animals and were approved by the institutional animal care committee. C57Bl/6 wild type (WT) mice were obtained from Jackson Laboratory (Bar Harbor, ME). The GM-CSF KO mice were generated by Dr. Glenn Dranoff (29). The mice have been backcrossed eight generations to C57Bl/6.

**Bronchoalveolar lavage (BAL).** Macrophages from 3-5 animals were pooled for each set of experiments. Mice received ketamine (90 mg/kg) and xylazine (10 mg/kg) intraperitoneally. The thoracic cavity was opened and the lungs were exposed. After cannulating the trachea a tube was inserted and bronchoalveolar lavage was carried out with warmed (37°C) PBS in 1ml aliquots. Cytospins of BAL cells were stained with a modified Wright–Giemsa stain for differentials. Viability was >95% as determined by trypan blue for all cell preparations.

**Peritoneal exudate cells.** To obtain peritoneal macrophages, mice were euthanized with Isoflurane. Five mL of warmed PBS was injected intraperitoneally using a 23 gauge needle. After exposing the peritoneal cavity, a small incision was made in the peritoneum and a transfer pipet was used to draw out the fluid. Cells and fluid were pooled and processed as above.

**Healthy control cell collection.** This protocol was approved by the Institutional Review Board, and written informed consent was obtained from all subjects. Healthy control individuals had no history of lung disease and were not on medication (n=3). Alveolar macrophages were derived from BAL fluid obtained by fiber-optic bronchoscopy as described previously (122). Differential cell counts were obtained from cytopins stained with a modified Wright's stain. Differential cell counts from BAL fluid indicated that >95% of healthy control cells were alveolar macrophages. The mean viability of lavage cells was >95% as determined by trypan blue dye exclusion.

**Lentivirus construction and transduction.** A self-inactivating Lentivirus expression vector that was previously utilized in the generation of a stable cell line expressing the human parainfluenza virus type 3 C protein was used for these experiments (51,121). cDNA corresponding to the human PPAR $\gamma$  sequence was cloned into the multiple cloning sites downstream of a CMV promoter using standard techniques as described (121). The recombinant Lentiviral plasmid thus obtained was transfected into 293FT cells along with plasmids encoding the *gag*, *pol* and *rev* genes and a plasmid possessing the vesicular stomatitis glycoprotein (G) using Lipofectamine 2000 (Invitrogen, Carlsbad, CA). At 72 hrs post transfection, cell culture supernatant containing the Lentivirus-PPAR $\gamma$  (Lenti-PPAR $\gamma$ ) was collected and then purified by centrifugation at 27,000 rpm at 4<sup>0</sup>C for 3.5 hrs. The Lenti-PPAR $\gamma$  virus pellet was

resuspended in PBS and aliquots of 100 $\mu$ l were stored at -70 $^{\circ}$ C. The concentration of Lenti-PPAR $\gamma$  virus was determined by a p24 ELISA (Cell Biolabs, San Diego, CA). A Lentivirus expressing the enhanced Green Fluorescent Protein (Lenti-eGFP) was obtained using a similar protocol and was utilized as a control in experiments for the determination of transduction efficiency. Cells expressing eGFP were observed by fluorescent microscopy. For in vitro analyses, BAL and peritoneal macrophages were transduced in culture with Lenti-PPAR $\gamma$  or Lenti-eGFP for 24 hours in Opti-MEM (Invitrogen) media and then incubated 24 hours in complete DMEM media (Invitrogen) prior to collection.

***RNA purification and analysis.*** Total RNA was extracted from cells by RNeasy protocol (Qiagen, Valencia, CA). Expression of mRNA was determined by real time RT-PCR using the ABI Prism 7300 Detection System (TaqMan, Applied Biosystems, Foster City, CA) according to the manufacturer's instructions. RNA specimens were analyzed in duplicate using primer/probe sets for mouse PPAR $\gamma$  (Mm0040945) and human PPAR $\gamma$  (Hs00234592) (ABI) as previously described (51). Primer/probe sequences for eGFP have been described (123). Threshold cycle (CT) values for genes of interest were normalized to a housekeeping gene [glyceraldehyde 3 phosphate dehydrogenase, (GAPDH)] and used to calculate the relative quantity of mRNA expression. Data were expressed as a fold change in mRNA expression relative to control values (98).



**Protein analysis.** Whole cell lysates were prepared using a modified Nonidet P-40 lysis buffer as described previously (51). 10% SDS-PAGE gels were loaded based on equal protein obtained by the bicinchoninic acid assay (Pierce, Rockford, IL). Primary antibody to PPAR $\gamma$  (H-100, Santa Cruz Biotechnology, Santa Cruz, CA) was diluted to 1:000 and blots were visualized by chemiluminescence.

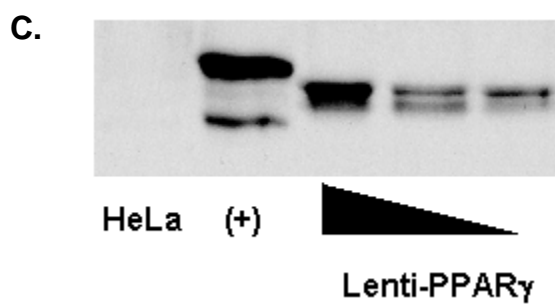
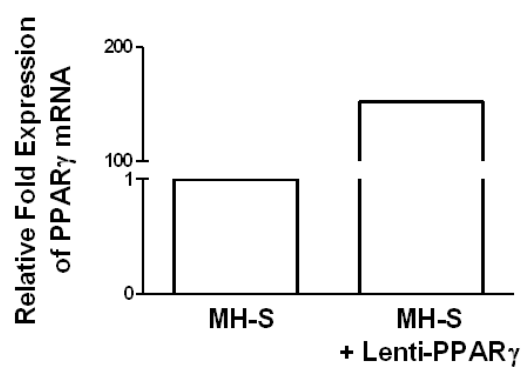
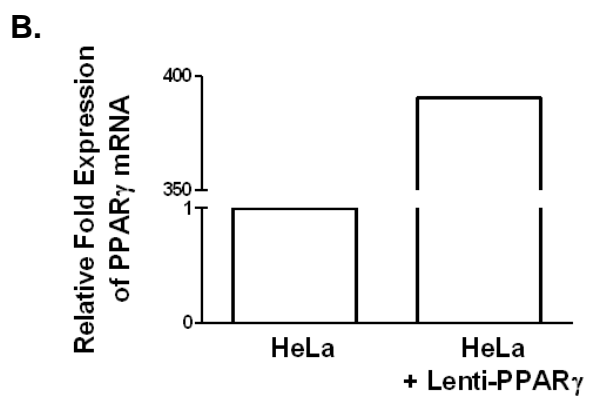
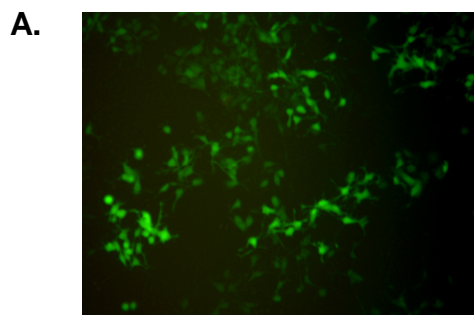
**Statistical analysis.** Data were analyzed by Student's t-test using Prism software (GraphPad, Inc., San Diego, CA). Significance was defined as  $p \leq 0.05$ .

## Results

***Lenti-PPAR $\gamma$  mediates stable expression of PPAR $\gamma$  in human and mouse cell lines.*** Preliminary experiments demonstrating the stable expression of eGFP and PPAR $\gamma$  were carried out on HeLa and MH-S cell lines. HeLa and MH-S cells were transduced with Lenti-eGFP. After 72 hours, cells were challenged with blasticidin (10  $\mu$ g/uL). After several passages, cells were collected for analysis. HeLa cells exhibited eGFP positive cells (Figure 3.3A). Experiments testing the Lenti-PPAR $\gamma$  virus were carried out in a similar manner. Neither HeLa nor MH-S cells express detectable amounts of PPAR $\gamma$ . RT-PCR analysis of HeLa and MH-S cells transduced with Lenti-PPAR $\gamma$ , however, exhibit increased expression of PPAR $\gamma$  mRNA (Figure 3.3B). Transduction with serial dilutions of Lenti-PPAR $\gamma$  virus resulted in up-regulation of PPAR $\gamma$  protein in HeLa cells (Figure 3.3C).

***Lenti-PPAR $\gamma$  mediates efficient up-regulation of PPAR $\gamma$  in primary murine macrophages.*** To determine the transduction efficiency of the Lenti-PPAR $\gamma$  virus on primary cells, we harvested peritoneal macrophages from wild type and GM-CSF KO mice which do not express detectable levels of PPAR $\gamma$  and treated the cells with Lenti-PPAR $\gamma$  in vitro. Analysis by RT-PCR demonstrated increased levels of PPAR $\gamma$  in a dose-dependent manner in wild type and GM-CSF KO cells

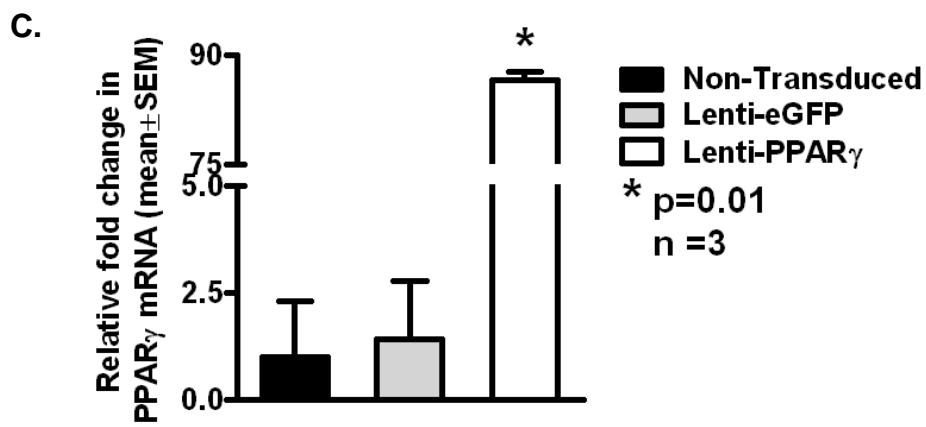
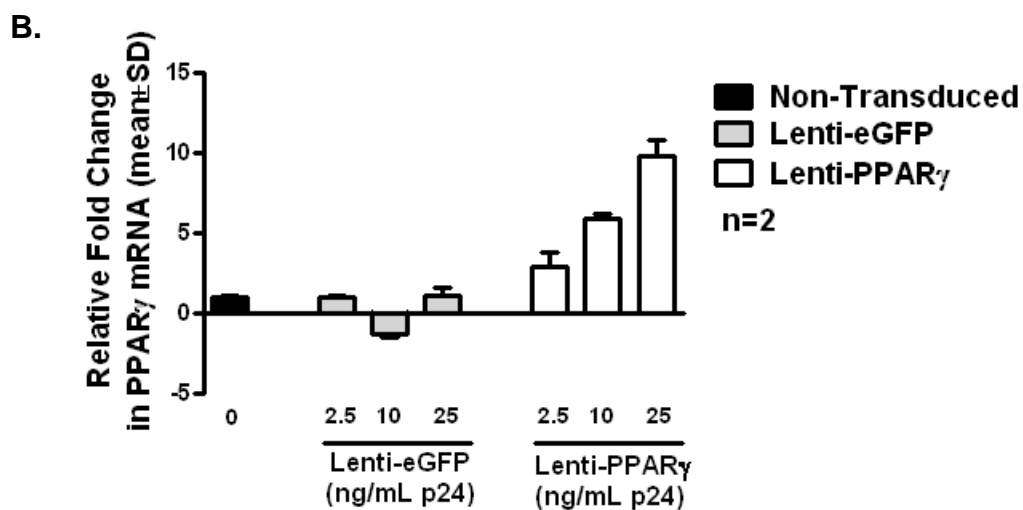
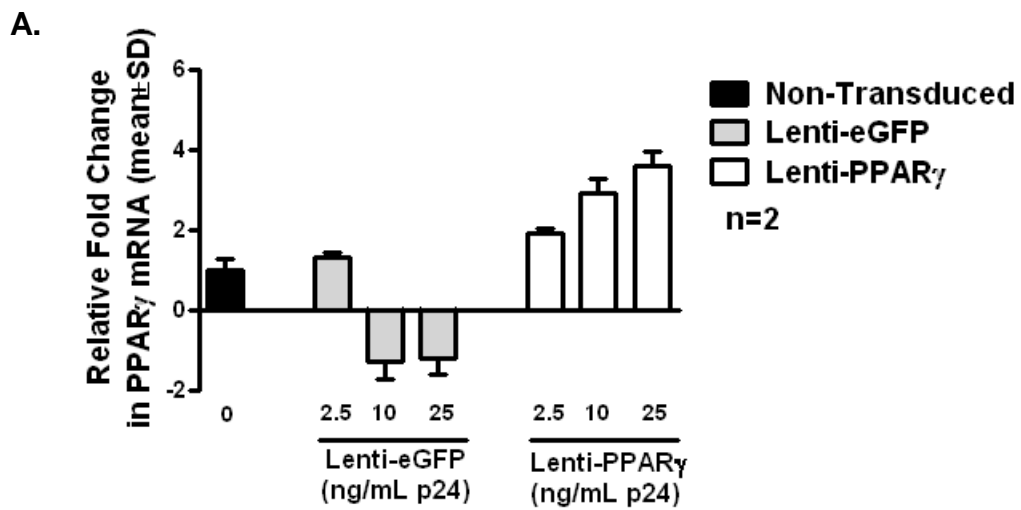
**Figure 3.3 Lenti-PPAR $\gamma$  mediates expression of PPAR $\gamma$  in human and mouse cell lines.** (A) HeLa cells expressed eGFP after transduction with Lenti-eGFP. (B) RT-PCR analysis of HeLa and MH-S cell lines transduced with Lenti-PPAR $\gamma$  exhibited increased expression of PPAR $\gamma$  mRNA. (C) Transduction with serial dilutions of Lenti-PPAR $\gamma$  virus resulted in the up-regulation of PPAR $\gamma$  protein in HeLa cells.



compared to non-transduced controls (Figure 3.4A-B). Further, we transduced alveolar macrophages of GM-CSF KO and noted increased expression of PPAR $\gamma$  mRNA (Figure 3.4C). Transduction with control Lenti-eGFP virus did not result in increases in PPAR $\gamma$  mRNA in any experiment. These results demonstrated efficient up-regulation of PPAR $\gamma$  in peritoneal macrophages that do not constitutively express PPAR $\gamma$  and alveolar macrophages deficient in PPAR $\gamma$ .

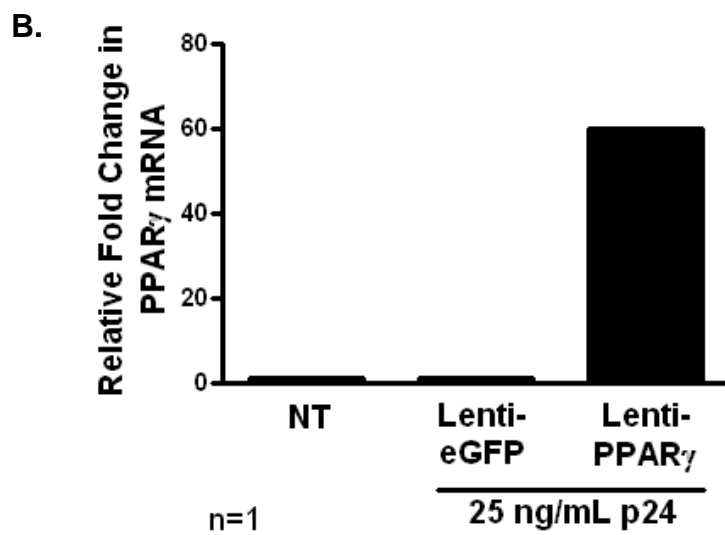
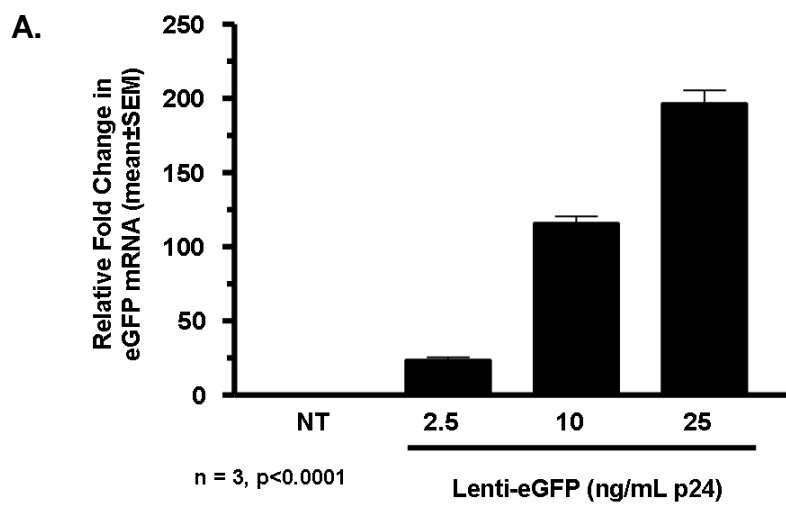
***Lentivirus mediates up-regulation of eGFP and PPAR $\gamma$  in alveolar macrophages from healthy human controls.*** In order to evaluate the Lentivirus expression system in primary human macrophages, we transduced alveolar macrophages from healthy controls with increasing titers of Lenti-eGFP and measured eGFP mRNA by RT-PCR (Figure 3.5A). Transduction efficiency was also determined by counting eGFP-positive cells [data previously published (51)]. Additionally, a preliminary experiment demonstrated a 60-fold increase in PPAR $\gamma$  mRNA expression in alveolar macrophages from a healthy individual upon transduction with Lenti-PPAR $\gamma$  (Figure 3.5B). Taken together, these results provide evidence that Lenti-PPAR $\gamma$  is an effective investigative tool to study the up-regulation of PPAR $\gamma$  in primary alveolar macrophages.

**Figure 3.4** *Lenti-PPAR $\gamma$  mediates efficient up-regulation of PPAR $\gamma$  in primary murine macrophages.* (A) RT-PCR analysis demonstrated dose-dependent up-regulation of PPAR $\gamma$  mRNA in WT and (B) GM-CSF KO peritoneal macrophages treated with Lenti-PPAR $\gamma$  virus compared to non-transduced cells (0 ng/mL p24). Expression of PPAR $\gamma$  mRNA in macrophages treated with control virus (Lenti-eGFP) was not different from non-treated cells. (C) Up-regulation of PPAR $\gamma$  was also detected by RT-PCR in GM-CSF alveolar macrophages upon treatment with Lenti-PPAR $\gamma$  (25 ng/mL p24).



**Figure 3.5 Lentivirus mediates up-regulation of eGFP and PPAR $\gamma$  in alveolar macrophages from healthy human controls.** (A) Human alveolar macrophages transduced with Lenti-eGFP for 24 hours up-regulated eGFP mRNA in a dose-dependent manner. (B) Alveolar macrophages from a healthy control exhibited increased PPAR $\gamma$  mRNA expression upon transduction with Lenti-PPAR $\gamma$ .





## Discussion

PPAR $\gamma$  is deficient in the alveolar macrophages of PAP patients and GM-CSF KO mice (6,51). Previous studies from our laboratory have implicated PPAR $\gamma$  in the promotion of surfactant catabolism in alveolar macrophages (unpublished data). PPAR $\gamma$  regulates several genes involved in the transcription and transport of lipids in macrophages. In order to investigate our hypothesis that the up-regulation of PPAR $\gamma$  will increase surfactant catabolism and reduce the presence of lipid-engorged alveolar macrophages in the lung, we required an efficient system to up-regulate PPAR $\gamma$  in primary macrophages.

Lentivirus vectors are capable of delivering and expressing a gene of interest without being disruptive to the host cell (120). Secondly, the Lentivirus expression system is an advantageous therapeutic gene delivery system and has the potential for delivery of genetic material in vivo. In the present study, we utilized the Lentivirus system to transduce primary murine and human alveolar macrophages in vitro.

In initial experiments, the Lenti-eGFP virus was used to measure transduction efficiency. Additionally, macrophages transduced with Lenti-eGFP were used as a control in RT-PCR analysis as these samples did not exhibit significant changes in PPAR $\gamma$  mRNA compared to non-transduced cells. Thus, the up-regulation in PPAR $\gamma$  is due to the specific Lenti-PPAR $\gamma$  construct and not produced in response to foreign DNA or macrophage activation upon the

introduction of virus. Additional preliminary work entailed determining optimal Lentivirus transduction concentrations. Dose responses ranging from 2.5-25 ng/mL p24 were carried out on cultured peritoneal macrophages from wild type and GM-CSF KO mice. Alveolar macrophages from GM-CSF KO mice were transduced with 25 ng/mL p24. We next investigated the use of the Lentivirus constructs on alveolar macrophages from healthy volunteers. All doses induced transcription of PPAR $\gamma$  supporting the efficiency of the Lentivirus expression system.

These experiments demonstrate successful up-regulation of the proteins of interest, eGFP and PPAR $\gamma$ , and provide a foundation to pursue in vivo replacement of PPAR $\gamma$  in GM-CSF KO alveolar macrophages using Lenti-PPAR $\gamma$ . Unlike adenoviral vectors which offer poor efficiency and transient expression of the delivered gene, Lenti-PPAR $\gamma$  provides efficient up-regulation of PPAR $\gamma$  and may be used in a variety of experiments to investigate the transcriptional regulation of PPAR $\gamma$ . This system provides a reliable approach to study the role of PPAR $\gamma$  gene expression in surfactant catabolism and homeostasis in alveolar macrophages. Understanding surfactant homeostasis is fundamental to the treatment of human pulmonary disease.

**CHAPTER 4****PPAR $\gamma$  REGULATES THE EXPRESSION OF CHOLESTEROL METABOLISM  
GENES IN ALVEOLAR MACROPHAGES**

Anna D. Baker<sup>1</sup>, Anagha Malur<sup>1</sup>, Barbara P. Barna<sup>1</sup>, Mani S. Kavuru<sup>1</sup>, Achut G.  
Malur<sup>2</sup> and Mary Jane Thomassen<sup>1\*</sup>

East Carolina University, <sup>1</sup>Department of Internal Medicine, Division of  
Pulmonary, Critical Care, and Sleep Medicine and <sup>2</sup>Department of Microbiology  
and Immunology.

Running Title: PPAR $\gamma$  and Cholesterol Metabolism in Alveolar Macrophages

Keywords: ABCG1, LXR, surfactant, pulmonary alveolar proteinosis, GM-CSF

**CORRESPONDING AUTHOR:**

Dr. Mary Jane Thomassen<sup>1\*</sup>

The Brody School of Medicine, East Carolina University

Greenville, NC 27834

(252) 744-1117, FAX (252) 744-2583

email: thomassenm@ecu.edu

This manuscript is in final preparation for submission to Biochemical and Biophysical Research Communications.

## Abstract

Peroxisome proliferator-activated receptor-gamma (PPAR $\gamma$ ) is a nuclear transcription factor involved in lipid metabolism that is constitutively expressed in the alveolar macrophages of healthy individuals. While the mechanism remains unclear, PPAR $\gamma$  has recently been implicated in the catabolism of surfactant by alveolar macrophages, specifically the cholesterol component of surfactant. Studies from other tissue macrophages have shown that PPAR $\gamma$  transcriptionally regulates genes involved in cholesterol metabolism. PPAR $\gamma$  promotes the expression of the liver X receptor-alpha (LXR $\alpha$ ) and ATP-binding cassette G1 (ABCG1) which are involved in cholesterol efflux and CD36 which is involved in the uptake of cholesterol. We have recently shown that macrophage-specific PPAR $\gamma$  knockout (PPAR $\gamma$  KO) mice accumulate cholesterol-laden alveolar macrophages that exhibit decreased expression of LXR $\alpha$  and ABCG1 and reduced cholesterol efflux. We hypothesized that in addition to dysregulated cholesterol efflux, cholesterol biosynthesis and uptake genes were also dysregulated and that replacement of PPAR $\gamma$  would induce expression of these genes. To investigate this hypothesis, we have utilized a Lentivirus expression system (Lenti-PPAR $\gamma$ ) to restore PPAR $\gamma$  expression in the alveolar macrophages of PPAR $\gamma$  KO mice. Our results show that the alveolar macrophages of PPAR $\gamma$  KO mice have decreased expression of key cholesterol biosynthesis genes and increased expression of cholesterol receptors CD36 and scavenger receptor A-I

(SRA-I). The replacement of PPAR $\gamma$  induced transcription of LXR $\alpha$  and ABCG1; (2) corrected suppressed expression of cholesterol biosynthesis genes; and (3) enhanced the expression of CD36. These results suggest that PPAR $\gamma$  regulates cholesterol metabolism in alveolar macrophages.

## Introduction

Pulmonary alveolar proteinosis (PAP) is an autoimmune lung disease characterized by the accumulation of surfactant (1). Pulmonary surfactant is comprised of 90% lipid, 10% protein, and less than 1% carbohydrate. The lipid component is comprised of phospholipids and neutral lipids, cholesterol being the major neutral lipid (93). Alveolar macrophages catabolize and recycle cholesterol from surfactant. However, the alveolar macrophages of PAP patients do not catabolize surfactant sufficiently and become engorged with lipid (25,26,28,50). It has been shown that cholesterol levels are increased in the lungs of PAP patients (47). Combined with the presence of foam cells in the lungs of patients, these results provided evidence that homeostasis of cholesterol catabolism may be disrupted in PAP. Interestingly, the contribution of impaired cholesterol catabolism to the overall disruption of surfactant catabolism in the pathogenesis of PAP has not been specifically addressed.

Our laboratory was the first to determine that the alveolar macrophages of PAP patients were deficient in the nuclear transcription factor peroxisome proliferator-activated receptor-gamma (PPAR $\gamma$ ) (6,51). Although the role of PPAR $\gamma$  in the lung is relatively unexplored, PPAR $\gamma$  has been implicated as a critical mediator in cholesterol metabolism in various tissue macrophages. Given the amount of cholesterol present in lung surfactant, PPAR $\gamma$  may hold particular



importance in promotion of cholesterol catabolism in alveolar macrophages and deficient cholesterol catabolism could impair the catabolism of surfactant overall.

Studies on other tissue macrophages have shown that PPAR $\gamma$  regulates many genes involved in cholesterol transport (influx and efflux) and metabolism. PPAR $\gamma$  transcriptionally promotes the sterol-sensing nuclear transcription factor liver X receptor-alpha (LXR $\alpha$ ) and cholesterol transporter ATP-binding cassette G1 (ABCG1) (51,77,94). The PPAR $\gamma$ -LXR cascade is critical to maintaining cholesterol efflux in macrophages (85). CYP27A1 is an important enzyme that converts cholesterol into LXR ligands thereby promoting cholesterol transport from extrahepatic macrophages (112). Additionally, alveolar macrophages have been shown to readily secrete the more polar hydroxycholesterol produced by CYP27A1 in response to cholesterol-loading (124,125).

While deficiencies in cholesterol efflux could lead to cholesterol accumulation (75), the uptake of cholesterol via scavenger receptors has also been strongly associated with the accumulation of cholesterol (126,127). Scavenger receptors are up-regulated in the presence of substrate yielding macrophages with the unique capacity for cholesterol uptake regardless of intracellular cholesterol levels. The scavenger receptors CD36 and scavenger receptor A-I (SRA-I) internalize cholesterol bound to oxidized (ox)-LDL [reviewed by Glass and Witztum (74)]. Macrophages from mice lacking CD36 and SRA-I exhibit a 90% reduction in ox-LDL uptake (126). Although scavenger receptor-mediated cholesterol uptake is complex and is not fully understood, it is known to

be regulated in part by PPAR $\gamma$ : CD36 is directly up-regulated by PPAR $\gamma$  (61) while SRA-I is negatively regulated by PPAR $\gamma$  (60).

PPAR $\gamma$  regulates both the cholesterol influx (CD36 and SRA-I) and efflux genes (LXR $\alpha$  and ABCG1) and therefore influences the level of intracellular cholesterol present in macrophages. In turn, cholesterol levels regulate the de novo synthesis of cholesterol. In response to limited cholesterol, the nuclear transcription factor sterol response element-binding protein 2 (SREBP2) promotes cholesterol synthesis and uptake through the up-regulation of 3-hydroxy-3-methylglutaryl-coenzyme A reductase (HMGCR) and low-density lipoprotein receptor (LDL-R) [reviewed by Goldstein, et al. (67)]. Alternatively, intracellular cholesterol and oxysterols negatively regulate cholesterol synthesis in part by reduced transcriptional activity of SREBP2.

To investigate PPAR $\gamma$  and the promotion of cholesterol catabolism in alveolar macrophages, we have utilized macrophage-specific PPAR $\gamma$  knockout (PPAR $\gamma$  KO) mice which develop a PAP-like lung pathology with the accumulation of cholesterol and foamy, cholesterol-laden alveolar macrophages (unpublished data). The alveolar macrophages of PPAR $\gamma$  KO mice exhibit decreased expression of LXR $\alpha$  and ABCG1 and reduced cholesterol efflux.

These results suggest PPAR $\gamma$  is a key mediator of cholesterol catabolism in alveolar macrophages. Thus, we hypothesized that in addition to dysregulated cholesterol efflux genes, the expression of cholesterol synthesis and influx genes

were also dysregulated in the alveolar macrophages of PPAR $\gamma$  KO mice. To test this hypothesis we investigated the expression cholesterol metabolism genes and the effects of in vivo replacement of PPAR $\gamma$  using a Lentivirus expression system (Lenti-PPAR $\gamma$ ).

## Materials and Methods

**Mice.** Animal studies were conducted in conformity with Public Health Service policy on the humane care and use of laboratory animals and were approved by the Institutional Animal Care Committee. C57Bl/6 wild type mice were obtained from Jackson Laboratory (Bar Harbor, ME). Macrophage-specific PPAR $\gamma$  KO mice have been previously described (96). BAL cells were obtained as described earlier from 8–12 week old PPAR $\gamma$  KO mice and age- and gender-matched wild type C57Bl/6 controls (96). For experiments, a minimum of 4 individual PPAR $\gamma$  KO mice were used except where indicated. For wild type mice, a minimum of 3 sets of pooled cells from 3-5 mice were used in all experiments. Briefly, the thoracic cavity was opened and the lungs were exposed. After cannulating the trachea, a tube was inserted and BAL was carried out with warmed (37°C) PBS in 1 mL aliquots. Cell viability was measured by trypan blue exclusion. BAL cell differentials from all animals used in the experiments were stained with a Wright-Giemsa stain and revealed >90% macrophages.

**Lentivirus plasmid and instillation.** A self-inactivating Lentivirus expression vector containing cDNA corresponding to the human PPAR $\gamma$  (Lenti-PPAR $\gamma$ ) was cloned into the multiple cloning sites downstream of a CMV promoter using standard techniques as described (96,121). A Lentivirus expressing the

enhanced Green Fluorescent Protein (Lenti-eGFP) was obtained using a similar protocol and was utilized as a control. For experiments utilizing Lenti-eGFP and Lenti-PPAR $\gamma$ , mice were intra-tracheally instilled 30 days prior to BAL, as described previously (96).

**RNA purification and analysis.** Total RNA was extracted from the cells by the RNeasy protocol (Qiagen, Valencia, CA). Expression of mRNA was determined by real-time RT-PCR analysis using the ABI Prism 7300 Detection System (TaqMan; Applied Biosystems, Foster City, CA) according to the manufacturer's instructions. RNA specimens were analyzed in duplicate using primer sets for mouse ABCA1 (Mm00442646), ABCG1 (Mm00437390), CD36 (Mm00432403), HMGCR (Mm01282494), LDL-R (Mm00440169), LXR $\alpha$  (Mm00443454), LXR $\beta$  (Mm00437262), SRA-I (Mm00446214), and SREBP2 (Mm01306300) (Applied Biosystems). Threshold cycle values for genes of interest were normalized to a housekeeping gene (GAPDH, 4352339E) (Applied Biosystems) and used to calculate the relative quantity of mRNA expression in PPAR $\gamma$  KO samples compared with wild type murine controls. For the Lentivirus experiments, Lenti-eGFP samples were used as the controls for Lenti-PPAR $\gamma$  samples. Data are expressed as fold change in mRNA expression relative to control values (98).

**Statistical analysis.** Data were analyzed by Student's *t*-test using Prism software (GraphPad, Inc., San Diego, CA). Significance was defined as  $p \leq 0.05$ .

## Results and Discussion

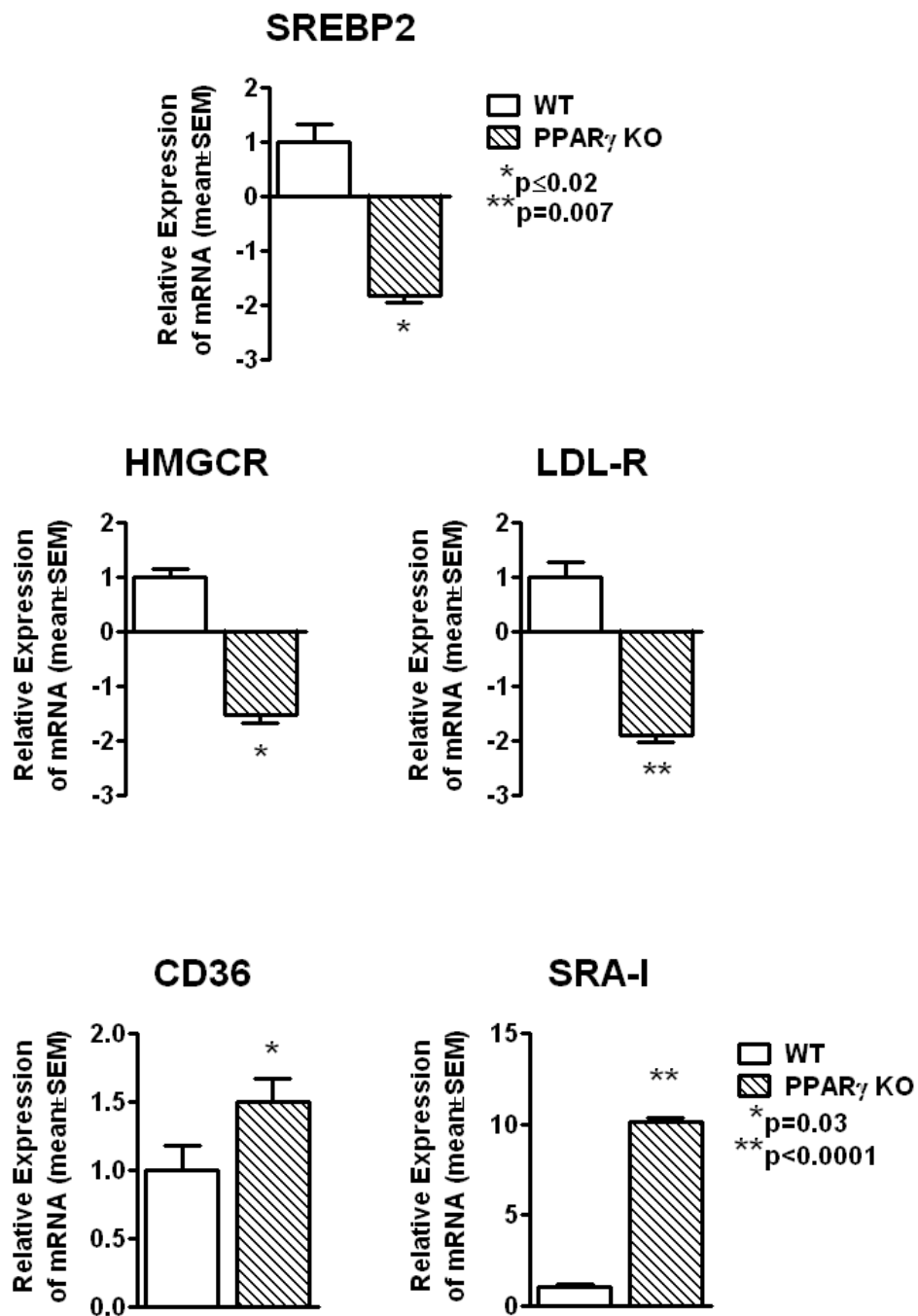
***Cholesterol metabolism genes are dysregulated in PPAR $\gamma$  KO alveolar macrophages.*** We have previously shown that the alveolar macrophages of PPAR $\gamma$  KO mice exhibit reduced cholesterol efflux and are loaded with cholesterol (unpublished data). The lungs of PPAR $\gamma$  KO mice also have elevated levels of cholesterol. Therefore, we investigated the expression of cholesterol metabolism and biosynthesis genes that are regulated by intracellular and extracellular cholesterol in the alveolar macrophages of PPAR $\gamma$  KO mice.

RT-PCR analysis of SREBP2 and downstream targets HMGCR and LDL-R in the alveolar macrophages of PPAR $\gamma$  KO mice demonstrated that the expression of SREBP2, HMGCR, and LDL-R was significantly decreased (1.8-fold, 1.5-fold, and 1.9-fold respectively) compared to wild type (Figure 4.1A). Alternatively, the expression of scavenger receptors CD36 and SRA-I which are positively regulated by extracellular cholesterol content, specifically cholesterol bound to ox-LDL (74), was up-regulated 1.5-fold and 10.1-fold, respectively (Figure 4.1B).

While the regulation of cholesterol synthesis genes is complex, cholesterol has been shown to negatively regulate cholesterol synthesis at the transcriptional level (128). Wang, et al. demonstrated that the accumulation of free cholesterol in ABCG1-deficient macrophages inhibited the expression of HMGCR and LDL-R (129). THP1-derived macrophages loaded with ox-LDL displayed reduced

**Figure 4.1 Cholesterol metabolism genes are dysregulated in PPAR $\gamma$  KO alveolar macrophages.** (A) RT-PCR analysis demonstrated that genes involved in the biosynthesis and uptake of cholesterol SREBP2, HMGCR, and LDL-R are down-regulated in the PPAR $\gamma$  KO compared to wild type. (B) Expression of scavenger receptors CD36 and SRA-I are increased in the PPAR $\gamma$  KO compared to wild type.

A.





HMGCR mRNA (130). Moreover, incubation of murine macrophages with modified-LDL decreased HMGCR enzymatic activity (131). Decreased expression of cholesterol synthesis genes in the cholesterol-laden alveolar macrophages of PPAR $\gamma$  KO mice indicates that the suppression of cholesterol synthesis is intact, in contrast to sterol-insensitive cells which continue to produce cholesterol when loaded (132).

While reduced cholesterol efflux, such as that observed in the PPAR $\gamma$  KO, can contribute to cholesterol-overload, enhanced ox-LDL uptake via scavenger receptors is also strongly linked to the accumulation of cholesterol. PPAR $\gamma$  has been implicated in the regulation of cholesterol uptake by CD36 and SRA-I. Exposure of THP-1 macrophages with ox-LDL induces expression of PPAR $\gamma$ , CD36, and SRA-I (58). While PPAR $\gamma$  directly promotes expression of CD36 (61), SRA-I can be negatively regulated by PPAR $\gamma$  and independently of PPAR $\gamma$  by the presence of ox-LDL (105,106). In the present study, PPAR $\gamma$  KO alveolar macrophages exhibited highly up-regulated SRA-I. Therefore, elevated expression of SRA-I may reflect the combination of substrate availability and the absence of PPAR $\gamma$ .

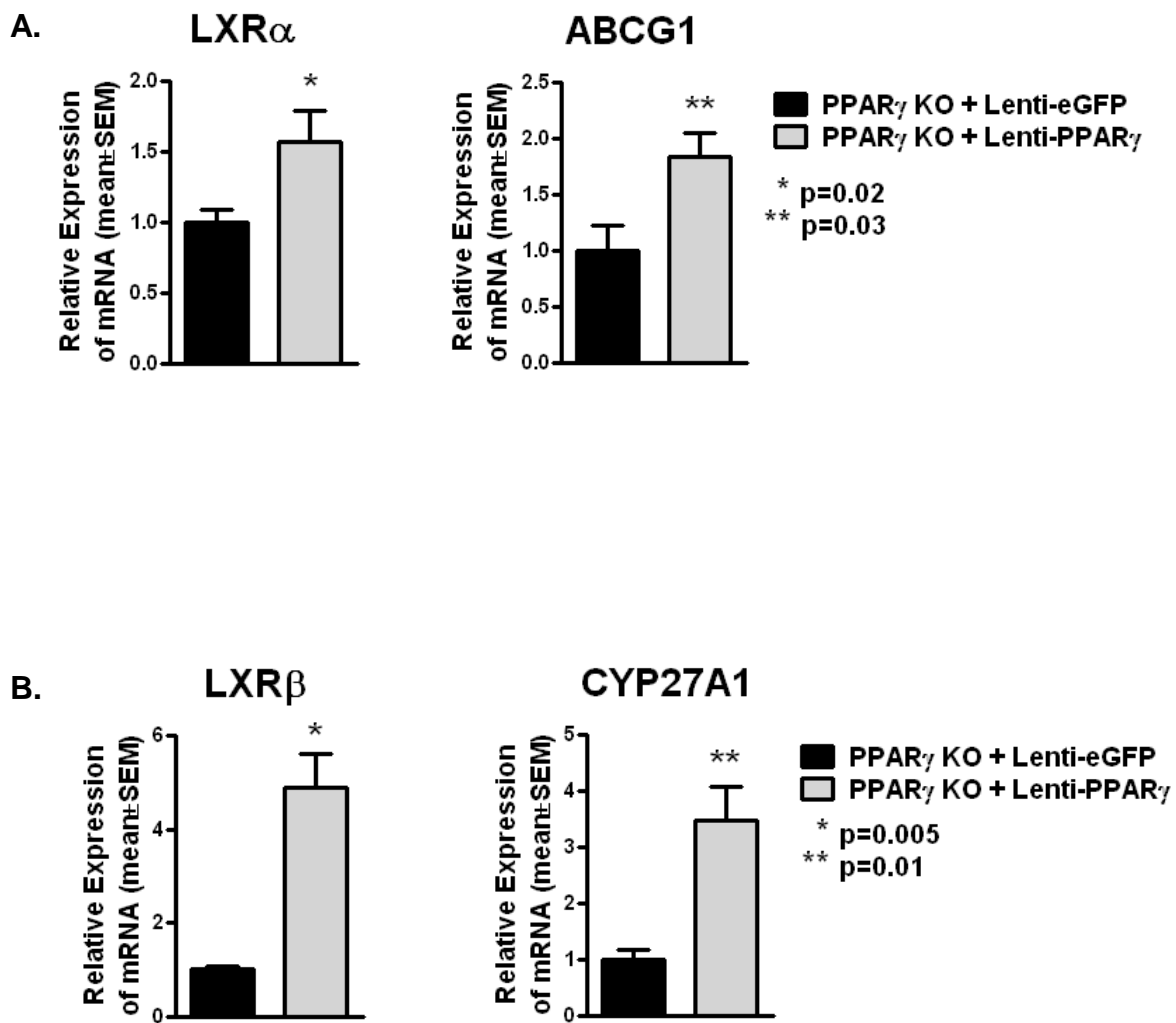
In addition to cholesterol, we have also shown that other surfactant lipids accumulate in the alveolar macrophages of PPAR $\gamma$  KO mice (unpublished data). Analysis of phospholipid, fatty acid, and triglyceride synthesis genes (lipin1, fatty acid synthase, SREBP1c, diacylglycerol acyltransferase, and glycerol-3-phosphate acyltransferase) by RT-PCR revealed no differences in expression

compared to wild type mice (data not shown). This data indicates (1) it is unlikely that the intracellular accumulation of lipids is due to de novo synthesis, and (2) PPAR $\gamma$  is particularly critical to the regulation of cholesterol.

We demonstrate in the present study that the cholesterol synthesis pathway is suppressed in PPAR $\gamma$  KO alveolar macrophages, as evidenced by decreased expression of SREBP2, HMGCR, and LDL-R while the uptake of ox-LDL may be enhanced given that the expression of CD36 and SRA-I is increased. These results demonstrate that both systems are responsive to the accumulation of cholesterol in the lungs and alveolar macrophages of PPAR $\gamma$  KO mice.

***Up-regulation of PPAR $\gamma$  in vivo promotes the expression of cholesterol efflux genes.*** We have determined that the expression of PPAR $\gamma$ -regulated genes LXR $\alpha$  and ABCG1 was reduced in the alveolar macrophages of PPAR $\gamma$  KO mice (unpublished data). Additionally, we demonstrated that LXR $\beta$  and CYP27A1 were up-regulated. As a continuation of this work, we investigated the expression of these genes upon up-regulation of PPAR $\gamma$  in vivo. RT-PCR analysis demonstrated ABCG1 and LXR $\alpha$  mRNA was up-regulated (1.8-fold and 1.6-fold, respectively) in PPAR $\gamma$  KO mice instilled with Lenti-PPAR $\gamma$  compared to mice instilled with Lenti-eGFP (Figure 4.2A). LXR $\beta$  and CYP27A1 were also up-

**Figure 4.2 Up-regulation of PPAR $\gamma$  in vivo promotes the expression of cholesterol efflux genes.** (A) RT-PCR analysis revealed ABCG1 and LXR $\alpha$  expression in the alveolar macrophages of PPAR $\gamma$  KO mice instilled with Lenti-PPAR $\gamma$  were up-regulated compared to controls. (B) The expression of LXR $\beta$  and CYP27A1 was increased in Lenti-PPAR $\gamma$  treated animals (n=3) compared to Lenti-eGFP controls.



regulated 4.9-fold and 3.5-fold, respectively, in PPAR $\gamma$  KO instilled with Lenti-PPAR $\gamma$  (Figure 4.2B).

While the restoration of ABCG1 mRNA confirms previous results directly linking PPAR $\gamma$  to ABCG1 expression (51), perhaps the most significant finding in this report is the induction of LXR $\alpha$  in treated PPAR $\gamma$  KO mice. Peritoneal macrophages from PPAR $\gamma$  KO mice have been shown to exhibit decreased expression of LXR $\alpha$  which did not increase upon treatment with ligand due to the absence of PPAR $\gamma$  (100). Consistent with findings from other tissue macrophages in which upstream PPAR $\gamma$  signaling was essential to the promotion of cholesterol efflux by LXR $\alpha$  (77), PPAR $\gamma$  appears to be essential to the promotion of cholesterol efflux by LXR $\alpha$  in alveolar macrophages. These results indicate that PPAR $\gamma$  is a key regulator of cholesterol efflux.

Increased expression of CYP27A1 in the alveolar macrophages of PPAR $\gamma$  KO mice instilled with Lenti-PPAR $\gamma$  may have contributed to increased LXR $\beta$  expression through the production of LXR ligand (112). Llaverias, et al. have reported that the loading of macrophages with cholesterol results in increased CYP27A1 expression (133). While LXR $\beta$  has been shown to be regulated independently of PPAR $\gamma$  (77), CYP27A1 can be regulated by PPAR $\gamma$ . At least two reports have demonstrated that the transcription of CYP27A1 is inducible by PPAR $\gamma$  ligands, independent of LXR or cellular cholesterol content (134,135). Therefore, increased expression of CYP27A1 in untreated PPAR $\gamma$  KO may result

from intracellular cholesterol content, while in treated mice PPAR $\gamma$  may directly contribute to the expression of CYP27A1 to promote the removal of cholesterol from alveolar macrophages.

Taken together, these data indicate that the replacement of PPAR $\gamma$  in cholesterol-laden macrophages promotes the expression of cholesterol efflux through transcription of LXR $\alpha$ , ABCG1, and CYP27A1.

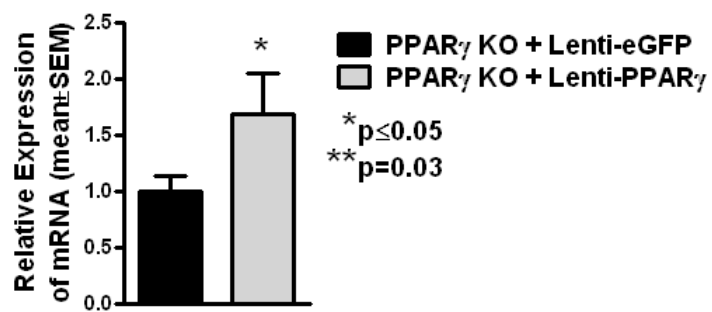
***Up-regulation of PPAR $\gamma$  in vivo increases expression of cholesterol metabolism genes.*** In contrast to decreased expression of cholesterol synthesis genes in PPAR $\gamma$  KO alveolar macrophages, restoration of PPAR $\gamma$  in vivo resulted in the up-regulation of SREBP2, HMGCR, and LDL-R mRNA compared to Lenti-eGFP controls (1.7-fold, 1.9-fold, and 1.7-fold, respectively) (Figure 4.3A). Replacement of PPAR $\gamma$  also resulted in increased expression of CD36 (2.1-fold) while no significant changes in SRA-I expression were observed (Figure 4.3B).

Overexpression of SREBP-2 has been shown to increase the mRNA expression of all cholesterol synthesis enzymes, with the highest induction of HMGCR (136). Although predominantly recognized to be transcriptionally regulated by SREBP2 (137), PPAR $\gamma$  has been also implicated in the transcription of HMGCR. Both the mRNA and enzyme activity of HMGCR was increased THP-1 cells treated with PPAR $\gamma$  agonists (138). Increased expression of cholesterol biosynthesis genes SREBP-2 and HMGCR upon up-regulation of

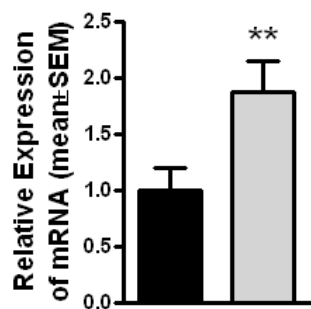
**Figure 4.3 Up-regulation of PPAR $\gamma$  in vivo increases expression of cholesterol metabolism genes.** (A) RT-PCR analysis of alveolar macrophages revealed increased expression of SREBP2, HMGCR, and LDL-R in PPAR $\gamma$  KO instilled with Lenti-PPAR $\gamma$  (n=3) compared to controls. (B) CD36 mRNA was up-regulated after instillation with Lenti-PPAR $\gamma$  compared to Lenti-eGFP controls.

A.

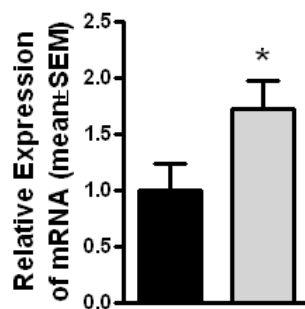
## SREBP2



## HMGCR

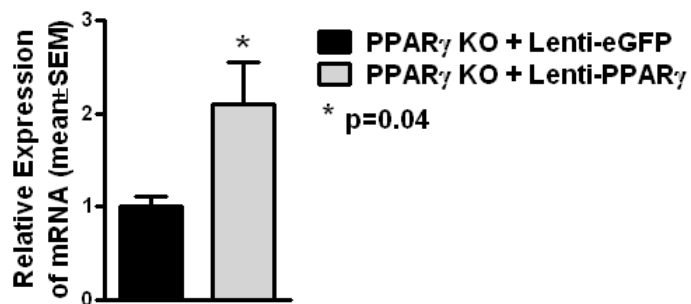


## LDL-R



B.

## CD36

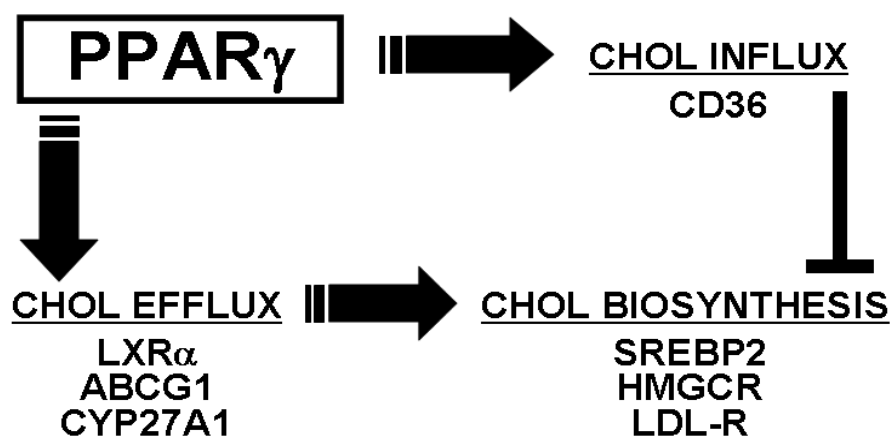




PPAR $\gamma$  suggests an indirect relationship between PPAR $\gamma$  and the synthesis of cholesterol, probably mediated by intracellular sterol levels. These results provide evidence that the up-regulation of PPAR $\gamma$  and downstream genes involved in cholesterol efflux including LXR $\alpha$ , ABCG1, and CYP27A1 relieved inhibition of the cholesterol biosynthesis genes (Figure 4.4).

The induction of CD36 mRNA upon the restoration of PPAR $\gamma$  is consistent with previous studies demonstrating that CD36 is transcriptionally promoted by PPAR $\gamma$  (58). Given that PPAR $\gamma$  can negatively regulate SRA-I (105,106), it was somewhat unexpected to find that the expression of SRA-I was unchanged in PPAR $\gamma$  KO mice upon up-regulation of PPAR $\gamma$ . However, ox-LDL can induce expression of SRA-I independently of PPAR $\gamma$  (139). Our results suggest that increased expression of SRA-I occurs in the absence of PPAR $\gamma$ , and that continued expression SRA-I after PPAR $\gamma$  replacement may be attributed to substrate availability. Further, elevated expression of CD36 and SRA-I suggest that the uptake of cholesterol is enhanced in the alveolar macrophages of PPAR $\gamma$  KO mice.

**Figure 4.4 Hypothetical pathway by which PPAR $\gamma$  regulates cholesterol influx, efflux, and biosynthesis in alveolar macrophages.** PPAR $\gamma$  directly promotes transcription of cholesterol influx and efflux genes which determine the intracellular cholesterol level. In turn, cholesterol levels regulate the de novo synthesis of cholesterol.



## Conclusions

Alveolar macrophages of PPAR $\gamma$  KO mice exhibit decreased expression of cholesterol influx, efflux, and biosynthesis genes. The replacement of PPAR $\gamma$  in vivo increased expression of cholesterol efflux genes ABCG1 and LXR $\alpha$ , cholesterol biosynthesis genes SREBP2 and HMGCR, and receptors LDL-R and CD36. These results suggest that PPAR $\gamma$  regulates cholesterol metabolism in alveolar macrophages and promotes the catabolism and transport of cholesterol in the lung. Our findings provide evidence that the catabolism of cholesterol affects the catabolism of surfactant overall and may have implications in the study of PPAR $\gamma$  and human lung diseases associated with the accumulation of surfactant.

### **Acknowledgments**

This work was supported by a faculty recruitment grant from the North Carolina Biotechnology Center, GRANT No 2005-FRG-1013 awarded to MJT.

**CHAPTER 5****RESTORATION OF PPAR $\gamma$  REVERSES LIPID ACCUMULATION IN  
ALVEOLAR MACROPHAGES OF GM-CSF KNOCKOUT MICE**

Anna D. Baker<sup>1</sup>, Anagha Malur<sup>1</sup>, Achut G. Malur<sup>2</sup>, Almedia J. Mccoy<sup>1</sup>, Barbara P.  
Barna<sup>1</sup>, Mani S. Kavuru<sup>1</sup>, and Mary Jane Thomassen<sup>1</sup>

East Carolina University, <sup>1</sup>Department of Internal Medicine, Division of  
Pulmonary, Critical Care, and Sleep Medicine and <sup>2</sup>Department of Microbiology  
and Immunology.

Running Title: Lentivirus-PPAR $\gamma$  in Alveolar Macrophages

Keywords: alveolar macrophage, Lentivirus, PPAR $\gamma$ , ABCG1, foam cells

Corresponding Author:

Dr. Mary Jane Thomassen<sup>1</sup>

The Brody School of Medicine, East Carolina University

3E-149 Brody Medical Sciences Building

Greenville, NC 27834

(252) 744-1117, FAX (252) 744-2583

email: thomassenm@ecu.edu

The results presented in this chapter are part of a manuscript that is in final preparations for submission to a peer-reviewed journal.

## Abstract

Pulmonary alveolar proteinosis (PAP) is a lung disease characterized by neutralizing auto-antibodies to granulocyte-macrophage colony-stimulating factor (GM-CSF). The loss of functional GM-CSF results in the filling of alveolar spaces and alveolar macrophages of the lungs with surfactant. The nuclear transcription factor peroxisome proliferator-activated receptor-gamma (PPAR $\gamma$ ), a key regulator of lipid metabolism, is constitutively expressed in the alveolar macrophages of healthy individuals and is up-regulated by GM-CSF. We have previously demonstrated decreased levels of PPAR $\gamma$  and ATP-binding cassette transporter G1 (ABCG1) in the alveolar macrophages from both PAP patients and GM-CSF knockout (GM-CSF KO) mice, suggesting the involvement of PPAR $\gamma$  and ABCG1 in surfactant catabolism. We hypothesized that up-regulation of PPAR $\gamma$  would lead to an increase in ABCG1 and decrease lipid accumulation in alveolar macrophages. The up-regulation of PPAR $\gamma$  was achieved using a Lentivirus expression system *in vivo*. GM-CSF KO mice received instillation of Lentivirus (Lenti)-PPAR $\gamma$  or control Lenti-eGFP (enhanced Green Fluorescence Protein) via the intra-tracheal route. Alveolar macrophages were harvested 10 and 30 days post-instillation. Fluorescence microscopy indicated that 79 and 54% of alveolar macrophages instilled with Lenti-eGFP contained eGFP at 10 and 30 days post-instillation, respectively. We demonstrated significant increases in PPAR $\gamma$  and ABCG1 gene expression in



alveolar macrophages of mice instilled with Lenti-PPAR $\gamma$ , while PPAR $\gamma$  and ABCG1 levels remained unchanged in control groups instilled with Lenti-eGFP or PBS. Oil Red O positivity was reduced within the alveolar macrophages of mice treated with Lenti-PPAR $\gamma$  at 10 days post-instillation. Finally, we measured increased ABCG1-mediated cholesterol efflux in alveolar macrophages from Lenti-PPAR $\gamma$  treated mice at 10 days. Results with Lenti-PPAR $\gamma$  instillations demonstrate: (1) efficient in vivo transduction of alveolar macrophages; (2) up-regulation of ABCG1; (3) a reduction in macrophage lipid accumulation; and (4) increased ABCG1-mediated cholesterol efflux. These studies suggest that the expression PPAR $\gamma$  and ABCG1 in alveolar macrophages promotes the catabolism of surfactant.

## Introduction

Pulmonary alveolar proteinosis (PAP) is a rare autoimmune disease characterized by neutralizing auto-antibodies to granulocyte-macrophage colony-stimulating factor (GM-CSF) (1,91). Genetically engineered mice which are homozygous for a disrupted GM-CSF gene develop a PAP-like lung disease with the accumulation of excess surfactant (29,30,140). These studies have revealed a previously unknown role for GM-CSF in normal lung homeostasis, as pathogenesis in PAP patients and mouse models are confined to the lung.

The alveolar macrophages in PAP patients and GM-CSF knockout (GM-CSF KO) mice are foamy and laden with lipid, as evidenced by positive Oil Red O staining (50,51). The accumulation of surfactant has been linked decreased clearance by the alveolar macrophages of PAP and GM-CSF KO rather than increased production of surfactant (25,26,28). Interestingly, GM-CSF up-regulates the nuclear transcription factor peroxisome proliferator-activated receptor-gamma (PPAR $\gamma$ ) expression in alveolar macrophages suggesting the involvement of PPAR $\gamma$  in surfactant clearance (6). PPAR $\gamma$  is constitutively expressed in the alveolar macrophages of healthy individuals but deficient in the alveolar macrophages of PAP patients and GM-CSF KO mice (6).

A critical role for PPAR $\gamma$  has been reported in regulation of genes involved in lipid metabolism as well as in inflammation (141). PPAR $\gamma$  is one of the key proteins involved in regulating macrophage cholesterol efflux and this regulation

may involve expression of the ATP-binding cassette (ABC) transporters ABCG1 and ABCA1 (58,61,77,100,142,143). Our laboratory has recently shown that the expression of ABCG1 is decreased in the alveolar macrophages of PAP patients and GM-CSF KO mice (51). The studies of PAP and GM-CSF KO mice have shown that GM-CSF is a critical mediator of surfactant clearance. We hypothesized that GM-CSF mediates surfactant catabolism in alveolar macrophages through a PPAR $\gamma$ -ABCG1 pathway and that up-regulation of PPAR $\gamma$  in would lead to an increase in ABCG1 and decrease the lipid accumulating in the alveolar macrophages of GM-CSF KO mice. To address this hypothesis we utilized a Lentivirus expression system in vivo to up-regulate PPAR $\gamma$ .

## Materials and Methods

**Mice.** Animal studies were conducted in conformity with Public Health Service (PHS) Policy on humane care and use of laboratory animals and were approved by the institutional animal care committee. The GM-CSF KO mice were generated by Dr. Glenn Dranoff (29). The mice have been backcrossed eight generations to C57Bl/6.

**Bronchoalveolar lavage (BAL).** Post lenti-instillation (10 or 30 days) 5-7 animals per group were lavaged. Mice received ketamine (90 mg/kg) and xylazine (10 mg/kg) intraperitoneally. The thoracic cavity was opened and the lungs were exposed. After cannulating the trachea a tube was inserted and bronchoalveolar lavage was carried out with warmed (37°C) PBS in 1ml aliquots. Cytospins of BAL cells were stained with a modified Wright–Giemsa stain for differentials or Oil Red O stain to detect intracellular neutral lipids, and counterstained with Gill’s hematoxylin stain. Viability was >95% as determined by trypan blue for all cell preparations.

**Lentivirus construction and transduction.** A self-inactivating Lentivirus expression vector that was previously utilized in the generation of a stable cell line expressing the human parainfluenza virus type 3 C protein was used for these experiments (51,121). cDNA corresponding to the human PPAR $\gamma$

sequence was cloned into the multiple cloning sites downstream of a CMV promoter using standard techniques as described (121). The recombinant Lentiviral plasmid thus obtained was transfected into 293FT cells along with plasmids encoding the *gag*, *pol* and *rev* genes and a plasmid possessing the vesicular stomatitis glycoprotein (G) using Lipofectamine 2000 (Invitrogen, Carlsbad, CA). At 72 hrs post transfection, cell culture supernatant containing the Lentivirus-PPAR $\gamma$  (Lenti-PPAR $\gamma$ ) was collected and then purified by centrifugation at 27,000 rpm at 4<sup>0</sup>C for 3.5 hrs. The Lenti-PPAR $\gamma$  virus pellet was resuspended in PBS and aliquots of 100 $\mu$ l were stored at -70<sup>0</sup>C. The concentration of Lenti-PPAR $\gamma$  virus was determined by a p24 ELISA (Cell Biolabs, San Diego, CA). A Lentivirus expressing the enhanced Green Fluorescent Protein (Lenti-eGFP) was obtained using a similar protocol and was utilized as a control in experiments for the determination of transduction efficiency. For in vivo analyses, GM-CSF KO received 50 ng/mL p24 of Lenti-PPAR $\gamma$  in 50  $\mu$ L of PBS, Lenti-eGFP or PBS alone (sham) by intra-tracheal instillation.

***RNA purification and analysis.*** Total RNA was extracted from cells by RNeasy protocol (Qiagen, Valencia, CA). Expression of mRNA was determined by real time RT-PCR using the ABI Prism 7300 Detection System (TaqMan, Applied Biosystems, Foster City, CA) according to the manufacturer's instructions. RNA specimens were analyzed in duplicate using primer/probe sets for mouse PPAR $\gamma$ ,

ABCA1 and ABCG1 (ABI) as previously described (51). Threshold cycle (CT) values for genes of interest were normalized to a housekeeping gene [glyceraldehyde 3 phosphate dehydrogenase, (GAPDH)] and used to calculate the relative quantity of mRNA expression. Data were expressed as a fold change in mRNA expression relative to control values (98).

***Cholesterol efflux assay.*** BAL cells were plated in 48 well cell culture plates ( $3.5 \times 10^5$  per well) in complete media and maintained at 37°C and 5% CO<sub>2</sub>. Cells were incubated for 24 hours in 2 μCi/mL [1,2-<sup>3</sup>H(N)]-cholesterol (NEN, Perkin Elmer, Waltham, MA), washed and equilibrated in serum free media for 24 hours. Cells were then treated with no acceptors or in the presence of high density lipoprotein (HDL) (25μg/uL) (Intracel, Frederick, MD) for an additional 24 hours. Supernatants were harvested and cell debris removed by a 5 minute centrifugation at 1800 rpm. Cells were washed with 1XPBS and dissolved in 0.2 M NaOH with 0.1% SDS for 1 hour at room temperature. Aliquots of cell lysates and supernatants were counted by liquid scintillation. Cholesterol efflux was expressed as the percentage of radioactivity in the supernatant divided by the total radioactivity of the cells and supernatant. Each assay was run in duplicate.

***Cholesterol content analysis.*** Cholesterol was measured in BAL fluid using the Amplex Red Cholesterol Assay (Molecular Probes, Invitrogen, Eugene, OR) according to the manufacturer's protocol. Aliquots of cell-free BAL fluid were

assayed in serial dilution in 96-well plates. Data is expressed as  $\mu\text{g}$  of cholesterol per mL of BAL fluid.

***Statistical analysis.*** Data were analyzed by Student's t-test using Prism software (GraphPad, Inc., San Diego, CA). Significance was defined as  $p \leq 0.05$ .

## Results

***Lentivirus efficiently transduces alveolar macrophages in vivo.*** To monitor the transduction efficiency of Lentivirus vectors in alveolar macrophages of GM-CSF KO mice, we utilized Lenti-eGFP and quantified the number of eGFP positive alveolar macrophages (Figure 5.1). The transduction efficiency was 79% at 10 days and 54% at 30 days. Based on these results, the remaining experiments were carried only to 10 days post-instillation.

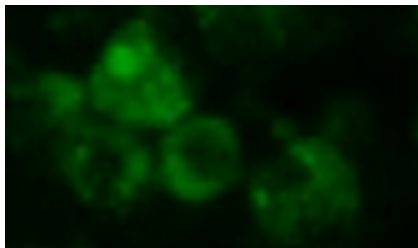
***PPAR $\gamma$  and cholesterol transporters ABCG1 and ABCA1 are up-regulated.***

To evaluate the effect of Lenti-PPAR $\gamma$  transduction on alveolar macrophages, RNA was extracted from freshly isolated BAL cells at 10 days post-instillation and compared to sham treated GM-CSF KO mice (Figure 5.2). PPAR $\gamma$  mRNA was up-regulated 2-fold. We next evaluated the effect of Lenti-PPAR $\gamma$  on the PPAR $\gamma$ -regulated transporters ABCG1 and ABCA1, and determined that their mRNA expression was also elevated. PPAR $\gamma$ , ABCG1, and ABCA1 gene expression in alveolar macrophages from Lenti-eGFP instilled animals were not different from sham. Increased expression of PPAR $\gamma$ , ABCG1, and ABCA1 protein was also determined by immunofluorescence (data not shown).



**Figure 5.1** *Lentivirus efficiently transduces alveolar macrophages in vivo.* GM-CSF KO instilled with Lenti-eGFP in vivo exhibit eGFP positive cells at 10 and 30 days post-instillation. (A) Representative image of eGFP positive GM-CSF KO alveolar macrophages. (B) Cytospin preparations of BAL cells were counted for eGFP positivity.

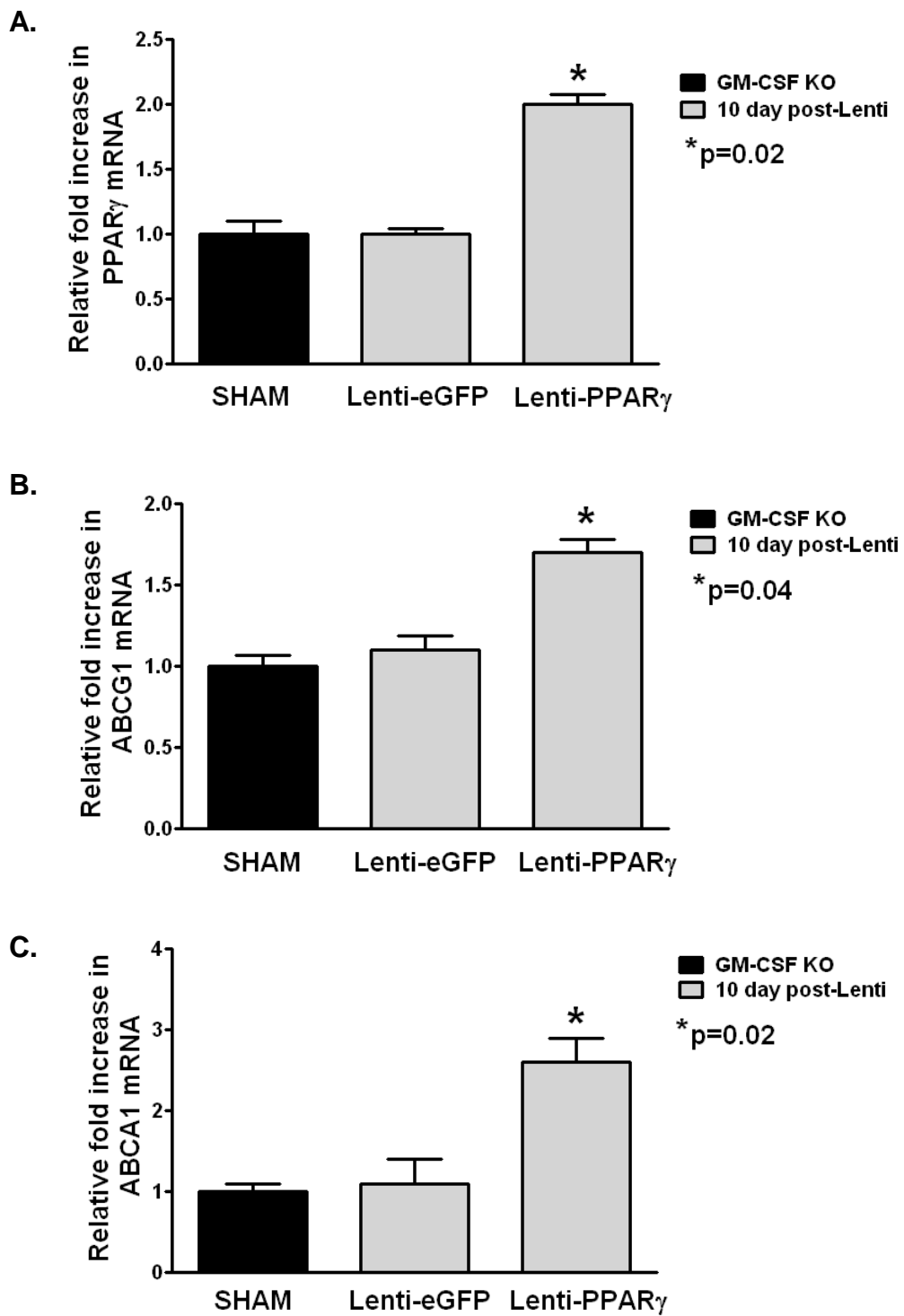
A.



B.

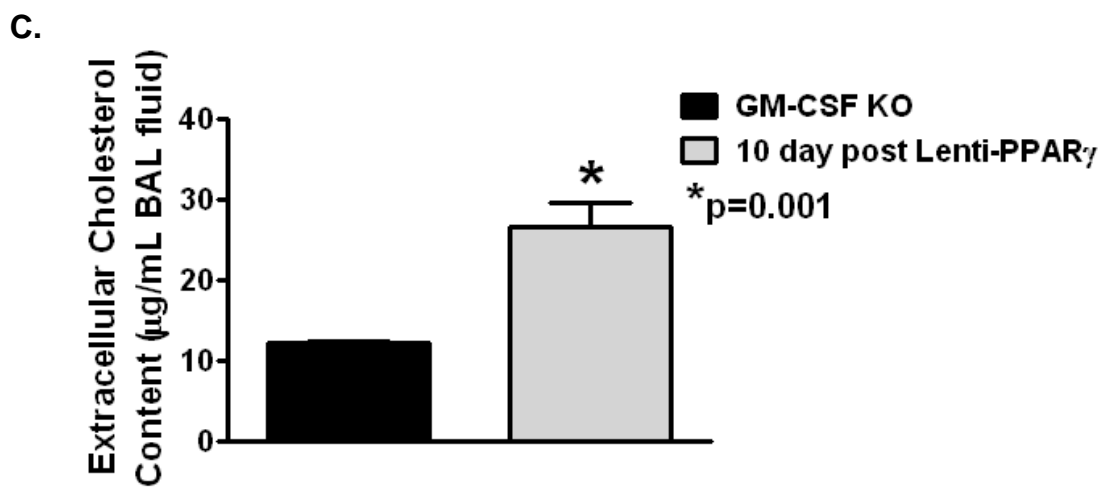
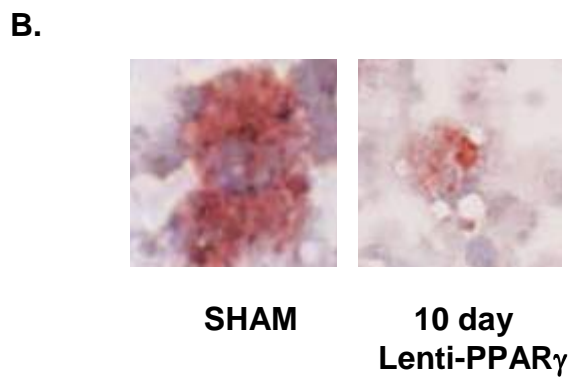
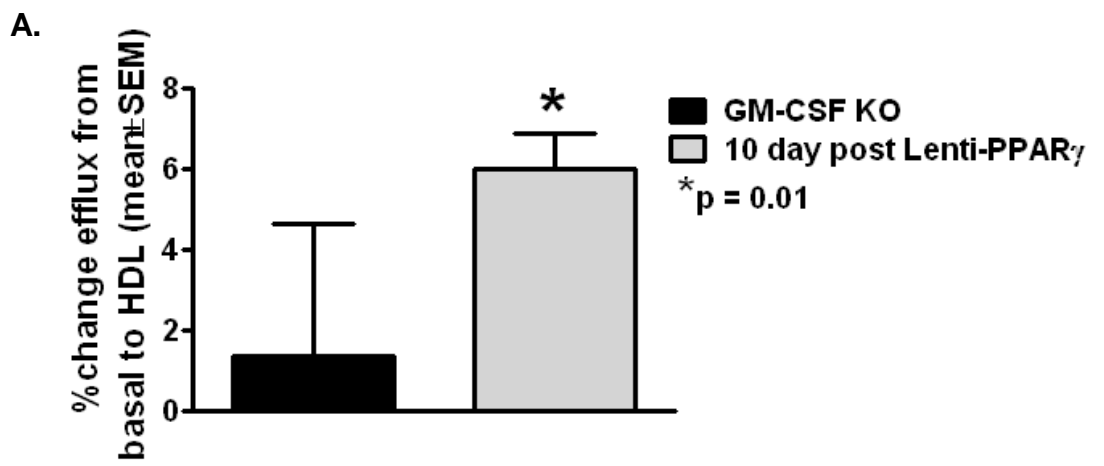
<b>Days Post-Instillation</b>	<b>% eGFP Positive</b>
10	79 ± 3 (n=3)
30	54 ± 6 (n=3)

**Figure 5.2 PPAR $\gamma$  and cholesterol transporters ABCG1 and ABCA1 are up-regulated.** (A) RT-PCR analysis demonstrated up-regulation of PPAR $\gamma$ , (B) ABCG1, and (C) ABCA1 mRNA in the alveolar macrophages of GM-CSF KO mice instilled with Lenti-PPAR $\gamma$  virus at 10 days post-instillation, compared to untreated animals. RT-PCR values for GM-CSF KO mice instilled with control virus Lenti-eGFP were not different from untreated animals.



***Cholesterol clearance from alveolar macrophages is increased.*** The previously reported accumulation of cholesterol in the alveolar macrophages and BAL fluids of GM-CSF KO mice (110) together with our observations of decreased PPAR $\gamma$  and ABCG1 (51) suggested that cholesterol efflux to HDL might be impaired. Having demonstrated the up-regulation of the cholesterol transporter ABCG1, we next investigated the cholesterol efflux in the alveolar macrophages of Lenti-PPAR $\gamma$  treated GM-CSF KO mice compared to macrophages from untreated animals. The mean cholesterol efflux to HDL compared to basal efflux (no acceptor) was  $1.4\pm 3.3\%$  in untreated GM-CSF macrophages whereas efflux in alveolar macrophages 10 days post-instillation was  $6.0\pm 0.9\%$  (Figure 5.3A). To further evaluate the clearance of cholesterol, we measured the intracellular neutral lipid accumulation at 10 days post-instillation with Lenti-PPAR $\gamma$ . The intensity of Oil Red O staining was decreased in treated mice (Figure 5.3B). Cell size of Lenti-PPAR $\gamma$  treated GM-CSF KO mice was also significantly reduced (data not shown). Given enhanced cholesterol efflux and decreased cellular lipid accumulation, we speculated that extracellular cholesterol would be increased in the BAL fluid. As expected, the extracellular cholesterol was increased ( $27\pm 3 \mu\text{g/mL}$ ) as compared to BAL fluid from untreated GM-CSF KO mice ( $12\pm 0.2 \mu\text{g/mL}$ ) (Figure 5.3C). These results suggest PPAR $\gamma$  promotes cholesterol catabolism in alveolar macrophages through ABCG1.

**Figure 5.3 Cholesterol clearance from alveolar macrophages is increased.** (A) ABCG1-mediated cholesterol efflux to HDL is increased in the alveolar macrophages of GM-CSF KO mice instilled with Lenti-PPAR $\gamma$ . (B) Representative images from alveolar macrophages stained with Oil Red O to detect neutral lipid accumulation show decreased intensity of Oil Red O staining in the alveolar macrophages treated with Lenti-PPAR $\gamma$  at 10 days post-instillation. (C) Cholesterol accumulates in the BAL fluid of GM-CSF KO mice instilled with Lenti-PPAR $\gamma$ .



## Discussion

Alternative therapies for PAP are needed, as less than 50% of patients treated with recombinant GM-CSF exhibit symptomatic and radiographic improvement (53,144,145). Previous studies have suggested that the clearance of surfactant by alveolar macrophages is mediated by the GM-CSF-PPAR $\gamma$  pathway (6,7). Our findings confirm PPAR $\gamma$  transcriptionally regulates surfactant catabolism in alveolar macrophages and provide evidence that PPAR $\gamma$  is a valid therapeutic target.

To address the role of PPAR $\gamma$  in surfactant catabolism, we have up-regulated PPAR $\gamma$  in PPAR $\gamma$ -deficient alveolar macrophages of GM-CSF KO mice in vivo. We have demonstrated increased expression of PPAR $\gamma$  and downstream targets ABCG1 and ABCA1. Up-regulation of PPAR $\gamma$  and ABCG1 resulted in increased cholesterol efflux via the ABCG1-HDL pathway. Neutral lipid accumulation was reduced in treated alveolar macrophages, as evidenced by Oil Red O. Finally, free cholesterol was increased in the BAL fluid of treated GM-CSF KO mice. Taken together, these studies suggest that the expression PPAR $\gamma$  and ABCG1 in alveolar macrophages promotes the catabolism of surfactant, specifically the cholesterol component of surfactant.

PPAR $\gamma$  regulates the catabolism and transport of cholesterol in other tissue macrophages via the lipid transporters ABCG1 and ABCA1 (77,78). The expression of PPAR $\gamma$ , ABCG1, and ABCA1 were increased in the alveolar



macrophages of GM-CSF KO mice treated with Lenti-PPAR $\gamma$  at 10 days post-instillation. These results are an extension of our previous results in which PPAR $\gamma$  and ABCG1 were deficient in GM-CSF KO alveolar macrophages. Replacement of PPAR $\gamma$  corrects these deficiencies and further links the PPAR $\gamma$ -ABCG1 pathway in the catabolism of surfactant.

Cholesterol is the major neutral lipid in surfactant. Therefore, dysregulation of cholesterol catabolism in alveolar macrophages may have impacts on the clearance of surfactant overall. We have shown that the alveolar macrophages of GM-CSF KO mice accumulate neutral lipids and are deficient in PPAR $\gamma$  (47). We show that by restoring PPAR $\gamma$  in this system, there is a reduction in neutral lipid accumulation which we speculated was due to increased cholesterol efflux from the macrophages. This was supported both by the increased ABCG1-HDL cholesterol efflux and elevated levels of extracellular cholesterol in Lenti-PPAR $\gamma$  treated GM-CSF KO mice.

Utilizing a Lenti-PPAR $\gamma$  virus, we restored expression of PPAR $\gamma$  in the PPAR $\gamma$ -deficient alveolar macrophages of GM-CSF KO mice. Replacement of PPAR $\gamma$  resulted in increased expression of the cholesterol transporter ABCG1 and decreased intracellular lipid accumulation, confirming our hypothesis. Taken together, these studies directly link the expression of PPAR $\gamma$  and ABCG1 in the promotion of surfactant catabolism and may serve as potential therapeutic targets in the treatment of PAP.

## CHAPTER 6

### SUMMARY

The overall purpose of the studies described in Chapters 2-5 was to investigate the role of the nuclear transcription factor PPAR $\gamma$  in surfactant catabolism in alveolar macrophages. This dissertation investigated the hypothesis that surfactant catabolism is regulated by the PPAR $\gamma$ -ABCG1 pathway. Chapters 2 and 4 detailed experiments which utilized a macrophage-specific PPAR $\gamma$  KO mouse model and demonstrated the following:

- (1) PPAR $\gamma$  KO mice exhibit a PAP-like lung pathology with the accumulation of surfactant and the presence of foamy alveolar macrophages.
- (2) The deletion of PPAR $\gamma$  resulted in the dysregulation of ABC transporters and LXR transcription factors and reduced ABCG1-mediated cholesterol efflux.
- (3) In addition to dysregulated cholesterol efflux genes LXR $\alpha$  and ABCG1, the alveolar macrophages of PPAR $\gamma$  KO exhibited decreased expression of cholesterol biosynthesis gene SREBP2 and downstream targets HMGCR and LDL-R and increased expression of cholesterol scavenger receptors CD36 and SRA-I.
- (4) Replacement of PPAR $\gamma$  in vivo in PPAR $\gamma$  KO mice resulted in up-regulation of cholesterol efflux genes LXR $\alpha$  and ABCG1, cholesterol

biosynthesis genes SREBP2 and HMGCR, and receptors LDL-R and CD36 in alveolar macrophages.

Results from these studies indicate that PPAR $\gamma$  regulates surfactant catabolism through the regulation of LXR transcription factors and ABC lipid transporters in alveolar macrophages.

Chapter 5 relates experiments in which PPAR $\gamma$  expression was restored in vivo in the PPAR $\gamma$ -deficient alveolar macrophages of GM-CSF KO mice, the mouse model for the human lung disease PAP. These experiments demonstrated the following:

- (1) GM-CSF KO mice instilled in Lenti-PPAR $\gamma$  in vivo efficiently up-regulated PPAR $\gamma$  and ABCG1.
- (2) Expression of the PPAR $\gamma$ -ABCG1 pathway resulted in increased cholesterol efflux from GM-CSF KO alveolar macrophages.
- (3) Expression of PPAR $\gamma$  resulted in reduction of lipid-laden alveolar macrophages and increased extracellular cholesterol in the lungs of GM-CSF mice.

### Macrophage-specific Deletion of PPAR $\gamma$

Previous findings in our laboratory demonstrated that the alveolar macrophages of PAP patients and GM-CSF KO mice were deficient in PPAR $\gamma$  (6,51). We utilized a macrophage-specific PPAR $\gamma$  KO mouse to investigate the role of PPAR $\gamma$  in alveolar macrophages and surfactant catabolism. Interestingly, PPAR $\gamma$  KO mice developed pulmonary disease similar to that of PAP patients and GM-CSF KO mice with the accumulation of surfactant phospholipids, cholesterol, and associated proteins. Additionally, the foamy, lipid-laden alveolar macrophages of PPAR $\gamma$  KO mice phenotypically resembled those of PAP patients and GM-CSF KO mice. This is the first report directly linking the deficiency of PPAR $\gamma$  in alveolar macrophages to the accumulation of surfactant.

Consistent with PAP patients and GM-CSF KO mice (51), the expression of ABCG1 was decreased and ABCA1 increased in alveolar macrophages of PPAR $\gamma$  KO mice. Functional analyses of the transporters corresponded to gene expression in that PPAR $\gamma$  KO alveolar macrophages exhibited decreased ABCG1-mediated cholesterol efflux and increased ABCA1-mediated efflux. These results may reflect a compensatory mechanism in which the deficiency of one ABC transporter results in PPAR $\gamma$  and LXR ligand accumulation leading to the induction of the other transporter (86,87). However, it has been suggested, and our results provide further evidence, that the induction of ABCA1 is less efficient at compensating for the loss of ABCG1, as ABCG1-deficient mice

develop more severe lipid accumulation in the lung than ABCA1 knockout models (86,89,90). Our results indicate that ABCG1-mediated cholesterol efflux to HDL may be the major pathway for cholesterol efflux in alveolar macrophages.

An important, unexpected difference emerged from the study of PPAR $\gamma$  KO alveolar macrophages from what has been published previously on PAP patients and GM-CSF KO mice: LXR $\alpha$  expression was increased in PAP and GM-CSF KO (51) but decreased in PPAR $\gamma$  KO. We postulate that this difference is due to the fact that PPAR $\gamma$  is not completely absent in PAP patients and GM-CSF KO mice. Indeed, upon restoration of PPAR $\gamma$  in PPAR $\gamma$  KO mice, LXR $\alpha$  expression was induced. Although regulated by additional independent mechanisms, LXR $\alpha$  is directly regulated by PPAR $\gamma$ . Chawla, et al. concluded that PPAR $\gamma$  is an essential regulator of cholesterol metabolism in arterial macrophages (146) and our findings indicate the same is true in alveolar macrophages.

Although the expression of LXR $\beta$  has not been reported in PAP or GM-CSF KO, we demonstrated that LXR $\beta$  was up-regulated in PPAR $\gamma$  KO alveolar macrophages. While LXR $\alpha$  is typically regarded as the predominant isoform in the cholesterol efflux pathway in macrophages, both LXR $\alpha$  and LXR $\beta$  have been reported to transcriptionally promote genes involved in cholesterol efflux. The transcriptional efficiency and tissue-specificity of the individual isoforms remain in question (101,113-115,147). Importantly, LXR $\beta$  has been shown to be regulated

independently of PPAR $\gamma$  and thereby represents a PPAR $\gamma$ -independent pathway in the transcriptional promotion of cholesterol efflux from macrophages (146).

Interest in differentiating the LXR isoforms has increased due to the potential therapeutic application for atherosclerosis. For example, ligand activation of LXR $\beta$  reduced atherosclerosis in the vascular tissue of mice lacking LXR $\alpha$  (148). However, unlike LXR $\alpha$ , specific activation of LXR $\beta$  did not result in increased triglyceride production (149,150). The contribution of the individual LXR isoforms in surfactant catabolism is unknown and warrants further investigation.

While the LXR pathway is enhanced, as evidenced by increased expression of LXR $\beta$ , ABCA1, ApoE, and CYP27A1, surfactant accumulates in PPAR $\gamma$  KO mice suggesting this pathway is not sufficient to maintain surfactant catabolism in the absence of PPAR $\gamma$ . Additionally, this data indicates LXR $\beta$  is responsive to intracellular oxysterol build-up and may also reflect the presence of LXR $\beta$ -specific ligand. Increased basal expression of LXR $\beta$  was unexpected and to our knowledge has not been observed in other tissues that express both isoforms. For example, the hepatic tissues of LXR $\alpha$  KO mice did not exhibit compensatory LXR $\beta$  expression (147). Increased expression of LXR $\beta$  in the alveolar macrophages of PPAR $\gamma$  KO mice may demonstrate site and/or cell specific differences. The deficiencies of transcription factors PPAR $\gamma$  and LXR $\alpha$  and cholesterol transporter ABCG1 and the resulting accumulation of various

surfactant components in PPAR $\gamma$  KO mice emphasize the importance of cholesterol catabolism in the overall clearance of surfactant.

Several comprehensive reviews have suggested that the PPAR $\gamma$ -LXR pathway is the link between lipid metabolism and inflammation in macrophages (151,152). Studies presented in this thesis demonstrate that PPAR $\gamma$  regulates lipid metabolism in alveolar macrophages. We have also recently reported that the alveolar macrophages of PPAR $\gamma$  KO mice exhibit increased expression of pro-inflammatory Th-1 associated cytokines (96). Although the presence of modified-LDL in the lungs of PPAR $\gamma$  KO mice has not been determined, research in the field of atherosclerosis has shown that foam cell phenotype, the accumulation of cholesterol, and a pro-inflammatory state are indicative of increased uptake of modified-LDL (7). We have presented indirect evidence supporting the presence of modified-LDL in the lungs of PPAR $\gamma$  KO mice. First, enhanced expression of scavenger receptors CD36 and SRA-I indicate the increased presence of substrate (modified-LDL). Secondly, the accumulation of predominantly free cholesterol in the alveolar macrophages of PPAR $\gamma$  KO mirrors the late atherosclerotic event in which free cholesterol deposition results from insufficient cholesterol hydrolysis in lysosomes due to oxidized-LDL particles (64). Finally, data from Yvan-Charvet and colleagues have suggested ABCG1 has a specific role of protecting the lungs from oxidized sterol accumulation (86,153). ABCA1-deficient macrophages treated with oxidized-LDL rapidly underwent apoptosis but the addition of HDL significantly decreased the

percentage of cells undergoing apoptosis. Therefore, the reduced expression of ABCG1 in PPAR $\gamma$  KO alveolar macrophages may have deleterious effects on the accumulation of oxidized sterols.

Our findings indicate that a combination of decreased cholesterol efflux and increased uptake of modified-LDL by scavenger receptors contributed to cholesterol overload and foam cell formation in the alveolar macrophages of PPAR $\gamma$  KO mice. We speculate that insufficient catabolism of cholesterol derived from modified-LDL impairs the overall catabolism of surfactant in alveolar macrophages. Similar to other tissue macrophages, the influx and efflux of cholesterol in alveolar macrophages appears to be regulated by PPAR $\gamma$ . However, the lung is a cholesterol-rich environment and the maintenance of cholesterol catabolism is critical. While the changes in the lungs of PPAR $\gamma$  KO mice cannot be attributed to a single mechanism, the abundance of cholesterol indicates cholesterol may contribute to the dysregulated state of surfactant catabolism.



### Restoration of PPAR $\gamma$ in GM-CSF KO Mice

To further investigate the PPAR $\gamma$ -ABCG1 pathway in the catabolism of surfactant, we next reconstituted PPAR $\gamma$  expression in GM-CSF KO mice in vivo using the retroviral vector Lenti-PPAR $\gamma$ . Ten days post-instillation of Lenti-PPAR $\gamma$ , we measured increased expression of PPAR $\gamma$  and ABCG1 in the GM-CSF KO alveolar macrophages. Correlating to the up-regulation of the cholesterol transporter ABCG1, ABCG1-mediated cholesterol efflux was increased. These results corresponded to decreased intracellular neutral lipid accumulation and increased extracellular cholesterol levels to suggest that cholesterol catabolism was enhanced in vivo in treated alveolar macrophages. These results provide further evidence that PPAR $\gamma$  transcriptionally regulates ABCG1 and thereby promotes cholesterol catabolism in alveolar macrophages.

Extrapolating from the GM-CSF KO model, our results suggest that foam cell formation and the accumulation of cholesterol in the lungs of PAP patients resulted from deficiencies in PPAR $\gamma$  and ABCG1 and perhaps contributed to the accumulation of surfactant overall. This data extends our previous results and indicates that the GM-CSF-PPAR $\gamma$  pathway regulates surfactant clearance in alveolar macrophages through the ABCG1 lipid transporter.

It has been clearly demonstrated that GM-CSF is a critical mediator of surfactant homeostasis in alveolar macrophages. Our work has continued this pathway to include PPAR $\gamma$  and ABCG1. Alternative therapies are needed, as

treatment with recombinant GM-CSF results in symptomatic or radiographic improvement in approximately 50% of PAP patients (53,144,145). A follow-up study demonstrated that non-responding patients have high titers of neutralizing GM-CSF auto-antibodies which may be too high for exogenous GM-CSF treatments to overcome (54). In clinical trials, PAP patients were treated with higher doses of GM-CSF than current dose recommendations to reduce the duration and degree of neutropenia in certain cancer patients undergoing chemotherapy (53). While there is no evidence to suggest that PAP patients increase production of neutralizing antibodies in response to GM-CSF treatment (53), the possibility remains that increasing doses of antigen could stimulate antibody production. Taken together, this suggests PPAR $\gamma$  may be a more suitable therapeutic target than GM-CSF for PAP.

PPAR $\gamma$  agonist rosiglitazone may confer additional anti-inflammatory properties through the activation of PPAR $\gamma$ . In fact, PPAR $\gamma$  agonists have been shown to diminish inflammatory responses in murine models of asthma (154) and improve lung function in corticosteroid-resistant asthma patients (155). Decreased levels of PPAR $\gamma$  protein in the alveolar macrophages of PAP patients may attenuate the effects of PPAR $\gamma$  agonists. However, recent in vitro models have demonstrated that the presence of synthetic and natural PPAR $\gamma$  ligands up-regulates the expression of PPAR $\gamma$  likely through downstream positive feedback mechanisms (156). While currently only conjecture, PPAR $\gamma$  may prove to be a more suitable target for the treatment of PAP. Our work, however, confirms

PPAR $\gamma$  regulates surfactant catabolism in alveolar macrophages and provides evidence that PPAR $\gamma$  is a valid therapeutic target.

## Future Directions

Several questions arise from the work reported in this thesis. First, one major difference between PPAR $\gamma$  KO and GM-CSF KO mice is the presence of GM-CSF. GM-CSF is a hematopoietic growth factor that promotes cell survival and proliferation and has a specific role in the differentiation of alveolar macrophages (7,29,102). One explanation for why GM-CSF deficiency only disrupts the terminal differentiation of alveolar macrophages is the expression of the transcription factor PU.1 (41). PU.1 promotes differentiation in several hematopoietic lineages including alveolar macrophages, unlike other tissue macrophages (104,157).

The alveolar macrophages of PAP patients and GM-CSF KO mice have significantly reduced levels of PU.1 (103,104). The alveolar macrophages from GM-CSF KO mice do not express differentiation marker CD11c (158,159), and PU.1-dependent terminal differentiation markers CD32, mannose receptor (MR), and macrophage colony-stimulating factor receptor (MCSFR) are reduced in the alveolar macrophages of PAP patients and GM-CSF KO mice (103,104). Replacement of PU.1 in GM-CSF KO mice corrected surfactant catabolism (3).

While induced upon differentiation into macrophages (160), PPAR $\gamma$  is not required for the differentiation of macrophages (100). Further, the expression of GM-CSF and PU.1 is not deficient in the alveolar macrophages of PPAR $\gamma$  KO mice. This suggests the differentiation and maturation of the PPAR $\gamma$  KO alveolar

macrophages is not affected as with GM-CSF KO mice. Several cell-surface markers of macrophage maturation and differentiation, such as those mentioned above, could be analyzed by flow cytometry to conclusively address the differentiation of PPAR $\gamma$  KO alveolar macrophages.

Another area of interest stemming from this work is the relationship between lipid accumulation and inflammation in PPAR $\gamma$  KO mice. Several comprehensive reviews have suggested that the PPAR $\gamma$ -LXR pathway is the link between lipid metabolism and inflammation in macrophages (151,152). Herein we have demonstrated that PPAR $\gamma$  regulates lipid metabolism in alveolar macrophages. We have also recently reported that the alveolar macrophages of PPAR $\gamma$  KO mice exhibit increased expression of Th-1 associated pro-inflammatory cytokines (96). It is unclear whether the deficiency of PPAR $\gamma$  resulted in lipid accumulation which lead to inflammation or if it was the production of inflammatory mediators that lead to lipid accumulation.

To address this question, we would first investigate the presence of pro-inflammatory cytokines and surfactant accumulation in younger PPAR $\gamma$  KO mice than the 8-12 week old mice utilized in the present studies. As GM-CSF KO mice develop pulmonary disease by 3 weeks of age (30), studying 3-6 week old PPAR $\gamma$  KO mice may clarify the onset of surfactant accumulation and inflammatory responses. Since it is unlikely that the results from these experiments would be definitive, additional in vitro experiments would be needed. For example, we could compare the inflammatory response elicited from

cholesterol-depleted wild type and PPAR $\gamma$  KO alveolar macrophages, by treatment with methyl-beta-cyclodextrin, cultured in the presence surfactant and an inflammatory agent such as lipopolysaccharide (161,162). Understanding the complex progression of lipid accumulation and inflammation in PPAR $\gamma$  KO mice is critical to the study of the regulation of surfactant metabolism in a broader context.

We have demonstrated that the alveolar macrophages of PPAR $\gamma$  KO mice develop cholesterol-laden alveolar macrophages that exhibit a foam cell phenotype. Free cholesterol comprised the bulk of the intracellular cholesterol accumulation. Importantly, the source of accumulating cholesterol (ie, LDL-derived or modified-LDL-derived) is a major factor in the storage and metabolism of the cholesterol. Cholesterol derived from modified-LDL is poorly catabolized and can result in the accumulation of free cholesterol which can disrupt cell signaling, cause plasma membrane rigidity, and induce pro-apoptotic cascades (163). The presence of modified-LDL can be indirectly determined utilizing several protocols to detect the presence of modified-LDL antibodies in sera (164,165). Alternatively, antibodies against modified-LDL could be used to measure the protein directly (166,167).

We propose that insufficient catabolism of cholesterol derived from modified-LDL impairs the overall catabolism of surfactant. Phospholipid efflux studies on the alveolar macrophages of PPAR $\gamma$  KO mice, if found to be similar to wild type, could confirm specific defects in the regulation of cholesterol. These

findings would have implications in the study of PPAR $\gamma$  and human lung diseases associated with surfactant accumulation.

Finally, the maintenance of cholesterol efflux is a crucial part of cholesterol homeostasis and is essential in the prevention of foam cell formation (77,168). The results presented in this thesis suggest that ABCG1-mediated cholesterol efflux is especially critical to the catabolism of surfactant in the alveolar macrophage. We have demonstrated that PPAR $\gamma$  KO alveolar macrophages exhibit reduced ABCG1 and ABCG1 cholesterol efflux. Replacement of PPAR $\gamma$  in GM-CSF KO mice induced expression of ABCG1 and increased ABCG1-mediated cholesterol efflux. The next step in developing the PPAR $\gamma$ -ABCG1 pathway would be to silence ABCG1 expression using small interfering RNA (siRNA) in wild type alveolar macrophages and measure the efflux of cholesterol to serum as a measure of maximum cholesterol efflux potential and to specific ABCG1-mediated efflux HDL. If reduced, this would indicate ABCG1 is a major contributor to cholesterol efflux from alveolar macrophages. LXR agonists could be used in conjunction with ABCG1 siRNA to induce ABCA1 expression to reflect conditions in PPAR $\gamma$  KO alveolar macrophages.

## Conclusions

The work presented in this dissertation addresses the role of PPAR $\gamma$  in surfactant catabolism in alveolar macrophages. We hypothesized that PPAR $\gamma$  promotes surfactant catabolism by the regulation of ABCG1 and that the up-regulation of PPAR $\gamma$  will increase surfactant catabolism in PPAR $\gamma$ -deficient alveolar macrophages and reduce the presence of lipid-engorged alveolar macrophages in the lung.

We show that the targeted knockout of PPAR $\gamma$  in macrophages resulted in the accumulation of surfactant in the alveolar spaces of the lung and within the alveolar macrophages, reduced expression of ABCG1, and reduced ABCG1-mediated cholesterol efflux. These results support the hypothesis and directly link the deficiency of PPAR $\gamma$  to lipid accumulation in the lung.

To study the effects of PPAR $\gamma$  replacement on surfactant catabolism in PPAR $\gamma$ -deficient alveolar macrophages in vivo, we developed an efficient Lentivirus expression system to up-regulate PPAR $\gamma$ . Replacement of PPAR $\gamma$  in GM-CSF KO mice resulted in increased expression of ABCG1, enhanced ABCG1-mediated cholesterol efflux, and reduced intracellular lipid accumulation. Results from all sets of experiments suggest that PPAR $\gamma$  mediates a critical role in surfactant homeostasis through the regulation of ABCG1. These findings have potential implications in lung diseases such as PAP in which surfactant accumulates and PPAR $\gamma$  and ABCG1 are deficient in alveolar macrophages.



Understanding the role of PPAR $\gamma$  in normal surfactant homeostasis provides insight into the pathophysiology of PAP and identifies a potential therapeutic target.

## REFERENCES

1. Kitamura, T., N. Tanaka, J. Watanabe, K. Uchida, S. Kanegasaki, Y. Yamada, and K. Nakata. 1999. Idiopathic pulmonary alveolar proteinosis as an autoimmune disease with neutralizing antibody against granulocyte/macrophage colony-stimulating factor. *J. Exp. Med.* 190: 875-880.
2. Rosen, S. H., B. Castleman, and A. A. Liebow. 1958. Pulmonary alveolar proteinosis. *N. Engl. J. Med.* 258: 1123-1142.
3. Trapnell, B. C., J. A. Whitsett, and K. Nakata. 2003. Pulmonary alveolar proteinosis. *N. Engl. J. Med.* 349: 2527-2539.
4. Sorensen, G. L., S. Husby, and U. Holmskov. 2007. Surfactant protein A and surfactant protein D variation in pulmonary disease. *Immunobiology* 212: 381-416.
5. Tontonoz, P., and B. M. Spiegelman. 2008. Fat and Beyond: The Diverse Biology of PPARgamma. *Annual Review of Biochemistry* 77: 289-312.
6. Bonfield, T. L., C. F. Farver, B. P. Barna, A. Malur, S. Abraham, B. Raychaudhuri, M. S. Kavuru, and M. J. Thomassen. 2003. Peroxisome proliferator-activated receptor-gamma is deficient in alveolar macrophages from patients with alveolar proteinosis. *Am. J. Respir Cell Mol. Biol.* 29: 677-682.
7. Ricote, M., J. Huang, L. Fajas, A. Li, J. Welch, J. Najib, J. L. Witztum, J. Auwerx, W. Palinski, and C. K. Glass. 1998. Expression of the peroxisome proliferator-activated receptor  $\gamma$  (PPAR $\gamma$ ) in human atherosclerosis and regulation in macrophages by colony stimulating factors and oxidized low density lipoprotein. *Proc. Natl. Acad. Sci. USA* 95: 7614-7619.
8. Weaver, T. E., and J. A. Whitsett. 1991. Function and regulation of expression of pulmonary surfactant-associated proteins. *Biochemistry* 273 (Pt 2): 249-264.
9. Chaudhuri, N., and I. Sabroe. 2008. Basic science of the innate immune system and the lung. *Paediatr. Respir Rev* 9: 236-242.
10. Dempsey, P. W., S. A. Vaidya, and G. Cheng. 2003. The art of war: Innate and adaptive immune responses. *Cell Mol Life Sci.* 60: 2604-2621.

11. Perez-Gil, J. 2008. Structure of pulmonary surfactant membranes and films: the role of proteins and lipid-protein interactions. *Biochim. Biophys. Acta* 1778: 1676-1695.
12. Whitsett, J. A., and T. E. Weaver. 2002. Hydrophobic surfactant proteins in lung function and disease. *N. Engl. J. Med.* 347: 2141-2148.
13. McCormack, F. X., and J. A. Whitsett. 2002. The pulmonary collectins, SP-A and SP-D, orchestrate innate immunity in the lung. *J Clin Invest* 109: 707-712.
14. Pastva, A. M., J. R. Wright, and K. L. Williams. 2007. Immunomodulatory roles of surfactant proteins A and D: implications in lung disease. *Proc. Am Thorac. Soc.* 4: 252-257.
15. LeVine, A. M., K. E. Kurak, J. R. Wright, W. T. Watford, M. D. Bruno, G. F. Ross, J. A. Whitsett, and T. R. Korfhagen. 1999. Surfactant protein-A binds group B streptococcus enhancing phagocytosis and clearance from lungs of surfactant protein-A-deficient mice. *Am. J Respir Cell Mol. Biol.* 20: 279-286.
16. Madan, T., K. B. Reid, M. Singh, P. U. Sarma, and U. Kishore. 2005. Susceptibility of mice genetically deficient in the surfactant protein (SP)-A or SP-D gene to pulmonary hypersensitivity induced by antigens and allergens of *Aspergillus fumigatus*. *J Immunol.* 174: 6943-6954.
17. Atochina, E. N., A. J. Gow, J. M. Beck, A. Haczku, A. Inch, H. Kadire, Y. Tomer, C. Davis, A. M. Preston, F. Poulain, S. Hawgood, and M. F. Beers. 2004. Delayed clearance of pneumocystis carinii infection, increased inflammation, and altered nitric oxide metabolism in lungs of surfactant protein-D knockout mice. *J Infect. Dis.* 189: 1528-1539.
18. Clark, J. C., S. E. Wert, C. J. Bachurski, M. T. Stahlman, B. R. Stripp, T. E. Weaver, and J. A. Whitsett. 1995. Targeted disruption of the surfactant protein B gene disrupts surfactant homeostasis, causing respiratory failure in newborn mice. *Proc. Natl. Acad. Sci. U. S. A* 92: 7794-7798.
19. Vorbroker, D. K., S. A. Profitt, L. M. Noguee, and J. A. Whitsett. 1995. Aberrant processing of surfactant protein C in hereditary SP-B deficiency. *Am J Physiol* 268: L647-L656.
20. Serrano, A. G., and J. Perez-Gil. 2006. Protein-lipid interactions and surface activity in the pulmonary surfactant system. *Chem Phys. Lipids* 141: 105-118.

21. Hawgood, S., and F. R. Poulain. 2001. The pulmonary collectins and surfactant metabolism. *Ann. Rev. Physiol.* 63: 495-519.
22. Jobe, A. H., and M. Ikegami. 1998. Surfactant and acute lung injury. *Proc. Assoc. Am Physicians* 110: 489-495.
23. Mason, R. J., K. Greene, and D. R. Voelker. 1998. Surfactant protein A and surfactant protein D in health and disease. *Am. J. Physiol.* 275: L1-L13.
24. Herbein, J. F., and J. R. Wright. 2001. Enhanced clearance of surfactant protein D during LPS-induced acute inflammation in rat lung. *Am J Physiol Lung Cell Mol Physiol* 281: L268-L277.
25. Alberti, A., M. Luisetti, A. Braschi, G. Rodi, G. Iotti, D. Sella, V. Poletti, V. Benori, and A. Baritussio. 1996. Bronchoalveolar lavage fluid composition in alveolar proteinosis. Early changes after therapeutic lavage. *Am. J. Respir. Crit Care Med.* 154: 817-820.
26. Huffman, J. A., W. M. Hull, G. Dranoff, R. C. Mulligan, and J. A. Whitsett. 1996. Pulmonary epithelial cell expression of GM-CSF corrects the alveolar proteinosis in GM-CSF-deficient mice. *J. Clin. Invest.* 97: 649-655.
27. Ramirez, J., and W. R. Harlan, Jr. 1968. Pulmonary alveolar proteinosis. Nature and origin of alveolar lipid. *Am. J. Med.* 45: 502-512.
28. Yoshida, M., M. Ikegami, J. A. Reed, Z. C. Chroneos, and J. A. Whitsett. 2001. GM-CSF regulates protein and lipid catabolism by alveolar macrophages. *Am. J. Physiol. Lung Cell Mol. Physiol.* 280: 379-386.
29. Dranoff, G., A. D. Crawford, M. Sadelain, B. Ream, A. Rashid, R. T. Bronson, G. R. Dickersin, C. J. Bachurski, E. L. Mark, J. A. Whitsett, and R. C. Mulligan. 1994. Involvement of granulocyte-macrophage colony-stimulating factor in pulmonary homeostasis. *Science* 264: 713-716.
30. Stanley, E., G. J. Lieschke, D. Grail, D. Metcalf, G. Hodgson, J. A. M. Gall, D. W. Maher, J. Cebon, V. Sinickas, and A. R. Dunn. 1994. Granulocyte/macrophage colony-stimulating factor-deficient mice show no major perturbation of hematopoiesis but develop a characteristic pulmonary pathology. *Proc. Natl. Acad. Sci. USA* 91: 5592-5596.
31. Nogee, L. M., D. E. DeMello, L. P. Dehner, and H. R. Colten. 1999. Deficiency of pulmonary surfactant protein B in congenital alveolar proteinosis. *N. Engl. J. Med.* 328: 406-410.

32. Dirksen, U., R. Nishinakamura, P. Groneck, U. Hattenhorst, L. Noguee, R. Murray, and S. Burdach. 1997. Human pulmonary alveolar proteinosis associated with a defect in GM-CSF/IL-3/IL-5 receptor common  $\beta$  chain expression. *J. Clin. Invest.* 100: 2211-2217.
33. Cordonnier, C., J. Fleury-Feith, E. Escudier, K. Atassi, and J. F. Bernaudin. 1994. Secondary alveolar proteinosis is a reversible cause of respiratory failure in leukemic patients. *Am. J. Respir. Crit Care Med.* 149: 788-794.
34. Ruben, F. L., and T. S. Talamo. 1986. Secondary pulmonary alveolar proteinosis occurring in two patients with acquired immune deficiency syndrome. *Am. J. Med.* 80: 1187-1190.
35. Doyle, A. P., S. P. Balcerzak, C. L. Wells, and J. O. Crittenden. 1963. Pulmonary alveolar proteinosis with hematologic disorders. *Arch. Intern. Med* 112: 940-946.
36. Doerschuk, C. M. 2007. Pulmonary Alveolar Proteinosis -- Is Host Defense Awry? *N Engl J Med* 356: 547-549.
37. Seymour, J. F., and J. J. Presneill. 2002. Pulmonary alveolar proteinosis (Progress in the first 44 years). *Am. J. Respir. Crit. Care Med.* 166: 215-235.
38. DeMello, D. E., and Z. Lin. 2001. Pulmonary alveolar proteinosis: a review. *Pediatr. Pathol. Mol. Med.* 20: 413-432.
39. Goldstein, L. S., M. S. Kavuru, P. Curtis-McCarthy, H. A. Christie, C. Farver, and J. K. Stoller. 1998. Pulmonary alveolar proteinosis. Clinical features and outcomes. *Chest* 114: 1357-1362.
40. Ben Dov, I., Y. Kishinevski, J. Roznman, A. Soliman, H. Bishara, E. Zelligson, J. Grief, A. Mazar, M. Perelman, R. Vishnizer, and D. Weiler-Ravel. 1999. Pulmonary alveolar proteinosis in Israel: ethnic clustering. *Isr. Med. Assoc. J.* 1: 75-78.
41. Nakata, K., H. Kanazawa, and M. Watanabe. 2006. Why does the autoantibody against granulocyte-macrophage colony-stimulating factor cause lesions only in the lung? *Respirology* 11: S65-9.
42. Akino, T., G. Okano, and K. Ohno. 1978. Alveolar phospholipids in pulmonary alveolar proteinosis. *Tohoku J Exp. Med* 126: 51-62.

43. Honda, Y., H. Takahashi, N. Shijubo, Y. Kuroki, and T. Akino. 1993. Surfactant protein-A concentration in bronchoalveolar lavage fluids of patients with pulmonary alveolar proteinosis. *Chest* 103: 496-499.
44. Kuroki, Y., S. Tsutahara, N. Shijubo, H. Takahashi, M. Shiratori, A. Hattori, Y. Honda, S. Abe, and T. Akino. 1993. Elevated levels of lung surfactant protein A in sera from patients with idiopathic pulmonary fibrosis and pulmonary alveolar proteinosis. *Am. Rev. Respir. Dis.* 147: 723-729.
45. Honda, Y., Y. Kuroki, E. Matsuura, H. Nagae, H. Takahashi, T. Akino, and S. Abe. 1995. Pulmonary surfactant protein D in sera and bronchoalveolar lavage fluids. *Am. J. Respir. Crit. Care Med.* 152: 1860-1866.
46. Brasch, F., J. Birzele, M. Ochs, S. H. Guttentag, O. D. Schoch, A. Boehler, M. F. Beers, K. M. Muller, S. Hawgood, and G. Johnen. 2004. Surfactant proteins in pulmonary alveolar proteinosis in adults. *Eur. Respir J* 24: 426-435.
47. Meaney, S., T. L. Bonfield, M. Hansson, A. Babiker, M. S. Kavuru, and M. J. Thomassen. 2004. Serum cholestenic acid as a potential marker of pulmonary cholesterol homeostasis: increased levels in patients with pulmonary alveolar proteinosis. *J Lipid Res.* 45: 2354-2360.
48. Sosolik, R. C., R. R. Gammon, C. J. Julius, and L. W. Ayers. 1998. Pulmonary alveolar proteinosis. A report of two cases with diagnostic features in bronchoalveolar lavage specimens. *Acta Cytol.* 42: 377-383.
49. Doyle, I. R., K. G. Davidson, H. A. Barr, T. E. Nicholas, K. Payne, and J. Pfitzner. 1998. Quantity and structure of surfactant proteins vary among patients with alveolar proteinosis. *Am. J. Respir. Crit Care Med.* 157: 658-664.
50. Iyonaga, K., M. Suga, T. Yamamoto, H. Ichiyasu, M. Miyakawa, and M. Ando. 1999. Elevated bronchoalveolar concentrations of MCP-1 in patients with pulmonary alveolar proteinosis. *Eur. Respir. J.* 14: 383-389.
51. Thomassen, M. J., B. P. Barna, A. Malur, T. L. Bonfield, C. F. Farver, A. Malur, H. Dalrymple, M. S. Kavuru, and M. Febbraio. 2007. ABCG1 is deficient in alveolar macrophages of GM-CSF knock-out mice and patients with pulmonary alveolar proteinosis. *J Lipid Res* 48: 2762-2768.
52. Schoch, O. D., U. Schanz, M. Koller, K. Nakata, J. F. Seymour, E. W. Russi, and A. Boehler. 2002. BAL findings in a patient with pulmonary alveolar proteinosis successfully treated with GM-CSF. *Thorax* 57: 277-280.

53. Venkateshiah, S. B., T. D. Yan, T. L. Bonfield, M. J. Thomassen, M. Meziane, C. Czich, and M. S. Kavuru. 2006. An open-label trial of granulocyte macrophage colony stimulating factor therapy for moderate symptomatic pulmonary alveolar proteinosis. *Chest* 130: 227-237.
54. Bonfield, T. L., M. S. Kavuru, and M. J. Thomassen. 2002. Anti-GM-CSF titer predicts response to GM-CSF therapy in pulmonary alveolar proteinosis. *Clin. Immunol.* 105: 342-350.
55. Fajas, L., D. Auboeuf, E. Raspe, K. Schoonjans, A.-M. Lefebvre, R. Saladin, J. Najib, M. Lavilles, J.-C. Fruchart, S. S. Deeb, A. Vidal-Puig, J. Flier, M. R. Briggs, B. Staels, H. Vidal, and J. Auwerx. 1997. The organization, promoter analysis, and expression of the human PPAR $\gamma$  gene. *J. Biol. Chem.* 272: 18779-18789.
56. Temelkova-Kurktschiev, T., M. Hanefeld, G. Chinetti, C. Zawadzki, S. Haulon, A. Kubaszek, W. Leonhardt, B. Staels, and M. Laakso. 2004. Ala12Ala genotype of the peroxisome proliferator-activated receptor gamma2 protects against atherosclerosis. *J Clin. Endocrinol. Metab* 89: 4238-42.
57. Forman, B. M., P. Tontonoz, J. Chen, R. P. Brun, B. M. Spiegelman, and R. M. Evans. 1995. 15-Deoxy-delta 12, 14-prostaglandin J2 is a ligand for the adipocyte determination factor PPAR gamma. *Cell* 83: 803-812.
58. Nagy, L., P. Tontonoz, J. G. A. Alvarez, H. Chen, and R. M. Evans. 1998. Oxidized LDL regulates macrophage gene expression through ligand activation of PPAR $\gamma$ . *Cell* 93: 229-240.
59. Ricote, M., and C. K. Glass. 2007. PPARs and molecular mechanisms of transrepression. *Biochim. Biophys. Acta* 1771: 926-935.
60. Ricote, M., A. C. Li, T. M. Willson, C. J. Kelly, and C. K. Glass. 1998. The peroxisome proliferator-activated receptor- $\gamma$  is a negative regulator of macrophage activation. *Nature* 391: 79-82.
61. Tontonoz, P., L. Nagy, J. G. A. Alvarez, V. A. Thomazy, and R. M. Evans. 1998. PPAR $\gamma$  promotes monocyte/macrophage differentiation and uptake of oxidized LDL. *Cell* 93: 241-252.
62. Tontonoz, P., E. Hu, R. A. Graves, A. I. Budavari, and B. M. Spiegelman. 1994. mPPAR gamma 2: tissue-specific regulator of an adipocyte enhancer. *Genes Dev.* 8: 1224-1234.

63. Lee, C. H., P. Olson, and R. M. Evans. 2003. Minireview: lipid metabolism, metabolic diseases, peroxisome proliferator-activated receptors. *Endocrinology* 144: 2201-07.
64. Maxfield, F. R., and I. Tabas. 2005. Role of cholesterol and lipid organization in disease. *Nature* 438: 612-621.
65. Brown, M. S., and J. L. Goldstein. 2009. Cholesterol feedback: from Schoenheimer's bottle to Scap's MELADL. *J Lipid Res* 50 Suppl: S15-S27.
66. Horton, J. D., J. L. Goldstein, and M. S. Brown. 2002. SREBPs: activators of the complete program of cholesterol and fatty acid synthesis in the liver. *J Clin Invest* 109: 1125-1131.
67. Goldstein, J. L., R. A. DeBose-Boyd, and M. S. Brown. 2006. Protein sensors for membrane sterols. *Cell* 124: 35-46.
68. Siperstein, M. D., and M. J. Guest. 1960. Studies on the site of the feedback control of cholesterol synthesis. *J Clin Invest* 39: 642-652.
69. Shapiro, D. J., and V. W. Rodwell. 1971. Regulation of hepatic 3-hydroxy-3-methylglutaryl coenzyme A reductase and cholesterol synthesis. *J Biol Chem* 246: 3210-3216.
70. Smith, J. R., T. F. Osborne, J. L. Goldstein, and M. S. Brown. 1990. Identification of nucleotides responsible for enhancer activity of sterol regulatory element in low density lipoprotein receptor gene. *J Biol Chem* 265: 2306-2310.
71. Brown, M. S., and J. L. Goldstein. 1999. A proteolytic pathway that controls the cholesterol content of membranes, cells, and blood. *Proc. Natl. Acad. Sci. U. S. A* 96: 11041-11048.
72. Brown, M. S., and J. L. Goldstein. 1975. Regulation of the activity of the low density lipoprotein receptor in human fibroblasts. *Cell* 6: 307-316.
73. Sever, N., T. Yang, M. S. Brown, J. L. Goldstein, and R. A. DeBose-Boyd. 2003. Accelerated degradation of HMG CoA reductase mediated by binding of insig-1 to its sterol-sensing domain. *Mol Cell* 11: 25-33.
74. Glass, C. K., and J. L. Witztum. 2001. Atherosclerosis. the road ahead. *Cell* 104: 503-516.



75. von Eckardstein, A., M. Hersberger, and L. Rohrer. 2005. Current understanding of the metabolism and biological actions of HDL. *Curr Opin Clin Nutr Metab Care* 8: 147-52.
76. Turley, S. D., J. M. Andersen, and J. M. Dietschy. 1981. Rates of sterol synthesis and uptake in the major organs of the rat in vivo. *J Lipid Res* 22: 551-569.
77. Chawla, A., W. A. Boisvert, B. A. Laffitte, Y. Barak, D. Liao, L. Nagy, and P. A. Edwards. 2001. A PPAR gamma-LXR-ABCA1 pathway in macrophages is involved in cholesterol efflux and atherogenesis. *Mol. Cell* 7: 161-171.
78. Chinetti, G., S. Lestavel, V. Bocher, A. T. Remaley, B. Neve, I. P. Torra, E. Teissier, A. Minnich, M. Jaye, N. Duverger, H. B. Brewer, J.-C. Fruchart, V. Clavey, and B. Staels. 2001. PPAR- $\alpha$  and PPAR- $\gamma$  activators induce cholesterol removal from human macrophage foam cells through stimulation of the ABCA1 pathway. *Nat. Med.* 7: 53-58.
79. Li, A. C., C. J. Binder, A. Gutierrez, K. K. Brown, C. R. Plotkin, J. W. Pattison, A. F. Valledor, R. A. Davis, T. M. Willson, J. L. Witztum, W. Palinski, and C. K. Glass. 2004. Differential inhibition of macrophage foam-cell formation and atherosclerosis in mice by PPAR $\alpha$ ,  $\beta/\delta$ , and  $\gamma$ . *J Clin Invest* 114: 1564-1576.
80. Janowski, B. A., P. J. Willy, T. R. Devi, J. R. Falck, and D. J. Mangelsdorf. 1996. An oxysterol signalling pathway mediated by the nuclear receptor LXR alpha. *Nature* 383: 728-731.
81. Lehmann, J. M., S. A. Kliewer, L. B. Moore, T. A. Smith-Oliver, B. B. Oliver, J. L. Su, S. S. Sundseth, D. A. Winegar, D. E. Blanchard, T. A. Spencer, and T. M. Willson. 1997. Activation of the nuclear receptor LXR by oxysterols defines a new hormone response pathway. *J Biol Chem* 272: 3137-3140.
82. Janowski, B. A., M. J. Grogan, S. A. Jones, G. B. Wisely, S. A. Kliewer, E. J. Corey, and D. J. Mangelsdorf. 1999. Structural requirements of ligands for the oxysterol liver X receptors LXR $\alpha$  and LXR $\beta$ . *Proc. Natl. Acad. Sci. U. S. A* 96: 266-271.
83. Wang, N., D. Lan, W. Chen, F. Matsuura, and A. R. Tall. 2004. ATP-binding cassette transporters G1 and G4 mediate cellular cholesterol efflux to high-density lipoproteins. *Proc Natl. Acad. Sci. U. S. A* 101: 9774-9779.

84. Kennedy, M. A., G. C. Barrera, K. Nakamura, A. Baldan, P. Tarr, M. C. Fishbein, J. Frank, O. L. Francone, and P. A. Edwards. 2005. ABCG1 has a critical role in mediating cholesterol efflux to HDL and preventing cellular lipid accumulation. *Cell Metabolism* 1: 121-131.
85. Klucken, J., C. Buchler, E. Orso, W. E. Kaminski, M. Porsch-Ozcurumez, G. Liebisch, M. Kapinsky, W. Diederich, W. Drobnik, M. Dean, R. Allikmets, and G. Schmitz. 2000. ABCG1 (ABC8), the human homolog of the *Drosophila* white gene, is a regulator of macrophage cholesterol and phospholipid transport. *Proc. Natl. Acad. Sci. U. S. A* 97: 817-822.
86. Yvan-Charvet, L., M. Ranalletta, N. Wang, S. Han, N. Terasaka, R. Li, C. Welch, and A. R. Tall. 2007. Combined deficiency of ABCA1 and ABCG1 promotes foam cell accumulation and accelerates atherosclerosis in mice. *J Clin Invest* 117: 3900-3908.
87. Ranalletta, M., N. Wang, S. Han, L. Yvan-Charvet, C. Welch, and A. R. Tall. 2006. Decreased atherosclerosis in low-density lipoprotein receptor knockout mice transplanted with *Abcg1*<sup>-/-</sup> bone marrow. *Arterioscler Thromb Vasc Biol* 26: 2308-2315.
88. Argmann, C. A., J. Y. Edwards, C. G. Sawyez, C. H. O'Neil, R. A. Hegele, J. G. Pickering, and M. W. Huff. 2005. Regulation of macrophage cholesterol efflux through hydroxymethylglutaryl-CoA reductase inhibition: a role for RhoA in ABCA1-mediated cholesterol efflux. *J Biol Chem* 280: 22212-22221.
89. Baldan, A., P. Tarr, C. S. Vales, J. Frank, T. K. Shimotake, S. Hawgood, and P. A. Edwards. 2006. Deletion of the Transmembrane Transporter ABCG1 Results in Progressive Pulmonary Lipidosis. *J. Biol. Chem.* 281: 29401-29410.
90. Bates, S. R., J. Q. Tao, H. L. Collins, O. L. Francone, and G. H. Rothblat. 2005. Pulmonary abnormalities due to ABCA1 deficiency in mice. *Am J Physiol Lung Cell Mol Physiol* 289: L980-L989.
91. Thomassen, M. J., T. Yi, B. Raychaudhuri, A. Malur, and M. S. Kavuru. 2000. Pulmonary alveolar proteinosis is a disease of decreased availability of GM-CSF rather than an intrinsic cellular defect. *Clin. Immunol.* 95: 85-92.
92. Trapnell, B. C., and J. A. Whitsett. 2002. GM-CSF regulates pulmonary surfactant homeostasis and alveolar macrophage-mediated innate host defense. *Annu. Rev. Physiol.* 64: 775-802.

93. Veldhuizen, R., K. Nag, S. Orgeig, and F. Possmayer. 1998. The role of lipids in pulmonary surfactant. *Biochim. Biophys. Acta* 1408: 90-108.
94. Zelcer, N., and P. Tontonoz. 2006. Liver X receptors as integrators of metabolic and inflammatory signaling. *J. Clin. Invest.* 116: 607-614.
95. Wang, X., H. L. Collins, M. Ranalletta, I. V. Fuki, J. T. Billheimer, G. H. Rothblat, A. R. Tall, and D. J. Rader. 2007. Macrophage ABCA1 and ABCG1, but not SR-BI, promote macrophage reverse cholesterol transport in vivo. *J Clin Invest* 117: 2216-2224.
96. Malur, A., A. J. McCoy, S. Arce, B. P. Barna, M. S. Kavuru, A. G. Malur, and M. J. Thomassen. 2009. Deletion of PPAR $\gamma$  in alveolar macrophages is associated with a Th-1 pulmonary inflammatory response. *J. Immunol.* 182: 5816-5822.
97. Haslam, P. L., and R. P. Baughman. 1999. Report of ERS Task Force: guidelines for measurement of acellular components and standardization of BAL. *Eur. Respir. J.* 14: 245-248.
98. Livak, K. J., and T. D. Schmittgen. 2001. Analysis of relative gene expression data using real-time quantitative PCR and the 2<sup>(-Delta Delta C(T))</sup> Method. *Methods* 25: 402-408.
99. Bligh, E. G., and W. J. Dyer. 1959. A rapid method of total lipid extraction and purification. *Can. J Biochem. Physiol* 37: 911-917.
100. Akiyama, T. E., S. Sakai, G. Lambert, C. J. Nicol, K. Matsusue, S. Pimprale, Y. H. Lee, M. Ricote, C. K. Glass, H. B. Brewer, and F. J. Gonzalez. 2002. Conditional disruption of the peroxisome proliferator-activated receptor  $\gamma$  gene in mice results in lowered expression of ABCA1, ABCG1, and apoE in macrophages and reduced cholesterol efflux. *Mol. Cell. Biol.* 22: 2607-2619.
101. Castrillo, A., and P. Tontonoz. 2004. Nuclear receptors in macrophage biology: at the crossroads of lipid metabolism and inflammation. *Annu Rev Cell Dev Biol* 20: 455-480.
102. Sieff, C. A., S. G. Emerson, R. E. Donahue, D. G. Nathan, E. A. Wang, G. G. Wong, and S. C. Clark. 1985. Human recombinant granulocyte-macrophage colony-stimulating factor: a multilineage hematopoietin. *Science* 230: 1171-1173.
103. Bonfield, T. L., B. Raychaudhuri, A. Malur, S. Abraham, B. C. Trapnell, M. S. Kavuru, and M. J. Thomassen. 2003. PU.1 regulation of human

- alveolar macrophage differentiation requires granulocyte-macrophage colony-stimulating factor. *Am. J. Physiol Lung Cell Mol. Physiol* 285: L1132-L1136.
104. Shibata, Y., Y. P. Berclaz, Z. C. Chroneos, M. Yoshida, J. A. Whitsett, and B. C. Trapnell. 2001. GM-CSF regulates alveolar macrophage differentiation and innate immunity in the lung through PU.1. *Immunity* 15: 557-567.
  105. Chawla, A., Y. Barak, L. Nagy, D. Liao, P. Tontonoz, and R. M. Evans. 2001. PPAR- $\gamma$  dependent and independent effects on macrophage-gene expression in lipid metabolism and inflammation. *Nat. Med.* 7: 48-52.
  106. Moore, K. J., E. D. Rosen, M. L. Fitzgerald, F. Randow, L. P. Andersson, D. Altshuler, D. S. Milstone, R. M. Mortensen, B. M. Spiegelman, and M. W. Freeman. 2001. The role of PPAR- $\gamma$  in macrophage differentiation and cholesterol uptake. *Nat. Med.* 7: 41-47.
  107. Szanto, A., and L. Nagy. 2005. Retinoids potentiate peroxisome proliferator-activated receptor gamma action in differentiation, gene expression, and lipid metabolic processes in developing myeloid cells. *Mol Pharmacol* 67: 1935-1943.
  108. Crouch, E., A. Persson, and D. Chang. 1993. Accumulation of surfactant protein D in human pulmonary alveolar proteinosis. *Am J Pathol.* 142: 241-248.
  109. Wang, B. M., E. J. Stern, R. A. Schmidt, and D. J. Pierson. 1997. Diagnosing pulmonary alveolar proteinosis. A review and an update. *Chest* 111: 460-466.
  110. Abe, A., M. Hiraoka, S. Wild, S. E. Wilcoxon, R. Paine, III, and J. A. Shayman. 2004. Lysosomal phospholipase A2 is selectively expressed in alveolar macrophages. *J. Biol. Chem.* 279: 42605-42611.
  111. Ross, R. 1995. Cell biology of atherosclerosis. *Annu Rev Physiol* 57: 791-804.
  112. Fu, X., J. G. Menke, Y. Chen, G. Zhou, K. L. MacNaul, S. D. Wright, C. P. Sparrow, and E. G. Lund. 2001. 27-hydroxycholesterol is an endogenous ligand for liver X receptor in cholesterol-loaded cells. *J. Biol. Chem.* 276: 38378-38387.
  113. Mak, P. A., B. A. Laffitte, C. Desrumaux, S. B. Joseph, L. K. Curtiss, D. J. Mangelsdorf, P. Tontonoz, and P. A. Edwards. 2002. Regulated

expression of the apolipoprotein E/C-I/C-IV/C-II gene cluster in murine and human macrophages. A critical role for nuclear liver X receptors alpha and beta. *J Biol Chem* 277: 31900-31908.

114. Laffitte, B. A., J. J. Repa, S. B. Joseph, D. C. Wilpitz, H. R. Kast, D. J. Mangelsdorf, and P. Tontonoz. 2001. LXRs control lipid-inducible expression of the apolipoprotein E gene in macrophages and adipocytes. *Proc Natl. Acad. Sci. U. S. A* 98: 507-512.
115. Costet, P., Y. Luo, N. Wang, and A. R. Tall. 2000. Sterol-dependent Transactivation of the ABC1 Promoter by the Liver X Receptor/Retinoid X Receptor. *J. Biol. Chem.* 275: 28240-28245.
116. Wang, N., D. L. Silver, P. Costet, and A. R. Tall. 2000. Specific Binding of ApoA-I, Enhanced Cholesterol Efflux, and Altered Plasma Membrane Morphology in Cells Expressing ABC1. *J. Biol. Chem.* 275: 33053-33058.
117. Oram, J. F., R. M. Lawn, M. R. Garvin, and D. P. Wade. 2000. ABCA1 is the cAMP-inducible apolipoprotein receptor that mediates cholesterol secretion from macrophages. *J. Biol. Chem.* 275: 34508-34511.
118. Thomassen, M. J., B. Raychaudhuri, T. L. Bonfield, A. Malur, S. Abraham, B. P. Barna, and M. S. Kavuru. 2003. Elevated IL-10 inhibits GM-CSF synthesis in pulmonary alveolar proteinosis. *Autoimmunity* 36: 285-290.
119. Ruiz, F. E., J. P. Clancy, M. A. Perricone, Z. Bebok, J. S. Hong, S. H. Cheng, D. P. Meeker, K. R. Young, and et al. 2001. A clinical inflammatory syndrome attributable to aerosolized lipid-DNA administration in cystic fibrosis. *Hum Gene Ther* 12: 751-61.
120. Copreni, E., M. Penzo, S. Carrabino, and M. Conese. 2004. Lentivirus-mediated gene transfer to the respiratory epithelium: a promising approach to gene therapy of cystic fibrosis. *Gene Therapy* 11: S67-S75.
121. Malur, A. G., S. Chattopadhyay, R. K. Maitra, and A. K. Banerjee. 2005. Inhibition of STAT 1 phosphorylation by human parainfluenza virus type 3 C protein. *J. Virol.* 79: 7877-7882.
122. Thomassen, M. J., L. T. Buhrow, M. J. Connors, F. T. Kaneko, S. C. Erzurum, and M. S. Kavuru. 1997. Nitric oxide inhibits inflammatory cytokine production by human alveolar macrophages. *Am. J. Respir. Cell Mol. Biol.* 17: 279-283.
123. Tan, X. W., H. Liao, L. Sun, M. Okabe, Z. C. Xiao, and G. S. Dawe. 2005. Fetal microchimerism in the maternal mouse brain: a novel population of

- fetal progenitor or stem cells able to cross the blood-brain barrier? *Stem Cells* 23: 1443-1452.
124. Bjorkhem, I., O. Andersson, U. Diczfalusy, B. Sevastik, R. J. Xiu, C. Duan, and E. Lund. 1994. Atherosclerosis and sterol 27-hydroxylase: evidence for a role of this enzyme in elimination of cholesterol from human macrophages. *Proc. Natl. Acad. Sci. U. S. A* 91: 8592-8596.
  125. Babiker, A., O. Andersson, E. Lund, R. J. Xiu, S. Deeb, A. Reshef, E. Leitersdorf, U. Diczfalusy, and I. Bjorkhem. 1997. Elimination of cholesterol in macrophages and endothelial cells by the sterol 27-hydroxylase mechanism. Comparison with high density lipoprotein-mediated reverse cholesterol transport. *J Biol Chem* 272: 26253-26261.
  126. Kunjathoor, V. V., M. Febbraio, E. A. Podrez, K. J. Moore, L. Andersson, S. Koehn, J. S. Rhee, R. Silverstein, H. F. Hoff, and M. W. Freeman. 2002. Scavenger receptors class A-I/II and CD36 are the principal receptors responsible for the uptake of modified low density lipoprotein leading to lipid loading in macrophages. *J Biol Chem* 277: 49982-49988.
  127. De Winther, M. P., K. W. Van Dijk, L. M. Havekes, and M. H. Hofker. 2000. Macrophage scavenger receptor class A: A multifunctional receptor in atherosclerosis. *Arterioscler Thromb Vasc Biol* 20: 290-297.
  128. Goldstein, J. L., and M. S. Brown. 1990. Regulation of the mevalonate pathway. *Nature* 343: 425-430.
  129. Wang, N., M. Ranalletta, F. Matsuura, F. Peng, and A. R. Tall. 2006. LXR-induced redistribution of ABCG1 to plasma membrane in macrophages enhances cholesterol mass efflux to HDL. *Arterioscler Thromb Vasc Biol* 26: 1310-1316.
  130. Tuomisto, T. T., A. Korkeela, J. Rutanen, H. Viita, J. H. Brasen, M. S. Riekkinen, T. T. Rissanen, K. Karkola, Z. Kiraly, K. Kolble, and S. Yla-Herttuala. 2003. Gene expression in macrophage-rich inflammatory cell infiltrates in human atherosclerotic lesions as studied by laser microdissection and DNA array: overexpression of HMG-CoA reductase, colony stimulating factor receptors, CD11A/CD18 integrins, and interleukin receptors. *Arterioscler Thromb Vasc Biol* 23: 2235-2240.
  131. Angelin, B. 1988. Regulation of 3-hydroxy-3-methylglutaryl-coenzyme A reductase activity in mouse peritoneal macrophages. *Biochem. J* 255: 529-534.

132. Hua, X., A. Nohturfft, J. L. Goldstein, and M. S. Brown. 1996. Sterol resistance in CHO cells traced to point mutation in SREBP cleavage-activating protein. *Cell* 87: 415-426.
133. Llaverias, G., D. Lacasa, M. Vazquez-Carrera, R. M. Sanchez, J. C. Laguna, and M. Alegret. 2005. Cholesterol regulation of genes involved in sterol trafficking in human THP-1 macrophages. *Mol Cell Biochem.* 273: 185-191.
134. Szanto, A., S. Benko, I. Szatmari, B. L. Balint, I. Furtos, R. Ruhl, S. Molnar, L. Csiba, R. Garuti, S. Calandra, H. Larsson, U. Diczfalusy, and L. Nagy. 2004. Transcriptional regulation of human CYP27 integrates retinoid, peroxisome proliferator-activated receptor, and liver X receptor signaling in macrophages. *Mol Cell Biol* 24: 8154-8166.
135. Quinn, C. M., W. Jessup, J. Wong, L. Kritharides, and A. J. Brown. 2005. Expression and regulation of sterol 27-hydroxylase (CYP27A1) in human macrophages: a role for RXR and PPARgamma ligands. *Biochem. J* 385: 823-830.
136. Horton, J. D., I. Shimomura, M. S. Brown, R. E. Hammer, J. L. Goldstein, and H. Shimano. 1998. Activation of cholesterol synthesis in preference to fatty acid synthesis in liver and adipose tissue of transgenic mice overproducing sterol regulatory element-binding protein-2. *J Clin Invest* 101: 2331-2339.
137. Gong, Y., J. N. Lee, P. C. Lee, J. L. Goldstein, M. S. Brown, and J. Ye. 2006. Sterol-regulated ubiquitination and degradation of Insig-1 creates a convergent mechanism for feedback control of cholesterol synthesis and uptake. *Cell Metab* 3: 15-24.
138. Iida, K. T., Y. Kawakami, H. Suzuki, H. Sone, H. Shimano, H. Toyoshima, Y. Okuda, and N. Yamada. 2002. PPAR gamma ligands, troglitazone and pioglitazone, up-regulate expression of HMG-CoA synthase and HMG-CoA reductase gene in THP-1 macrophages. *FEBS Lett.* 520: 177-181.
139. Torocsik, D., A. Szanto, and L. Nagy. 2009. Oxysterol signaling links cholesterol metabolism and inflammation via the liver X receptor in macrophages. *Mol Aspects Med* 30: 134-152.
140. Nishinakamura, R., H. Nakagawa, Y. Hirabayashi, T. Inoue, D. Aud, T. McNeil, M. Azuma, S. Yoshida, Y. Toyoda, K. Arai, A. Miyajima, and R. Murray. 1995. Mice deficient for the IL-3/GM-CSF/IL-5  $\beta$ c receptor exhibit lung pathology and impaired immune response, while  $\beta$  IL-3 receptor deficient mice are normal. *Immunity* 2: 211-222.

141. Berger, J., and D. E. Moller. 2002. The mechanisms of action of PPARs. *Ann. Rev. Med.* 53: 409-435.
142. Wang, N., D. Lan, M. Gerbod-Giannone, P. Linsel-Nitschke, A. W. Jehle, W. Chen, L. O. Martinez, and A. R. Tall. 2003. ATP-binding cassette transporter A7 (ABCA7) binds apolipoprotein A-I and mediates cellular phospholipid but not cholesterol efflux. *J. Biol. Chem.* 278: 42906-42912.
143. Aiello, R. J., D. Brees, P. A. Bourassa, L. Royer, S. Lindsey, T. Coskran, M. Haghighpassand, and O. L. Francone. 2002. Increased atherosclerosis in hyperlipidemic mice with inactivation of ABCA1 in macrophages. *Arterioscler. Thromb. Vasc. Biol.* 22: 630-637.
144. Seymour, J. F., J. J. Presneill, O. D. Schoch, G. H. Downie, P. E. Moore, I. R. Doyle, J. M. Vincent, K. Nakata, T. Kitamura, D. Langton, M. C. Pain, and A. R. Dunn. 2001. Therapeutic efficacy of granulocyte-macrophage colony-stimulating factor in patients with idiopathic acquired alveolar proteinosis. *Am. J. Respir. Crit. Care Med.* 163: 523-531.
145. Kavuru, M. S., E. J. Sullivan, R. Piccin, M. J. Thomassen, and J. K. Stoller. 2000. Exogenous granulocyte-macrophage colony-stimulating factor administration for pulmonary alveolar proteinosis. *Am. J. Respir. Crit. Care Med.* 161: 1143-1148.
146. Chawla, A., W. A. Boisvert, C. H. Lee, B. A. Laffitte, Y. Barak, S. B. Joseph, D. Liao, L. Nagy, P. A. Edwards, and L. K. Curtiss. 2001. A PPAR[gamma]-LXR-ABCA1 Pathway in Macrophages Is Involved in Cholesterol Efflux and Atherogenesis. *Molecular Cell* 7: 161-171.
147. Peet, D. J., S. D. Turley, W. Ma, B. A. Janowski, J. M. Lobaccaro, R. E. Hammer, and D. J. Mangelsdorf. 1998. Cholesterol and bile acid metabolism are impaired in mice lacking the nuclear oxysterol receptor LXR alpha. *Cell* 93: 693-704.
148. Bradley, M. N., C. Hong, M. Chen, S. B. Joseph, D. C. Wilpitz, X. Wang, A. J. Lusis, A. Collins, W. A. Hseuh, J. L. Collins, R. K. Tangirala, and P. Tontonoz. 2007. Ligand activation of LXR beta reverses atherosclerosis and cellular cholesterol overload in mice lacking LXR alpha and apoE. *J Clin Invest* 117: 2337-2346.
149. Lund, E. G., L. B. Peterson, A. D. Adams, M. H. Lam, C. A. Burton, J. Chin, Q. Guo, S. Huang, M. Latham, J. C. Lopez, J. G. Menke, D. P. Milot, L. J. Mitnaul, S. E. Rex-Rabe, R. L. Rosa, J. Y. Tian, S. D. Wright, and C. P. Sparrow. 2006. Different roles of liver X receptor alpha and beta in lipid



- metabolism: effects of an alpha-selective and a dual agonist in mice deficient in each subtype. *Biochem. Pharmacol* 71: 453-463.
150. Quinet, E. M., D. A. Savio, A. R. Halpern, L. Chen, G. U. Schuster, J. A. Gustafsson, M. D. Basso, and P. Nambi. 2006. Liver X receptor (LXR)-beta regulation in LXRalpha-deficient mice: implications for therapeutic targeting. *Mol Pharmacol* 70: 1340-1349.
  151. Joseph, S. B., A. Castrillo, B. A. Laffitte, D. J. Mangelsdorf, and P. Tontonoz. 2003. Reciprocal regulation of inflammation and lipid metabolism by liver X receptors. *Nat. Med.* 9: 213-219.
  152. Szanto, A., and T. Roszer. 2008. Nuclear receptors in macrophages: A link between metabolism and inflammation. *FEBS Letters* 582: 106-116.
  153. Terasaka, N., N. Wang, L. Yvan-Charvet, and A. R. Tall. 2007. High-density lipoprotein protects macrophages from oxidized low-density lipoprotein-induced apoptosis by promoting efflux of 7-ketocholesterol via ABCG1. *Proc. Natl. Acad. Sci. U. S. A* 104: 15093-15098.
  154. Spears, M., C. McSharry, and N. C. Thomson. 2006. Peroxisome proliferator-activated receptor-gamma agonists as potential anti-inflammatory agents in asthma and chronic obstructive pulmonary disease. *Clin Exp. Allergy* 36: 1494-1504.
  155. Spears, M., I. Donnelly, L. Jolly, M. Brannigan, K. Ito, C. McSharry, J. Lafferty, R. Chaudhuri, G. Braganza, P. Bareille, L. Sweeney, I. M. Adcock, P. J. Barnes, S. Wood, and N. C. Thomson. 2009. Bronchodilatory effect of the PPAR-gamma agonist rosiglitazone in smokers with asthma. *Clin Pharmacol Ther.* 86: 49-53.
  156. Limor, R., O. Sharon, E. Knoll, A. Many, G. Weisinger, and N. Stern. 2008. Lipoxigenase-derived metabolites are regulators of peroxisome proliferator-activated receptor gamma-2 expression in human vascular smooth muscle cells. *Am J Hypertens.* 21: 219-223.
  157. Iwabuchi, H., T. Kawasaki, T. Yamamoto, M. Uchiyama, K. Nakata, and M. Naito. 2007. Expression of PU.1 and terminal differentiation of alveolar macrophages in newborn rats. *Cell Tissue Res* 329: 71-79.
  158. Paine, R., S. B. Morris, H. Jin, S. E. Wilcoxon, S. M. Phare, B. B. Moore, M. J. Coffey, and G. B. Toews. 2001. Impaired functional activity of alveolar macrophages from GM-CSF- deficient mice. *Am. J. Physiol. Lung Cell Mol. Physiol.* 281: L1210-L1218.

159. Gonzalez-Juarrero, M., J. M. Hattle, A. Izzo, A. P. Junqueira-Kipnis, T. S. Shim, B. C. Trapnell, A. M. Cooper, and I. M. Orme. 2005. Disruption of granulocyte macrophage-colony stimulating factor production in the lungs severely affects the ability of mice to control *Mycobacterium tuberculosis* infection. *J. Leukoc. Biol.* 77: 914-922.
160. Chinetti, G., S. Griglio, M. Antonucci, I. P. Torra, P. Delerive, Z. Majd, J.-C. Fruchart, J. Chapman, J. Najib, and B. Staels. 1998. Activation of proliferator-activated receptors  $\alpha$  and  $\gamma$  induces apoptosis of human monocyte-derived macrophages. *J. Biol. Chem.* 273: 25573-25580.
161. Zhu, X., J. Y. Lee, J. M. Timmins, J. M. Brown, E. Boudyguina, A. Mulya, A. K. Gebre, M. C. Willingham, E. M. Hiltbold, N. Mishra, N. Maeda, and J. S. Parks. 2008. Increased cellular free cholesterol in macrophage-specific *Abca1* knock-out mice enhances pro-inflammatory response of macrophages. *J Biol Chem* 283: 22930-22941.
162. Vitiello, M., E. Finamore, K. Raieta, A. Kampanaraki, E. Mignogna, E. Galdiero, and M. Galdiero. 2009. Cellular Cholesterol Involvement in Src, PKC, and p38/JNK Transduction Pathways by Porins. *J Interferon Cytokine Res.*
163. Tabas, I. 2002. Consequences of cellular cholesterol accumulation: basic concepts and physiological implications. *J Clin Invest* 110: 905-911.
164. Kondo, A., H. Morita, H. Nakamura, K. Kotani, K. Kobori, S. Ito, M. Manabe, K. Saito, T. Kanno, and M. Maekawa. 2004. Influence of fibrate treatment on malondialdehyde-modified LDL concentration. *Clin Chim. Acta* 339: 97-103.
165. Mironova, M., G. Virella, I. Virella-Lowell, and M. F. Lopes-Virella. 1997. Anti-modified LDL antibodies and LDL-containing immune complexes in IDDM patients and healthy controls. *Clin Immunol. Immunopathol.* 85: 73-82.
166. Macut, D., S. Damjanovic, D. Panidis, N. Spanos, B. Glisic, M. Petakov, D. Rousso, A. Kourtis, J. Bjekic, and N. Milic. 2006. Oxidised low-density lipoprotein concentration - early marker of an altered lipid metabolism in young women with PCOS. *Eur. J Endocrinol.* 155: 131-136.
167. Holvoet, P., A. Mertens, P. Verhamme, K. Bogaerts, G. Beyens, R. Verhaeghe, D. Collen, E. Muls, and F. Van de Werf. 2001. Circulating oxidized LDL is a useful marker for identifying patients with coronary artery disease. *Arterioscler Thromb Vasc Biol* 21: 844-848.

168. Tangirala, R. K., E. D. Bischoff, S. B. Joseph, B. L. Wagner, R. Walczak, B. A. Laffitte, C. L. Daige, D. Thomas, R. A. Heyman, D. J. Mangelsdorf, X. Wang, A. J. Lusis, P. Tontonoz, and I. G. Schulman. 2002. Identification of macrophage liver X receptors as inhibitors of atherosclerosis. *Proc. Natl. Acad. Sci. U. S. A* 99: 11896-11901.

## APPENDIX A



Occupational Medicine  
Employee Health  
Radiation Safety  
Infection Control  
Biological Safety

The Brody School of Medicine  
Office of Prospective Health  
East Carolina University  
188 Warren Life Science Building • Greenville, NC 27834  
252-744-2070 office • 252-744-2417 fax

---

TO: Dr. Mary Jane Thomassen  
Department of Internal Medicine - Pulmonary

FROM: <sup>EWJ</sup> Eddie Johnson/John Williams  
Biological Safety Officers

RE: Registration **Final** Approval

DATE: December 30, 2008

Your Biological Safety Protocol Thomassen, MJ, 08-01 "*Macrophages and Surfactant Homeostasis*" has received **final approval** by the ECU Biological Safety Committee.

This approval is effective for a period of 3 years and may be renewed with an updated registration if needed.

Please do not hesitate to contact Biological Safety at 744-2070 if you have any questions, concerns, or need any additional information. Best wishes on your research.

cc: Dr. Jeff Smith, Chair, Biosafety Committee  
Dr. Paul Bolin, Jr, Chair  
Janine Davenport, IACUC

## APPENDIX B



Animal Care and Use Committee  
East Carolina University  
212 Ed Warren Life Sciences Building  
Greenville, NC 27834  
252-744-2436 office • 252-744-2355 fax

---

October 23, 2006

Mary Jane Thomassen, Ph.D.  
Department of Medicine  
Brody 3E-149  
ECU Brody School of Medicine

Dear Dr. Thomassen:

The Amendment to your Animal Use Protocol entitled, "Macrophages and Surfactant Homeostasis," (AUP #J185) was reviewed by this institution's Animal Care and Use Committee on 10/18/06. The following action was taken by the Committee:

"Approved as amended"

A copy of the Amendment is enclosed for your laboratory files. Please be reminded that all animal procedures must be conducted as described in the approved Animal Use Protocol. Modifications of these procedures cannot be performed without prior approval of the ACUC. The Animal Welfare Act and Public Health Service Guidelines require the ACUC to suspend activities not in accordance with approved procedures and report such activities to the responsible University Official (Vice Chancellor for Health Sciences or Vice Chancellor for Academic Affairs) and appropriate federal Agencies.

Sincerely yours,

A handwritten signature in black ink that reads "Robert G. Carroll, Ph.D."

Robert G. Carroll, Ph.D.  
Chairman, Animal Care and Use Committee

RGC/jd

enclosure

## APPENDIX C



**The Brody School of Medicine**  
**Office of Prospective Health**  
East Carolina University  
188 Warren Life Science Building  
Greenville, NC 27834  
252-744-2417 fax

---

Occupational Medicine  
Employee Health  
252-744-2070

Radiation Safety  
252-744-2236

Infection Control  
252-744-3202

Biological Safety  
252-744-3437

TO: Dr. Malur  
FROM: Mercus Jeannette, MSEH, RSO  
DATE: November 20, 2009  
SUBJECT: Radisotope Approval

A handwritten signature in black ink, appearing to read 'M Jeannette'.

The Radiation Safety Committee approved the 3-H radiation source application on October 30, 2008. Prior to this date I granted you conditional approval.

The Radiation Safety Committee approves radiation source application indefinitely unless there is a major change in protocol! If you have any additional question please call me at 744-2070.