

# Realistic optical cell modeling and diffraction imaging simulation for study of optical and morphological parameters of nucleus

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**Abstract:** Coherent light scattering presents complex spatial patterns that depend on morphological and molecular features of biological cells. We present a numerical approach to establish realistic optical cell models for generating virtual cells and accurate simulation of diffraction images that are comparable to measured data of prostate cells. With a contourlet transform algorithm, it has been shown that the simulated images and extracted parameters can be used to distinguish virtual cells of different nuclear volumes and refractive indices against the orientation variation. These results demonstrate significance of the new approach for development of rapid cell assay methods through diffraction imaging.

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**OCIS codes:** (170.1530) Cell analysis; (110.1650) Coherence imaging; (050.1940) Diffraction.

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## 1. Introduction

Nucleus is one of the largest organelles inside eukaryotic cells, provides the site for DNA and RNA synthesis, plays critical roles in cell development. Hence it serves as one of major targets for cell assay by morphology and is especially important for detection of abnormal conditions and cancer diagnosis [1]. Optical detection through coherent light scattering offers a much valued platform for its label-free nature and capacities to extract both morphology and molecular information. Characterization of nucleus by scattered light signals thus attracts active research efforts [2–7]. Determination of cellular and nuclear morphology is fundamentally a challenging inverse problem for their complex 3D structures. For example, structural reconstruction requires large amount of measured data per cell and often expensive computation that is too long for rapid assay [8, 9]. If one aims at only to distinguish cell types such as cancer from normal or apoptotic from viable cells, however, the goal may be achieved empirically with moderate amount of measured data per cell and powerful algorithms of pattern recognition. In either case, it is very useful to develop realistic optical cell models (OCMs) and accurate simulation tools for forward calculations of measured signals of scattered light. They can be employed, for example, to generate training data for algorithm development in search of the correlations between morphological features of cells and diffraction patterns of coherent light scatter.

In this report, we present a numerical approach based on previous studies for establishing realistic OCMs for generating virtual cells and accurate simulation of polarized diffraction image (p-DI) data [9–13]. The new approach takes the advantage of 3D cell morphology and molecular information acquired from the fluorescent confocal images to produce simulated p-DI data that are comparable to the measured ones acquired with a polarization diffraction imaging flow cytometry (p-DIFC) system [14–20]. To demonstrate the utility of the realistic OCMs, we have investigated the effects of nuclear morphology and refractive index (RI) on diffraction patterns against the orientation changes of OCMs derived from prostate cells. The simulated p-DI data were analyzed with a contourlet transform (CT) algorithm [21]. Classification of virtual cells using different OCMs by CT parameters has been performed with a support vector machine (SVM) algorithm [22, 23]. The results show that the CT parameters can serve as effective features for identifying nuclear effect in terms of volume and RI changes. The OCMs coupled with simulation tools yield a useful means for development and understanding of new single cell assay method.

## 2. Methods

### 2.1 Reconstruction of cell morphology and fluorescence distribution

An OCM yields the 3D distribution of RI or  $n(\mathbf{r}, \lambda)$  with  $\mathbf{r}$  as the voxel position of intracellular organelles and  $\lambda$  as wavelength of incident light. Development of an OCM requires both of morphological information of organelles important for concerned aspects of light-cell interaction and associated molecular information of polarizability. We obtained the morphology information by 3D reconstruction with a fluorescent image stack acquired by a laser scanning confocal microscope (LSM510, Zeiss). The cells were first double stained by fluorescent dyes of Syto 61 and MitoTracker Orange CMTMRos (S11343 and M-7510, Life Technologies) to visualize respectively the nucleus and mitochondria with details given elsewhere [9, 12]. In viable cells, Syto 61 binds to nucleic acids concentrated mostly inside the nucleus. The MitoTracker Orange accumulates preferably in mitochondria in response to the electric potential difference maintained across the inner mitochondrial membrane that is much larger than those across other membranes [24]. The two intracellular organelles of

choice are not only critical for cell development and metabolism but also important in light scattering due to their large contributions to the heterogeneity in  $n(\mathbf{r}, \lambda)$ . Two channels of the 12-bit image stack files were used to store the fluorescent intensity as  $F_r(\mathbf{r})$  for Syto 61 in red channel and  $F_g(\mathbf{r})$  for MitoTracker Orange in the green channel.

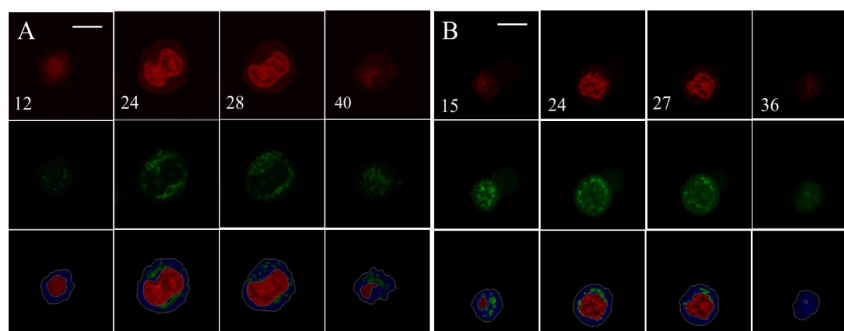


Fig. 1. Examples of the confocal image slices in red ( $F_r$ ) and green ( $F_g$ ) channels in top and middle rows of (A) one PC3 cancer cell; (B) one normal PCS cell. The bottom rows present segmented slices with nuclear region in red pixels of intensity  $F_r$ , mitochondria in green pixel of intensity  $F_g$  and cytoplasm in blue. Each column is labeled by the slice sequence number in the image stack and bar = 10  $\mu\text{m}$ .

For each imaged cell, about 50 to 70 slices were acquired per stack by translating the cell through the focal plane of objective with a step size of 0.5 $\mu\text{m}$ . Examples of image slices are shown in Fig. 1 for one human prostate cancer PC-3 (CRL-1435, ATCC) cell and one of normal human prostate epithelial cells termed as PCS (PCS440010, ATCC). The details of cell maintenance, staining and confocal imaging have been described elsewhere [20]. The confocal image slices of a stack were imported into an in-house developed reconstruction software. Improved from the previous version [9], the software automates image processing to obtain segmented cell structure and heterogeneous distributions of the fluorescence intensity  $F_r(\mathbf{r})$  and  $F_g(\mathbf{r})$  for modeling  $n(\mathbf{r}, \lambda)$ . The process starts by automatic selection of the first and last image slices in the acquired stack containing  $F_r$  or  $F_g$  significantly above a background noise level, then aligns the selected image slices to correct the effect of cell motion during data acquisition. Pixels of each input image slice were separated into six exclusive region types: extracellular space, cytoplasm, mitochondria and nucleus with organelle's volume denoted as  $\Omega_c$ ,  $\Omega_m$  and  $\Omega_n$ , respectively. The nuclear region of  $\Omega_n$  is further divided into three sub-regions of  $\Omega_{nl}$ ,  $\Omega_{nm}$  and  $\Omega_{nh}$  consisting of voxels of low, medium and high values of  $F_r(\mathbf{r})$ . Different segmentation algorithms have been combined, including histogram analysis, spatial gradient and water-mark techniques, to identify the boundaries of cytoplasm and mitochondria in the green channel and cytoplasm and nucleus in the red channel. Afterwards, multiple slices were added between input image slices by a linear B-spline interpolation scheme for determination of region type and values of  $F_r$  or  $F_g$  in separate color channels for pixels in the interpolated slices [25]. The interpolation produces a 3D structure with voxels of nearly equal sides of 0.07  $\mu\text{m}$  length in all three directions using a 4x digital zoom. Finally, a 16-bit identifier is assigned to each voxel at  $\mathbf{r}$  for labeling its region type and associated fluorescence intensity in output data files for establishing an OCM. In addition, 3D morphology parameters of the imaged cell can be determined from the output [9, 18, 20].

## 2.2 Establishment of OCM and simulation of light scattering

Due to scarcity of reliable  $n(\mathbf{r}, \lambda)$  data available in literature, we investigated various methods for assigning RI values to different intracellular organelles for establishing OCMs for this study by comparing the simulated p-DI data to measured ones. Two final choices are described here. The first method determines voxels' RI according to their region type based

on the understanding that molecular polarizabilities differ mainly among organelles, which is given by the sum of a constant term and a randomly fluctuating term as follows

$$n_{\alpha}(\mathbf{r}, \lambda) = n_{\alpha 0} + (n_{\alpha 0} - n_w) a_{\alpha} \cdot RND \quad \forall \mathbf{r} \in \Omega_{\alpha}, \quad (1)$$

where  $\alpha$  ( $= c, m, nl, nm$  or  $nh$ ) is an organelle or region type identifier,  $n_{\alpha 0}$  is the mean value of  $n_{\alpha}$ ,  $n_w$  is the RI of water,  $a_{\alpha}$  is the fluctuation amplitude and RND is random numbers uniformly distributed in  $[-1, 1]$ . This method utilizes organelle information with the fluctuation term to model heterogeneity in  $n(\mathbf{r}, \lambda)$  but not the fluorescent information provided by  $F_r(\mathbf{r})$  or  $F_g(\mathbf{r})$ . To incorporate fluorescent or molecular information, a second RI method was developed to derive OCMs from  $n_w$  with molecular contribution given by

$$n_{\alpha}(\mathbf{r}, \lambda) = n_w + b_r F_r(\mathbf{r}) + b_g F_g(\mathbf{r}) \quad \forall \mathbf{r} \in \Omega_{\alpha}, \quad (2)$$

where  $\alpha = c, m$  or  $n$  and  $b_r$  or  $b_g$  is respectively the specific RI increment by  $F_r(\mathbf{r})$  or  $F_g(\mathbf{r})$  of the dye targeting the mitochondrial biomolecules or nuclear acids. Note that  $F_g(\mathbf{r}) = 0$  in  $\Omega_n$  while  $F_r(\mathbf{r}) = 0$  in  $\Omega_m$ . The second method as expressed by Eq. (2) is based on a long held and reasonably validated view assuming a linear relation for a type of biomolecules between its density and specific contribution to RI beyond  $n_w$  [26–29]. Once RI values assigned to all voxels, an OCM was obtained and its morphology and/or RI can be modified to generate a series of OCMs derived from the same cell imaged by a confocal microscope.

To accurately simulate the distribution of coherent light scattered by single cells in a host medium of water, we have employed an open-source, parallel computing ADDA code of discrete-dipole-approximation (DDA) developed in C language by Yurkin et al. [11, 30]. The DDA model divides the scatterer into voxels of discrete dipoles and calculates the scattered wavefields from the dipoles in terms of the angularly resolved Mueller matrix  $\{S_{ij}\}$  of 4x4 elements. The dipole voxels are excited by a given incident wavefields and their polarizabilities are determined by  $n(\mathbf{r}, \lambda)$  [11, 31, 32]. Using the OCMs described above, we have executed the ADDA code on our parallel computing cluster to obtain  $\{S_{ij}\}$  as functions of scattering angles of  $(\theta_s, \phi_s)$  from the incident light direction with  $\lambda = 532\text{nm}$  and  $n_h = n_w = 1.334 \mu\text{m}$  for the RI of host medium.

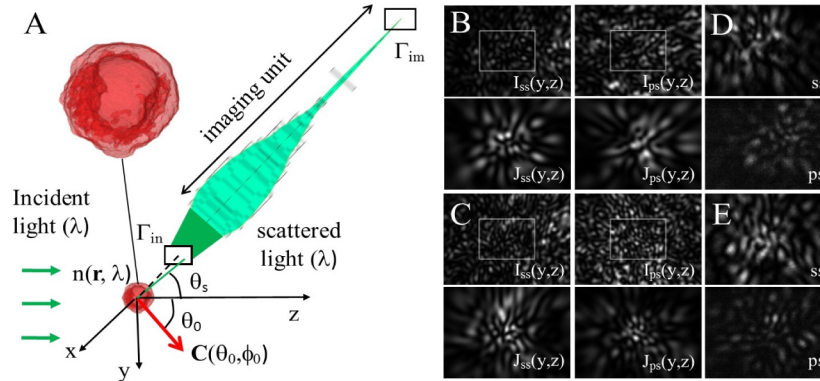


Fig. 2. (A) Configuration of simulation with a magnified view of an OCM of PC3 cell at orientation  $C$ , input plane  $\Gamma_{in}$  inside a water-filled flow chamber (not shown), the objective based imaging unit in air with shaded areas of two green tones indicating scattered light outside and inside the unit and imaging plane  $\Gamma_{im}$ ; (B) simulated p-DI pairs using OCM of one PCS cell on  $\Gamma_{in}$  (top) and on  $\Gamma_{im}$  (bottom) with rectangles indicating the field of view by the simulated sensor on  $\Gamma_{im}$ ; (C) same as (B) using OCM of the PC3 cell shown in (A); (D) measured p-DI pair of one PCS cell with the labels of image polarization followed by that of incident beam; (E) same as (D) for one PC3 cell.

The simulation configuration is plotted in Fig. 2(A) in which the orientation of an OCM is labelled as  $C(\theta_0, \phi_0)$  that is defined as the line connecting mass-centers of the cell and its

nucleus. A linear combination of  $S_{ij}(\theta_s, \phi_s)$  was first projected on an “input” plane  $\Gamma_{in}$  at  $x = -0.15$  mm inside the water-filled flow chamber to obtain a p-DI denoted as  $I_{kl}(y, z)$  to be measured by a microscope objective based imaging unit with  $(y, z)$  as the discrete pixel coordinates [12, 14, 18–20, 33]. The image  $I_{kl}(y, z)$  can be expressed as a linear combination of Mueller matrix elements to represent the spatial distribution of the coherent light of a polarization  $k$  scattered by the cell excited by an incident beam of polarization  $l$  [34]. For example, it is straightforward to derive a p- or s- polarized p-DI on  $\Gamma_{in}$  for an incident beam polarized with  $l = s$  as

$$I_{ks} = e(S_{11} - S_{12} \mp S_{21} \pm S_{22}), \quad (3)$$

where  $e$  is a proportional constant,  $-$  is for  $k = s$  and  $+$  for  $k = p$  in the  $S_{21}$  term. Other expressions of p-DI on  $\Gamma_{in}$  can be found in [18, 19].

### 2.3 Ray-tracing based diffraction image transform from $\Gamma_{in}$ to $\Gamma_{im}$

Experimentally, the p-DIFC system employs an imaging unit aligned along the  $x$ -axis in Fig. 2(A) based on an infinity-corrected objective to acquire the coherent light scattered by a cell through  $\Gamma_{in}$  and record on its focal or image plane  $\Gamma_{im}$  with one or two camera sensors [18–20]. It has been previously shown that a unique relation exists between the p-DI pixels on  $\Gamma_{in}$  and  $\Gamma_{im}$  with the imaging unit translated off-focus towards the flow chamber ( $\Delta x > 0$ ) [12, 13]. The off-focus positioning of the imaging unit in p-DIFC measurement allows optimization of magnification and image contrast with the same imaging unit. To make the simulated p-DI comparable to those measured by a p-DIFC system, we have validated a ray-tracing approach for modeling the diffraction imaging process using a commercial optical design software [13]. The approach imports  $I_{kl}(y, z)$  into the ray-tracing software (Zemax-EE v2009, Zemax Development Corp.), which traces rays from each pixels of  $I_{kl}(y, z)$  on  $\Gamma_{in}$  inside the flow chamber through the chamber wall of glass, air and imaging unit to each corresponding pixels on  $\Gamma_{im}$  as  $J_{kl}(y, z)$  within the sensor area. Figure 2(B) and Fig. 2(C) presents respectively the simulated p-DI pairs  $\Gamma_{in}$  and  $\Gamma_{im}$  derived from one PCS and one PC3 cell. The field of view on  $\Gamma_{im}$  and imaging unit parameters were set to the same values of 4.7mmx3.6mm for the camera sensor (Lm075, Lumenera) and  $\Delta x = 150\mu\text{m}$  for the off-focus distance and objective parameters used in our p-DIFC measurements [13, 20]. Compared to the two examples of measured p-DI pairs in Fig. 2(D) and Fig. 2(E), the simulated p-DI pairs of  $J_{kl}(y, z)$  yield types of diffraction patterns similar to the measured data in terms of speckle size, number and distribution.

### 2.4 Contourlet transform (CT) analysis of simulated p-DI data

The CT algorithm has been developed as a 2D extension of the wavelet transform to extract smooth pattern contours from an input image at multiple scales of  $\gamma$  ( $= 0, 1, \dots, \gamma_{\max}$ ) and directions of  $\delta$  ( $= 1, 2, \dots, \delta_{\max}$ ) [21, 35]. CT first applies the Laplacian pyramid (LP) decomposing on the input image, designated by  $\gamma = 0$  and  $\delta = 0$  or 0-0 here, to generate a 2-fold down-sampled lowpass image of 1-0 by weighted pixel smoothing and a bandpass image as the difference between the input and up-sampled lowpass images. A directional filter banks (DFB) algorithm is then operated on the bandpass image to obtain  $\delta_{\max}$  directionally filtered images of 1- $\delta$  while LP is operated on the lowpass image for further decomposition to  $\gamma = 2, 3, \dots, \gamma_{\max}$  followed by DFB filtering on subsequent bandpass images. In this study we used the CT algorithm with  $\gamma_{\max} = 5$  and  $\delta_{\max} = 2^2$  or  $2^3$  on each simulated p-DI of  $J_{kl}(y, z)$  to obtain a total of 41 CT processed images of  $J_{kl,\gamma-\delta}(y, z)$  with 9 for each pixel scale of  $\gamma = 1$  to 4 and 5 for  $\gamma = 5$ . Each CT image  $J_{kl,\gamma-\delta}(y, z)$  was first normalized and then characterized by 4 parameters of energy  $E$ , contrast  $C$ , variance  $V$  and fluctuation  $F$  defined as

$$E_{kl,\gamma-\delta} = \sum_y \sum_z J_{kl,\gamma-\delta}(y, z)^2, \quad (4)$$

$$C_{kl,\gamma-\delta} = \sum_y \sum_z \frac{SLC_{kl,\gamma-\delta}(y,z)}{4N_y N_z - 2(N_y + N_z)}, \quad (5)$$

$$V_{kl,\gamma-\delta} = \frac{\sum_y \sum_z [J_{kl,\gamma-\delta}(y,z) - MEA]^2}{N_y N_z - 1}, \quad (6)$$

$$F_{kl,\gamma-\delta} = \frac{\sqrt{V_{kl,\gamma-\delta}}}{MEA}, \quad (7)$$

with the squared local contrast SLC and mean value MEA given by

$$SLC_{kl,\gamma-\delta}(y,z) = \sum_{i=-1,1} \{ [J_{kl,\gamma-\delta}(y+i,z) - J_{kl,\gamma-\delta}(y,z)]^2 + [J_{kl,\gamma-\delta}(y,z+i) - J_{kl,\gamma-\delta}(y,z)]^2 \}, \quad (8)$$

$$MEA_{kl,\gamma-\delta} = \frac{\sum_y \sum_z J_{kl,\gamma-\delta}(y,z)}{N_y N_z}, \quad (9)$$

where  $N_y$  and  $N_z$  is the number of pixels in  $y$  and  $z$  directions respectively.

### 3. Results

#### 3.1 Development of OCMs and populations for $p$ -DI simulation

CT processing with  $J_{kl}(y, z)$  as the input yields 164 parameters to characterize each simulated image at different pixel scales and directions. To investigate cell classification by these parameters, we set to examine if the morphology and RI changes made with paired OCMs can be recognized against variation in OCMs' orientations. OCMs were derived from the 3D structure of a PCS or PC3 cell and RI assignment by Eq. (1) or Eq. (2) using different parameters and designated as OCM( $ID_{cell}$ ,  $ID_{RI}$ ). Tables 1 and 2 define the OCMs by selected morphology and RI parameters for  $ID_{cell}$  and  $ID_{RI}$ .

**Table 1. Morphology parameters of OCMs<sup>(1)</sup>**

$ID_{cell}^{(2)}$	$V_c$	$V_{rnc}$	$V_{rmc}$	$SV_{rc}$	$SV_{rn}$	$SV_{rm}$
PCS <sub>0</sub>	1392	27.5%	7.33%	0.626	1.07	6.85
PCS <sub>24</sub>		5.75%			2.02	
PC3 <sub>0</sub>	2717	41.6%	4.78%	0.499	0.692	5.75
PC3 <sub>24</sub>		17.1%			1.02	

<sup>(1)</sup>  $V_c$ : cell volume in ( $\mu\text{m}^3$ );  $V_{rnc}$ ,  $V_{rmc}$ : volume ratios of nucleus-to-cell and mitochondria-to-cell;  $SV_{rc}$ ,  $SV_{rn}$ ,  $SV_{rm}$ : surface-to-volume ratios of cell, nucleus and mitochondria in ( $\mu\text{m}^{-1}$ ).

<sup>(2)</sup> The subscript indicates the number of eroded nuclear pixels on each image slice.

**Table 2. RI parameters of OCMs (mean  $\pm$  std)**

$ID_{RI}$	Eq.	$n_c$	$n_{nl}$	$n_{nm}$	$n_{nh}$	$n_m$
1a	(1)	1.367 $\pm$ 0.0019	1.398 $\pm$ 0.0037	1.429 $\pm$ 0.0055	1.460 $\pm$ 0.0027	1.487 $\pm$ 0.0088
1b			1.438 $\pm$ 0.0060	1.509 $\pm$ 0.0101	1.580 $\pm$ 0.0142	
2a	(2)	1.409 $\pm$ 0.0495	1.429 $\pm$ 0.0362		1.487 $\pm$ 0.0786	
2b			1.437 $\pm$ 0.0574	1.509 $\pm$ 0.0667		

The nuclear volume were changed to the given structure of either PCS or PC3 cell through erosion of 24 nuclear pixels next to the nucleus-cytoplasm border in each image slice of a stack, which converts that part of nucleus into cytoplasm with unchanged mitochondria [36]. To make comparable RI distributions determined by two Eqs. (1) and (2), we set the

parameters of  $b_r$  and  $b_g$  in Eq. (2) to obtain same mean values of RI for regions of  $\Omega_{nm}$ ,  $\Omega_n$  and  $\Omega_m$ . Figure 3 shows additional examples of simulated images of  $J_{ss}(y, z)$  on  $\Gamma_{im}$  of different OCMs derived from the same PCS and PC3 cells in Fig. 2 at different orientations of  $C$ . The simulated p-DI exhibit pattern detail changes as results of nuclear volume change, choice of RI equations and parameters and orientation of the OCM relative to the incident light direction or z-axis. The changes, however, are subtle and very difficult to identify visually to distinguish, say, the nuclear changes from the orientation changes. One has to resort to quantitative image texture analysis and machine learning algorithms to investigate further.

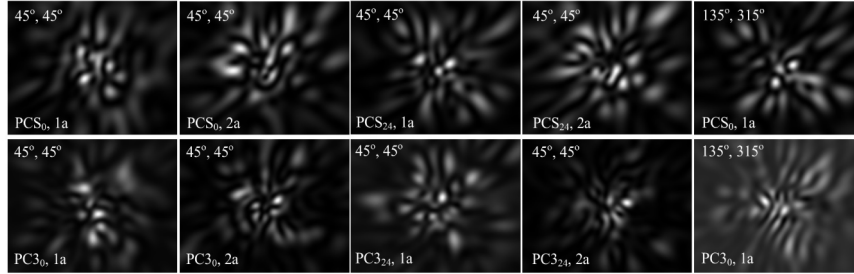


Fig. 3. Normalized p-DI images of  $J_{ss}(y, z)$  of 640x480 pixels derived from different OCMs and orientations of  $(C)(\theta_0, \phi_0)$ . The upper and lower labels are values of  $(\theta_0, \phi_0)$  and  $(ID_{cell}, ID_{RI})$  for OCM.

To differentiate the nuclear effect from the orientation changes, we built groups of two “cell populations” with each population produced by one OCM of different orientations marked by  $C(\theta_0, \phi_0)$  as virtual cells. Two types of  $C$  variation were applied for cell population construction. Each small angle population consists of 25 virtual cells of the same OCM that include 1 with  $C$  along the flow direction of y-axis and 24 with  $C$  uniformly distributed at  $3^\circ$  from the y-axis. Each large angle population contains 26 virtual cells with  $C$  uniformly distributed over the  $4\pi$  solid angle range. Each group for classification study was composed of two populations with paired OCMs of either nuclear volume or RI changed for a specific polarization direction, p, s or  $45^\circ$ , of the incident beam.

An SVM algorithm was employed with 4 different kernels of linear, polynomial, sigmoid and radial basis functions to investigate the classification of simulated p-DI data representing cell populations [20, 23]. As a powerful machine learning algorithm, SVM defines a feature space by transforming the CT parameters of the training image data set with a chosen kernel function and search for an optimized model to distinguish the two populations in a group by multiple CT parameters of the training data. Because of the limited number of virtual cells in each population, all cells were used as the training data set.

### 3.2 Effect of nuclear volume and RI changes on classification of virtual cell populations

We first employed OCM(PCS<sub>0</sub>, 2a) and OCM(PCS<sub>24</sub>, 2a) to build two small angle populations to examine the effect of nuclear volume on cell classification. Each virtual cell in a population produced one p-DI pair of  $J_{pl}(y, z)$  and  $J_{sl}(y, z)$  obtained through ADDA simulations and subsequent ray tracing transform for an incident light of l polarization. The CT was performed on the p-DI data to output CT images with selected examples shown in Fig. 4. For images obtained by DFB filtering on the fine pixel scales of  $\gamma = 1$  and  $\gamma = 2$  (not shown), they exhibit pixel distributions of small variance in intensity which can be best characterized by the energy or E parameters. For coarse pixel scales of  $\gamma = 3$  to  $\gamma = 5$ , pixel patterns start to appear among different DFB filtered images of  $\delta \neq 0$  which can be characterized by the C, F and V parameters.

To quantify the patterns of the input image of p-DI at different scales and orientations, we plot the four CT image parameters in six sets as indicated along the horizontal axis in Fig. 5. The lowpass image parameters are arranged as the first set from 1 to 0 to 5-0 followed by the other five sets of bandpass image parameters of different  $\delta$  values (1 to 8 or 1 to 4 for  $\gamma = 5$ ).



Among the CT image parameters, the C (contrast) and V (variance) increase significantly from the scale of  $\gamma = 1$  to  $\gamma = 5$  in either the lowpass set or among the bandpass sets, which is consistent with the pattern changes exhibited by the CT images presented in Fig. 4. The variations of the four CT image parameters at different pixel scales and orientations provide the basis for using them to classify virtual cells represented by the simulated p-DI data.

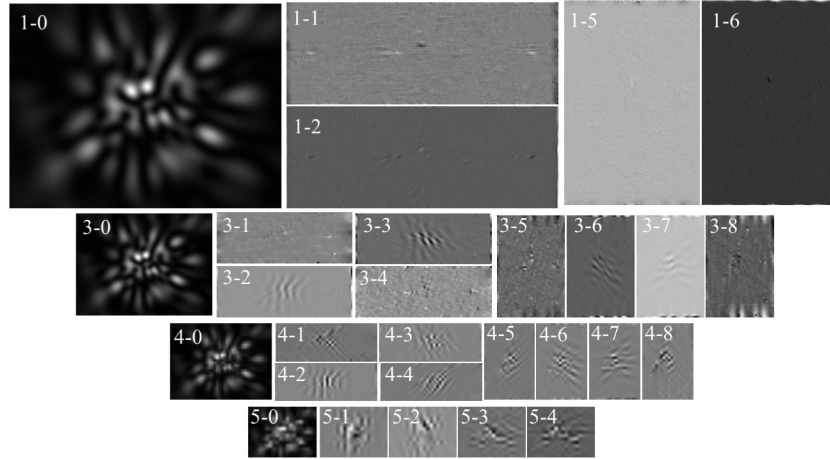


Fig. 4. Normalized CT images  $J_{ss,\gamma-\delta}(y, z)$  generated from input image  $J_{ss}(y, z)$  of 640x480 pixels shown in Fig. 2(B) with each image labelled by values of  $\gamma-\delta$ . The lowpass image of 1-0 is of 320x240 pixels, 3-0 of 80x60 pixels, 4-0 of 40x30 and all 5- $\delta$  are of 20x15 pixels. The images of  $\gamma = 3, 4$  and 5 are scaled up 2-, 3- and 4-fold relative to the  $\gamma = 1$  images for clear viewing.

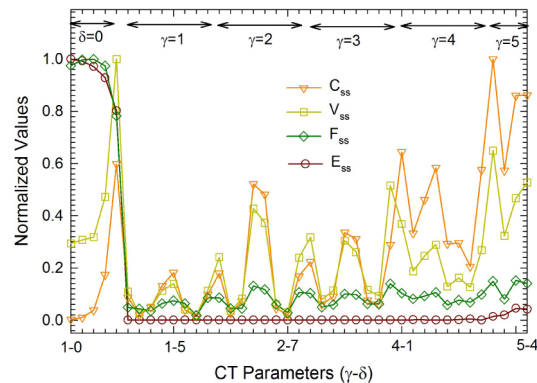


Fig. 5. The four CT image parameters of  $J_{ss,\gamma-\delta}(y, z)$  shown in Fig. 4 plotted in groups with the first representing those extracted from lowpass images ( $\delta = 0$ ) in the order of  $\gamma = 1$  to  $\gamma = 5$  followed by groups from bandpass images on the same scale with  $\gamma$  from 1 to 5 in the order of  $\delta = 1$  to  $\delta = 8$  or 4. The lines are for visual guide.

With two small angle populations derived from OCM(PCS<sub>0</sub>, 2a) and OCM(PCS<sub>24</sub>, 2a), we applied the SVM algorithm to examine the performance of CT parameters on cell classification by nuclear volume change against the variation of C. Because of large number of CT parameters, we performed SVM classification for each one of C, V, F and E parameters separately using a previously developed software [20] that calls the LIBSVM modules [23] to rank single parameters' performance. The simulated p-DI data of virtual cells in each population were treated as the training data set and divided into 5 parts with 4 used for training and 1 for test. A classification accuracy A was defined to quantify performance for each rotation of the part for test, which is given by the number ratio of p-DIs correctly

identified for its OCM, as true-positive or true-negative, to the total number of p-DIs. The averaged value of  $A$ ,  $A_{av}$ , was calculated after 5 rotations of the test part to rank single CT parameters with the top one having maximum  $A_{av}$  value followed by others with decreasing  $A_{av}$ . Afterwards, multiple parameters were used for classification training by combining the top  $N$  parameters, which together with the kernel function defines an SVM model for classification. An optimized SVM model is obtained when  $A_{av}$  reaches the maximum value which is typically larger than the maximum  $A_{av}$  of single parameters and the corresponding value of  $N$  is denoted as  $N_m$ .

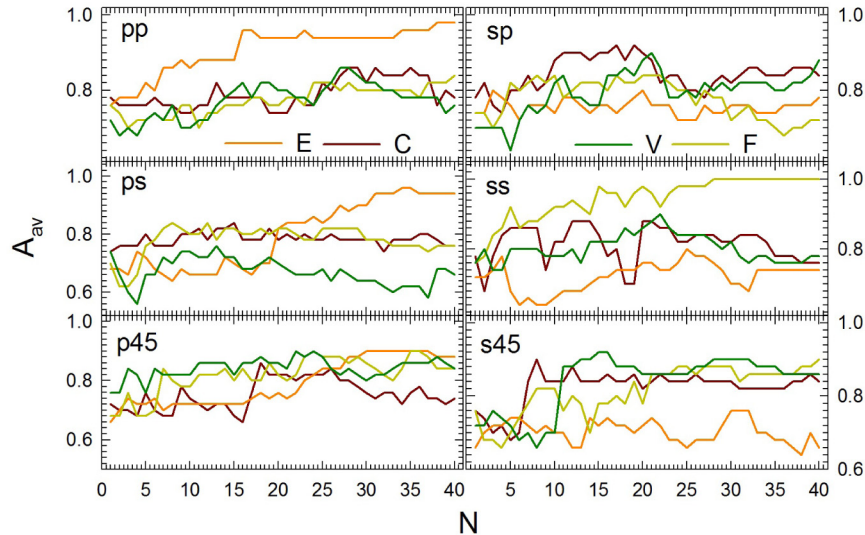


Fig. 6. The averaged classification accuracy  $A_{av}$  of SVM models versus the number of CT parameters  $N$  used to for the models with linear kernel function using four CT parameters extracted from  $J_{kl}(y, z)$  of two populations derived from OCM( $PCS_0, 2a$ ) and OCM( $PCS_{24}, 2a$ ). The upper-left labels are polarization symbols of scattered light  $k$  (p or s) followed by that of incident beam  $l$  (p, s or  $45^\circ$ ).

As representative data, Fig. 6 plots  $A_{av}$  of SVM models with  $1 \leq N \leq 41$  and the linear kernel function that provided the larger maximum values of  $A_{av}$  than those obtained with other three kernel functions on classifying the two small angle populations of virtual cells. We found that the performance of single CT parameters is not very good with maximum  $A_{av}$  less than 78% for the top ranked ones. By using multiple parameters, the performance can improve significantly with  $N = N_m$  parameters. In the case of classification of the virtual cells by the input images of  $J_{ss}(y, z)$ ,  $A_{av}$  can reach 100% for  $N_m \geq 28$  while in other cases maximum values of  $A_{av}$  ranges from 92% to 98%.

We have built 7 groups of two cell populations with each group derived from paired OCMs of either nuclear volume change or RI change and performed SVM classification on each group with one of the 4 kernel functions and different combination of the scattered light and incident light polarizations. In all cases, the optimized SVM models were obtained with the linear kernel function that yield highest values of  $A_{av}$ . The classification results with the linear kernel function are compiled in Table 3 to provide a brief summary of the classification results. Table 3 shows clearly that the paired OCMs with difference in either nuclear volume or RI of the nucleus can be identified from the simulated p-DI data of  $J_{kl}(y, z)$  among virtual cells of clustered around  $y$ -axis or random orientations with accuracy at or above 92%. We note that the ability to distinguish two OCMs can be achieved with either one simulated p-DI or paired p-DI and in the latter case the number of CT parameter used in an optimized SVM model can be significantly reduced despite that fact that  $A_{av}$  may suffer. Considering the fact that the two OCMs in each group have either identical morphology or very similar random distributions of RI, the simulation results in Table 3 suggest strongly that the p-DI data have

the capacity for high-performance cell classification. They further corroborate our previous experimental results with the p-DIFC method for distinguishing cell types of highly similar morphology or lineage, which were achieved with little orientation control of cells' carried by a core fluid moving at a low speed of about 5 mm/s [18, 20].

**Table 3. Values of  $A_{av}$  for SVM classification of two populations in 7 groups<sup>(1)</sup>.**

ID <sub>cell</sub>	ID <sub>RI</sub>	P/ $N_m$ <sup>(2)</sup>	angle	p45	s45	pp	sp	ps	ss
PCS <sub>0</sub> vs PCS <sub>24</sub>	1a	<b>V/41</b>	small	94%	96%	96%	92%	90%	<b>98%</b>
PCS <sub>0</sub> vs PCS <sub>24</sub>	2a	<b>F/28</b>	small	92%	92%	98%	92%	96%	<b>100%</b>
PCS <sub>0</sub> vs PCS <sub>24</sub>	2a	<b>F/20</b>	large	90%	92%	90%	92%	90%	<b>94%</b>
PC <sub>30</sub> vs PC <sub>324</sub>	2a	<b>V/13</b>	small	88%	90%	88%	<b>92%</b>	86%	88%
PCS <sub>0</sub>	1a vs 1b	<b>E/14</b>	small	<b>98%</b>	96%	94%	96%	94%	94%
PCS <sub>0</sub>	2a vs 2b	<b>C/8</b>	small	94%	90%	92%	<b>98%</b>	94%	92%
PCS <sub>0</sub> vs PCS <sub>24</sub>	2a	<b>F/7</b>	small	94%		<b>96%</b>		94%	

<sup>(1)</sup> The  $A_{av}$  values in percentage on 7 groups were obtained with the linear kernel function. The values in top 6 rows were obtained with one simulated p-DI of  $J_{kl}(y, z)$  and those on the bottom row were obtained with the paired p-DI of  $J_{pl}(y, z)$  and  $J_{sl}(y, z)$  as input data.

<sup>(2)</sup> The CT image parameter P and the values of  $N_m$  correspond to the maximum  $A_{av}$  values in bold fonts in that group on the same row.

#### 4. Discussion

Rapid assay of single biological cells through light scattering is a challenging problem due to the complex cell structure. Because of the difficulty in selecting and manipulating cells during measurement, accurate simulation of the light scattering process can play a critical role that is not only valuable but also irreplaceable in methodology development. This is especially true in the case of diffraction imaging to record spatial distribution of coherent light scattered by single cells with a flow cytometer. Previous studies by different groups, including ours, have developed simplified OCMs of constant or varying RI within a cell and/or its individual organelles built by spheres or spheroids [4, 37, 38], mathematical surfaces [39], reconstruction from confocal image stack data for nucleus only [10, 33, 40] or Gaussian random sphere models for nucleus and mitochondria [41, 42]. These models may be sufficiently accurate for investigation of certain aspects of scattered light distributions such as angularly (1D) or spectroscopically resolved measurements [43]. For diffraction images that exhibit complex texture patterns with very low degrees of symmetry, however, our investigations with simplified OCMs have shown that they are not capable of producing diffraction patterns similar to the measured data [44, 45]. The results in Fig. 2 present clear evidences that the similarity between simulated and measured p-DI data can be achieved by incorporating realistic morphological and molecular information into OCMs followed by accurate modeling of the imaging unit from  $\Gamma_{in}$  to  $\Gamma_{im}$ . The approach presented here allows development of realistic OCMs by using fluorescent confocal image data with the ability to account for the RI heterogeneity from measured fluorescence data with only two adjustable parameters in the case of Eq. (2) instead of artificial RI assignment for each regions of intracellular organelles. Furthermore, the accurate simulation of coherent light scattering through the imaging unit provided the opportunity to evaluate various OCMs. The simulated p-DI data, partly shown in Fig. 2 and 3, with different choices of parameters in Eqs. (1) and (2) proved clearly that variation of RI values among the voxel is essential to obtain the types of diffraction patterns comparable to the measured p-DI data.

In this study, we have employed the CT algorithm for processing of the simulated p-DI data and extraction of CT image parameters to represent virtual cells in populations derived from paired OCMs for classification. The summary data of Table 3 confirm clearly the observed fact that the orientation of OCMs or virtual cells affect little on distinguishing the nuclear effects by volume or RI changes. It should be noted that that the virtual cells of different nuclear volumes were obtained from the same OCM with RI of the eroded nuclear voxels replaced by those of cytoplasm voxels instead of vacuole. Consequently, it is quite remarkable for p-DI data being able to detect the small changes of RI values. A closer look at the CT image parameters used to form optimized SVM models provides an interesting view

of the p-DI features supporting such ability. For example, in the case of SVM classification of virtual cells derived from OCM(PCS<sub>0</sub>, 2a) and OCM(PCS<sub>24</sub>, 2a),  $A_{av}$  can reach 100% using only one p-DI image of  $J_{ss}(y, z)$  with 28 parameters as shown in Fig. 6. Among the top 10 of the 28 parameters, 7 were extracted from the CT images of  $\gamma = 4$  and 5 while 3 from images of  $\gamma = 1$  and 2. Similar distribution of the top ranked CT image parameters can be seen in other cases of high values of  $A_{av}$ . These results confirm the observation of the CT images shown in Fig. 4 that the coarse pixel scales of  $\gamma \geq 3$  for CT images carry more information on the patterns of the input p-DI data than the fine scales of  $\gamma = 1$  or 2. Despite the useful insights provided by the CT algorithm, we would like to point out that the method needs to be improved since the numbers of CT parameters for optimized SVM models are quite large, leading to high cost of computing for image processing and training. With the realistic OCMs and accurate simulation tools described here, one can build large amount simulated p-DI data that are relevant to experimental studies. By combining with powerful data mining tools such as deep learning algorithms, the new approach provides opportunities to correlate biological cells' morphological and molecular features with the features of diffraction patterns for future development of rapid and label-free cell assay methods.

## 5. Summary

We have developed OCMs established from confocal image stacks of stained cells which can be used to generate virtual cells for accurate simulation of p-DI data. Classification of virtual cell populations of paired OCMs have been performed to demonstrate the utility of new approach of p-DI simulation. The results show that nuclear effect in terms of the morphology or RI changes can be recognized against variation of an OCM's orientation.

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